ON THE CLASSIFICATION OF DEFINABLE CCC FORCING NOTIONS

MOHAMMAD GOLSHANI, HAIM HOROWITZ, AND SAHARON SHELAH

Abstract. We show that for a Suslin ccc forcing notion $\mathbb{Q}$ adding a Hechler real, "ZF + DC_{ω_1}+all sets of reals are $I_{\mathbb{Q},\aleph_0}$-measurable" implies the existence of an inner model with a measurable cardinal. We also introduce a wide class of Suslin ccc forcing notions which add a Hechler real, so that the above result applies to them.

§1. Introduction. This article can be seen as part of a line of research motivated by the following general problem.

Problem 1.1. *Classify the nicely definable forcing notions.*

Under ZFC, definable is usually interpreted to mean the class of Suslin posets, that is, posets $\mathbb{P}$ such that the domain of $\mathbb{P}$ is an analytic set of reals, and both the order and the incompatibility relation of $\mathbb{P}$ are analytic. See [7] for more discussion on Suslin forcing notions.

There are several ways to make the question more precise. An old question of Prikry asks if it is consistent that every non-trivial ccc forcing notion adds a Cohen real or a random real? By a theorem of Shelah [10], see also Velickovic [13], a modified version of Prikry's conjecture holds in the context of Suslin ccc forcing, that is, every Suslin ccc forcing either adds a Cohen real or is a Maharam algebra. Indeed, Shelah showed that any Suslin ccc forcing which is not ω^ω-bounding adds a Cohen real. Also in [1], Blaszyck and Shelah showed that it is relatively consistent with ZFC that every nonatomic σ-centered forcing notion adds a Cohen real. For further discussion of the problem, see [11].

Convention 1.2. *For a forcing notion $\mathbb{P}$ and two conditions p, q in it, we use the notation $p \leq q$ to mean that* q *is a stronger condition than* p.

Given an infinite cardinal κ and a tree-like partial order $\mathbb{Q}$, whose conditions are subtrees of $\omega^{<\omega}$, we can assign to the pair $(\mathbb{Q}, \kappa)$ an ideal $I_{\mathbb{Q},\kappa}$ which is defined as the closure of

$$\{X \subseteq \omega^\omega : (\forall p \in \mathbb{Q})(\exists p \leq q)\big(lim(q) \cap X = \emptyset\big)\},$$

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under $\leq \kappa$ unions. We also say a set of reals X is I-measurable, where I is an ideal on ω^ω, if there exists a Borel set B such that $X \Delta B \in I$, where Δ denotes the symmetric difference operation.

By the celebrated result of Solovay [12], the existence of an inaccessible cardinal implies the consistency of "ZF + DC+all sets of reals are $I_{\mathbb{Q},\aleph_0}$-measurable," where $\mathbb{Q}$ is one of the random or Cohen forcing notions. By later results of Shelah [9], existence of an inaccessible cardinal is needed to get the above result for random forcing, while it is not needed for the case of Cohen forcing. Also, by [9], DC_{ω_1} implies the existence of a non-Lebesgue measurable set, and hence "ZF + DC_{ω_1}+all sets of reals are $I_{\mathbb{Q},\aleph_0}$-measurable" is inconsistent, when $\mathbb{Q}$ is the random real forcing. A longstanding open question of Woodin asks the following.

QUESTION 1.3. *Is the theory "*ZF + DC_{ω_1}*+all sets of reals are $I_{\mathbb{C},\aleph_0}$-measurable" consistent, where $\mathbb{C}$ is the Cohen forcing.*

Motivated by the results of [3, 4], we discuss the following variant of the above problems, which asks to classify nicely definable ccc forcing notions based on the consistency strength of some regularity properties that one can derive from them.

PROBLEM 1.4. *Classify the Suslin ccc forcing notions according to the consistency strength of "*ZF + DC_{ω_1}*+all sets of reals are $I_{\mathbb{Q},\kappa}$-measurable," where $\kappa \in \{\aleph_0, \aleph_1\}$.*

REMARK 1.5. We can replace the theory ZF + DC_{ω_1} with some other theories like ZF, ZF + AC_ω, ZF + DC, ZF + DC_{ω_1}, ZFC, or other similar theories.

In the first main result of the article, we discuss the $I_{\mathbb{Q},\aleph_0}$-measurability for Suslin ccc forcing notions which add a Hechler real (see Definition 2.1), and prove the following.

THEOREM 1.6. *Let $\mathbb{Q}$ be a Suslin ccc forcing notion which adds a Hechler real. Then the consistency of "*ZF + DC_{ω_1}*+every set of reals is $I_{\mathbb{Q},\aleph_0}$-measurable" implies the existence of an inner model of* ZFC *with a measurable cardinal.*

Indeed the above theorem will be a special case of a more general theorem (see Section 3). The above result is extended to other Suslin ccc forcing notions not adding Hechler reals in a subsequent paper [6] by the last two authors.

We then introduce a wide class of Suslin ccc forcing notions which add a Hechler real. Let $\mathbb{Q}^1_{\mathbf{n}}$ be the forcing notion defined in [3] (see Section 4 for the definition). Then we prove the following.

THEOREM 1.7. *Forcing with $\mathbb{Q}^1_{\mathbf{n}}$ adds a Hechler real.*

In particular, it follows that the consistency of "ZF + DC_{ω_1}+every set of reals is $I_{\mathbb{Q}^1_{\mathbf{n}},\aleph_0}$-measurable" implies the existence of an inner model with a measurable cardinal.

We may also note that by [3], the theory "ZF+every set of reals is $I_{\mathbb{Q}^1_{\mathbf{n}},\aleph_1}$-measurable + there exists an ω_1 –sequence of distinct reals" is consistent relative to ZFC. The problem of finding forcing notions $\mathbb{Q}$ for which ZF + DC_{ω_1} + $I_{\mathbb{Q},\aleph_0}$-measurability is consistent (maybe relative to large cardinals) remains open. In [5], the following result is proved, where $\mathbb{Q}^2_{\mathbf{n}}$ is the forcing notion introduced in [3].

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THEOREM 1.8 [5]. *Suppose there is a measurable cardinal. Then in a suitable generic extension, there is an inner model of "*$\mathrm{ZF}+\mathrm{DC}_{\omega_1}$*+all sets of reals are* $I_{\mathbb{Q}^2_{\mathbf{n}},\aleph_1}$ *–measurable."*

We may note that by [3], the forcing notion $\mathbb{Q}^2_{\mathbf{n}}$ adds no dominating reals (and hence no Hechler reals).

§2. The additivity of the ideals derived from a Suslin ccc forcing notion adding a Hechler real. In this section we show that under $\mathrm{ZF}+\mathrm{DC}_{\omega_1}$, if $\mathbb{Q}$ is a Suslin ccc forcing notion adding a Hechler real, then the additivity of $I_{\mathbb{Q},\aleph_0}$ is $\aleph_1$. This will allow us to prove in the next section that $\mathrm{ZF}+\mathrm{DC}_{\omega_1}$+measurability for the ideal derived from such forcing notions, implies the existence of an inner model with a measurable cardinal. A main concept in the following proof is a variant of the rank function for Hechler forcing originally introduced in [2].

Before we continue, let us recall the Helcher forcing.

DEFINITION 2.1. The Hechler forcing $\mathbb{D}$ is defined as follows:

(1) A condition in $\mathbb{D}$ is a pair $p=(t_p,f_p)$, where
   (a) $t_p\in\omega^{<\omega}$;
   (b) $f_p\in\omega^{\omega}$.
(2) Let $p,q\in\mathbb{D}$. Then $p\leq q$ iff
   (a) $t_p\subseteq t_q$;
   (b) $f_p\leq f_q$;
   (c) $(\forall n\in\mathrm{dom}(t_q)\setminus\mathrm{dom}(t_p))\big(t_q(n)\geq f_p(n)\big)$.

NOTATION 2.2. *Given a condition* $p=(t_p,f_p)\in\mathbb{D}$*, we call* t_p *the trunk of* p *and denote it by* $\mathrm{tr}(p)$.

We may note that forcing with $\mathbb{D}$ adds a canonical name

$$\underset{\sim}{\eta}_{dom}=\bigcup\{\mathrm{tr}(p):p\in\dot{G}_{\mathbb{D}}\}^{1}$$

for an element of ω^ω which dominates every ground model function in ω^ω. The following definition is a variant of [2, Definition 4.4.2].

DEFINITION 2.3. Suppose $p\in\mathbb{D}$ and $I=\{r_k:k<\omega\}$ is a maximal antichain above p. Let also $A=\{\mathrm{tr}(r_k):k<\omega\}$. For every $t\in\omega^{<\omega}$ with $\mathrm{tr}(p)\trianglelefteq t$, we define $\mathrm{rk}_{p,A}(t)\in\mathrm{Ord}\cup\{\infty\}$ by defining when $\alpha\leq\mathrm{rk}_{p,A}(t)$:

- $0\leq\mathrm{rk}_{p,A}(t)$ is always true.
- $1\leq\mathrm{rk}_{p,A}(t)$ iff for every $l\in[\ell g(\mathrm{tr}(p)),\ell g(t))$, $f_p(l)\leq t(l)$, and there is no $s\in A$ such that:
  - $p\leq(s,f_p\restriction[\ell g(s),\omega))$,
  - $\ell g(s)\leq\ell g(t)$, and
  - $l\in[\ell g(\mathrm{tr}(p)),\ell g(s))\to t(l)\leq s(l)$.
- Suppose $\alpha>1$. Then $\alpha\leq\mathrm{rk}_{p,A}(t)$ iff for every $\beta<\alpha$, for infinitely many k, $\beta\leq\mathrm{rk}_{p,A}(t^\frown\langle k\rangle)$.

The following easy lemma will be used later in this section.

[1] $\dot{G}_{\mathbb{D}}$ is the canonical $\mathbb{D}$-name for the generic filter.

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LEMMA 2.4. *Assume* $p \in \mathbb{D}$ *and* $\mathrm{tr}(p) \trianglelefteq t \in \omega^{<\omega}$. *Let* $I = \{r_k : k < \omega\}$ *be a maximal antichain above* p, *and set* $A = \{\mathrm{tr}(r_k) : k < \omega\}$. *Then*

(a) *If* $\omega_1 \leq \mathrm{rk}_{p,A}(t)$, *then* $rk_{p,A}(t) = \infty$.
(b) $\mathrm{rk}_{p,A}(\mathrm{tr}(p)) < \omega_1$.

PROOF. (a). Suppose $\omega_1 \leq \mathrm{rk}_{p,A}(t)$. We prove by induction on $\omega_1 \leq \varepsilon$ that $\varepsilon \leq \mathrm{rk}_{p,A}(t)$. For $\varepsilon = \omega_1$ the claim follows from the assumption. Now suppose that $\varepsilon > \omega_1$ and the lemma holds for all ordinals less than ε. For each $k < \omega$ set $\zeta_k = \mathrm{rk}_{p,A}(t^\frown\langle k\rangle)$.

If there exists $\zeta < \omega_1$ such that $\{k : \zeta < \zeta_k\}$ is finite, then by Definition 2.3, $\mathrm{rk}_{p,A}(t) \leq \zeta + 1 < \omega_1$, which is a contradiction. Thus we may assume that for each $\zeta < \omega_1$, the set $A_\zeta = \{k : \zeta < \zeta_k\}$ is infinite. Let

$$\zeta_* = \sup\{\zeta_k : k < \omega, \zeta_k < \omega_1\}.$$

Then $\zeta_* < \omega_1$. By our assumption, $A_{\zeta_*} = \{k : \zeta_k > \zeta_*\}$ is infinite, therefore by the choice of ζ_*, for each $k \in A_{\zeta_*}$, we have $\omega_1 \leq \mathrm{rk}_{p,A}(t^\frown\langle k\rangle)$. Thus by the induction hypothesis

$$\bigwedge_{\zeta<\varepsilon} \bigwedge_{k\in A_{\zeta_*}} \zeta \leq \mathrm{rk}_{p,A}(t^\frown\langle k\rangle).$$

It now follows from Definition 2.3 that $\varepsilon \leq \mathrm{rk}_{p,A}(t)$.

(b). Suppose on the contrary that $\mathrm{rk}_{p,A}(\mathrm{tr}(p)) \geq \omega_1$. Then by clause (a), $\mathrm{rk}_{p,A}(\mathrm{tr}(p)) = \infty$, and hence, by induction on $n < \omega$, we can choose $t_n \in \omega^{\ell g(\mathrm{tr}(p))+n}$ such that

(1) $t_0 = \mathrm{tr}(p)$;
(2) $\omega_1 \leq \mathrm{rk}_{p,A}(t_n)$;
(3) $m < n \to t_m \lhd t_n$.

Let $f = \bigcup_{n<\omega} t_n$ and $q = (\mathrm{tr}(p), f) \in \mathbb{D}$. We first show that q extends the condition p. To see this, note that for every $n < \omega$, $1 < \mathrm{rk}_{p,A}(t_n)$, and therefore by Definition 2.3, $f_p(l) \leq t_n(l)$ for every $l \in [\ell g(\mathrm{tr}(p)), \ell g(t_n)]$, from which the result follows.

Since I is a maximal antichain above p and $p \leq q$, we can find some $k < \omega$ such that q and r_k are compatible, in particular we have

$$(*) \qquad l \in [\ell g(\mathrm{tr}(p)), \ell g(\mathrm{tr}(r_k))) \implies \mathrm{tr}(r_k)(l) \geq f(l).$$

Let $n > \ell g(\mathrm{tr}(r_k))$. Then for each l as in $(*)$, $f(l) = t_n(l)$. By the choice of A, $\mathrm{tr}(r_k) \in A$, thus the assumption $1 \leq \mathrm{rk}_{p,A}(t_n)$ implies that

$$(**) \qquad \exists l \in [\ell g(\mathrm{tr}(p)), \ell g(\mathrm{tr}(r_k)))\big(\mathrm{tr}(r_k)(l) < t_n(l) = f(l)\big).$$

Putting $(*)$ and $(**)$ together, we get the desired contradiction. ⊣

The main result in this section is the following.

LEMMA 2.5 (ZF + DC).

(a) *Let* M *be an inner model of* ZFC *with* $\aleph_1^M = \aleph_1$, *and let* $I = I_{\mathbb{D},\aleph_0}$. *Then the union of all Borel* I*-small sets coded in the model* M *is* I*-positive.*

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(b) *Assume* $\mathrm{ZF}+\mathrm{DC}_{\omega_1}$. *Then* $\mathrm{add}(I_{\mathbb{D},\aleph_0})$, *the additivity of the ideal* $I_{\mathbb{D},\aleph_0}$, *is equal to* $\aleph_1$.

REMARK 2.6. The assumption of the existence of an inner model M of ZFC with $\aleph_1^M=\aleph_1$ follow from $\mathrm{ZF}+\mathrm{DC}_{\omega_1}$. To see this, note that the assumption $\mathrm{ZF}+\mathrm{DC}_{\omega_1}$ the existence of a subset $A\subseteq\omega_1$ such that $\aleph_1^{L[A]}=\aleph_1$. Then $M=L[A]$ is an inner model of ZFC with $\aleph_1^M=\aleph_1$.

PROOF. First note that clause (b) follows from clause (a), the above remark and absoluteness arguments. In order to prove clause (a) of the lemma, we introduce a family $\langle B_\varepsilon:\varepsilon<\omega_1\rangle\in M$ of Borel sets $B_\varepsilon\in I$ such that their union $\bigcup_{\varepsilon<\omega_1}B_\varepsilon$ is not in I. To this end, we first define a sequence of pairs $\langle(\Lambda_\varepsilon,h_\varepsilon):\varepsilon<\omega_1\rangle$ in M as follows.

For $\varepsilon<\omega_1$, let Y_ε be the set of pairs (Λ,h) such that:

(1) $\Lambda\subseteq\omega^{<\omega}$ is a tree such that:
  (a) if $t\in\Lambda$, then either $\mathrm{Suc}_\Lambda(t)=\omega$, or $\mathrm{Suc}_\Lambda(t)=\emptyset$;
  (b) if $t_1,t_2\in\omega^k$, $t_1\in\Lambda$, and $t_1(l)\leq t_2(l)$ for every $l<k$, then $t_2\in\Lambda$;
  (c) Λ has no infinite branches.
(2) $h:\Lambda\to\varepsilon+1$ is a function such that:
  (a) $h(\langle\rangle)=\varepsilon$;
  (b) if $t_1\lhd t_2$ are in Λ, then $h(t_1)>h(t_2)$;
  (c) if $h(t)=\zeta+1$ then for all $k<\omega$, $h(t^\frown\langle k\rangle)=\zeta$;
  (d) if $h(t)=\zeta$ where ζ is a limit ordinal, then $\zeta\leq\lim_{k<\omega}h(t^\frown\langle k\rangle)$.

CLAIM 2.7.

(a) $Y_\varepsilon\neq\emptyset$ *for every* $\varepsilon<\omega_1$.
(b) *For every* $\varepsilon<\zeta<\omega_1$ *and* $(\Lambda_1,h_1)\in Y_\varepsilon$, *there exists* $(\Lambda,h)\in Y_\zeta$ *such that* $\Lambda_1\subseteq\Lambda$.

PROOF. *Proof of (a).* We prove the claim by induction on $\varepsilon<\omega_1$. This is clear for $\varepsilon=0$. Now suppose that $\varepsilon=\zeta+1$ and the claim holds for ζ. Let $(\Lambda,h)\in Y_\zeta$ and define (Λ',h') as follows:

- $\Lambda'=\bigcup_{k<\omega}\langle k\rangle^\frown\Lambda$, where $\langle k\rangle^\frown\Lambda=\{\langle\rangle\}\cup\{\langle k\rangle^\frown t:t\in\Lambda\}$;
- $\mathrm{dom}(h')=\Lambda'$;
- $h'(\langle\rangle)=\varepsilon$;
- for $k<\omega$ and $t\in\Lambda$, $h'(\langle k\rangle^\frown t)=h(t)$.

It is easily seen that $(\Lambda',h')\in Y_\varepsilon$. Now suppose that ε is a limit ordinal, and the claim holds for all $\zeta<\varepsilon$. Let $(\zeta_k:k<\omega)$ be an increasing sequence of ordinals cofinal in ε. By the induction hypothesis, choose an increasing sequence $((\Lambda_k,h_k):k<\omega)$ such that $(\Lambda_k,h_k)\in Y_{\zeta_k}$. Define (Λ',h') as follows:

- $\Lambda'=\bigcup_{k<\omega}\langle k\rangle^\frown\Lambda_k$;
- $\mathrm{dom}(h')=\Lambda'$;
- $h'(\langle\rangle)=\varepsilon$;
- for $k<\omega$ and $t\in\Lambda_k$, $h'(\langle k\rangle^\frown t)=h_k(t)$.

Again, it is easy to show that $(\Lambda',h')\in Y_\varepsilon$.

Proof of (b). Assume $\varepsilon<\zeta<\omega_1$ and $(\Lambda_1,h_1)\in Y_\varepsilon$. By clause (a), pick some $(\Lambda_2,h_2)\in Y_\zeta$ and define $(\Lambda,h)=(\Lambda_1,h_1)+(\Lambda_2,h_2)$ as follows:

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- $\Lambda = \Lambda_1 \cup \Lambda_2$.
- $h : \Lambda \to \zeta + 1$ is defined as

$$h(\eta) = \begin{cases} h_1(\eta) & \text{if } \eta \in \Lambda_1 \setminus \Lambda_2; \\ h_2(\eta) & \text{if } \eta \in \Lambda_2 \setminus \Lambda_1; \\ \max\{h_1(\eta), h_2(\eta)\} & \text{if } \eta \in \Lambda_1 \cap \Lambda_2. \end{cases}$$

It is easy to see that $(\Lambda, h) \in Y_\zeta$ and $\Lambda_1 \subseteq \Lambda$. ⊣

Now fix a sequence $\langle (\Lambda_\varepsilon, h_\varepsilon) : \varepsilon < \omega_1 \rangle \in M$ such that $(\Lambda_\varepsilon, h_\varepsilon) \in Y_\varepsilon$.
Given a subtree $\Lambda \subseteq \omega^{<\omega}$ set

$$\max(\Lambda) = \{t \in \Lambda : \mathrm{Suc}_\Lambda(t) = \emptyset\}.$$

For $\varepsilon < \omega_1$, $k < \omega$, and $\Lambda = \Lambda_\varepsilon$, we define the following objects:

$(*)_1$ $\Omega_{\Lambda,k} = \{t_0^\frown \langle 2n_0 + 1\rangle^\frown t_1^\frown \langle 2n_1 + 1\rangle^\frown \ldots^\frown t_k : n_i < \omega \text{ and } t_i \in \max(\Lambda)\}$.
$(*)_2$ $\Omega_\Lambda = \bigcup_{k<\omega} \Omega_{\Lambda,k}$.
$(*)_3$ $\Omega^+_{\Lambda,k} = \{t^\frown \langle 2n\rangle : t \in \Omega_{\Lambda,k}, n < \omega\}$.
$(*)_4$ $\Omega^+_\Lambda = \bigcup_{k<\omega} \Omega^+_{\Lambda,k}$.
$(*)_5$ $I_\Lambda = \{(t, f_t) : t \in \Omega^+_\Lambda\}$, where $f_t \in \omega^\omega$ is defined as $f_t = t^\frown \langle 0 : n < \omega\rangle$.
$(*)_6$ $B_\varepsilon = \{f \in \omega^\omega : t \in \Omega^+_\Lambda \Rightarrow \neg(t \lhd f)\}$.

We may note that each B_ε is Borel, and $\langle B_\varepsilon : \varepsilon < \omega_1\rangle \in M$. Furthermore $I_\Lambda \subseteq \mathbb{D}$.

Claim 2.8. $B_\varepsilon \in I_{\mathbb{D},\aleph_0}$.

Proof. We have

$$I_{\mathbb{D},\aleph_0} = \{B \subseteq \omega^\omega : B \text{ contains a Borel set and } \Vdash_{\mathbb{D}} \underset{\sim}{\eta}_{dom} \notin B\}.$$

Thus we have to show that $\eta_{dom} \notin B_\varepsilon$. For this, it is enough to show that I_Λ is a maximal antichain, as then, it will follow that some $t \in \Omega^+_\Lambda$ is an initial segment of η_{dom}, and therefore, by the definition of B_ε we have $\eta_{dom} \notin B_\varepsilon$, as requested.

First, we show that I_Λ is an antichain. Suppose that $t \neq s$ are in Ω^+_Λ. We have to show that (t, f_t) and (s, f_s) are incompatible in $\mathbb{D}$. Suppose towards contradiction that (t, f_t) and (s, f_s) are compatible, in particular t and s are $\lhd$-comparable. Let us assume that $t \lhd s$. Let us write t and s as

$$t = t_0^\frown \langle 2n_0 + 1\rangle^\frown t_1^\frown \langle 2n_1 + 1\rangle^\frown \ldots^\frown t_k^\frown \langle 2n\rangle,$$

and

$$s = s_0^\frown \langle 2m_0 + 1\rangle^\frown s_1^\frown \langle 2m_1 + 1\rangle^\frown \ldots^\frown s_l^\frown \langle 2m\rangle,$$

where $n_i, m_j, n, m < \omega$, and $t_i, s_j \in \max(\Lambda)$. The assumptions $t \lhd s$ and $t_i, s_j \in \max(\Lambda)$ imply that $k < l$, $s_i = t_i$ for $i \leq k$ and $m_i = n_i$ for $i < k$, hence we can write s as

$$s = t_0^\frown \langle 2n_0 + 1\rangle^\frown t_1^\frown \langle 2n_1 + 1\rangle^\frown \ldots^\frown t_k^\frown \langle 2m_k + 1\rangle^\frown \ldots^\frown s_l^\frown \langle 2m\rangle.$$

Thus we must have $2n = 2m_k + 1$ which is impossible. Therefore, I_Λ is an antichain.

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Next we show that I_Λ is a maximal antichain. Let $p = (t_p, f_p) \in \mathbb{D}$. If there exists $t \in \Omega^+_\Lambda$ such that $t \trianglelefteq t_p$, then $(t, f_t) \leq p$ and we are done. Therefore, we may assume that there is no such t. Let

$$\begin{aligned}\Omega^* &= \{\langle\rangle\} \cup \{t : (\exists t_0, \dots, t_{k-1} \in \max(\Lambda))t \\ &= t_0^\frown\langle 2n_0+1\rangle^\frown \dots ^\frown t_{k-1}{}^\frown\langle 2n_{k-1}+1\rangle \text{ and } t \trianglelefteq t_p\}.\end{aligned}$$

Then $\Omega^* \neq \emptyset$, and since t_p has finite length, there is an element t of Ω^* of maximal length. Let $s_1 \in \Lambda_\varepsilon$ be such that $t^\frown s_1 \trianglelefteq t_p$ and s_1 is maximal. There are two cases.

Case I. $t^\frown s_1 = t_p$. Let k be maximal such that

$$s_2 := s_1^\frown\langle f_p(\ell g(t) + \ell g(s_1) + i) : i < k\rangle \in \Lambda_\varepsilon.$$

Note that by the construction, Λ_ε has no infinite branches, and since $s_1 \in \Lambda_\varepsilon$, it follows that there is such maximal k. It then follows from the choice of s_2 that $s_2 \in \max(\Lambda_\varepsilon)$. Let

$$s = t^\frown s_1^\frown\langle f_p(\ell g(t) + \ell g(s_1) + i) : i < k\rangle = t_p^\frown\langle f_p(\ell g(t) + \ell g(s_1) + i) : i < k\rangle$$

then $t_p \trianglelefteq s \in \Omega^+_\Lambda$ and $(s, f_s) \in I_\Lambda$ is compatible with p.

Case II. $t^\frown s_1 \triangleleft t_p$. First note that $s_1 \in \max(\Lambda_\varepsilon)$, as otherwise, we will have $\mathrm{Suc}_{\Lambda_\varepsilon}(t) = \{t^\frown\langle k\rangle : k < \omega\}$, and then for some $k < \omega$, $t^\frown s_1^\frown\langle k\rangle \trianglelefteq t_p$, and $s_1^\frown\langle k\rangle \in \Lambda_\varepsilon$, which contradicts the choice of s_1 as a maximal element of Λ_ε with $t^\frown s_1 \trianglelefteq t_p$. Now there are two possibilities:

- $t_p(\ell g(t) + \ell g(s_1))$ is odd: Then $t^\frown s_1^\frown\langle t_p(\ell g(t) + \ell g(s_1))\rangle \in \Omega^*$, which contradicts the maximality of t.
- $t_p(\ell g(t) + \ell g(s_1))$ is even: Then we have $t^\frown s_1^\frown\langle t_p(\ell g(t) + \ell g(s_1))\rangle \in \Omega^+_\Lambda$ and $t^\frown s_1^\frown\langle t_p(\ell g(t) + \ell g(s_1))\rangle \trianglelefteq t_p$, which contradicts our assumption that there is no element in Ω^+_Λ which is $\trianglelefteq t_p$.

Thus case II cannot happen. The claim follows. ⊣

We now turn to the main part of the lemma.

Claim 2.9. $\bigcup_{\varepsilon<\omega_1} B_\varepsilon \notin I_{\mathbb{D},\aleph_0}$.

Proof. Suppose towards contradiction that $\bigcup_{\varepsilon<\omega_1} B_\varepsilon \in I_{\mathbb{D},\aleph_0}$. It then follows that there exists a Borel set B such that $\bigcup_{\varepsilon<\omega_1} B_\varepsilon \subseteq B$ and $\Vdash_{\mathbb{D}}$"$\underset{\sim}{\eta}_{dom} \notin B$."

For each condition $p \in \mathbb{D}$ set

$$X(p) = \{g \in \omega^\omega : t_p \triangleleft g \text{ and } f_p \leq g\}.$$

By the definition of the ideal $I_{\mathbb{D},\aleph_0}$, there exists a sequence $\bar{I} = \langle I_n : n < \omega\rangle$ of countable pre-dense subsets of $\mathbb{D}$ such that letting $I_n = \{p_{n,l} : l < \omega\}$, we have $B \subseteq \omega^\omega \setminus \big(\bigcap_{n<\omega}\bigcup_{l<\omega} X(p_{n,l})\big)$.

Let χ be a large enough regular cardinal and let N be a countable elementary submodel of $L_\chi[\bar{I}, \langle\Lambda_\varepsilon : \varepsilon < \omega_1\rangle]$ such that $\bar{I}, \langle\Lambda_\varepsilon : \varepsilon < \omega_1\rangle \in N$. Let $\delta(*) = N \cap \omega_1^{L_\chi[\bar{I}, \langle\Lambda_\varepsilon : \varepsilon<\omega_1\rangle]}$. Then $\delta(*) < \omega_1$, and hence $\Lambda = \Lambda_{\delta(*)}$ is well-defined. Let $\bar{I}^* = \langle I^*_m : m < \omega\rangle$ enumerate all countable pre-dense subsets of $\mathbb{D}$ in N, and for every $m < \omega$, set $I^*_m = \{p^*_{m,l} : l < \omega\}$. Note that for every $n < \omega$, $I_n \in N$, hence there exists some $j(n) < \omega$ such that $I_n = I^*_{j(n)}$. We now prove the following:

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(*) : There exists a sequence $\langle q_n : n < \omega \rangle$ of conditions such that:
(1) $q_n = (t_n, f_n) \in \mathbb{D} \cap N$.
(2) $n = m + 1 \Rightarrow q_m \leq q_n$.
(3) If $n = m + 1$, then there exists l such that $p^*_{m,l} \leq q_n$.
(4) $t_0 = \langle\rangle$.
(5) If $n > 0$ then $t_n = s_n^\frown \langle 2m_n \rangle$ for some $s_n \in \Omega_\Lambda$ and $m_n < \omega$.

We construct the sequence $\langle q_n : n < \omega \rangle$ by induction on n. For $n = 0$ set $q_0 = (\langle\rangle, \mathrm{id}_\omega)$, where id_ω is the identity function on ω. Now assume that $n = m + 1$ and the condition $q_m = (t_m, f_m)$ is constructed, such that it satisfies the induction hypothesis. As $I^*_m = \{p^*_{m,l} : l < \omega\}$ is pre-dense, the set $E = \{p \in \mathbb{D} : (\exists l)(p^*_{m,l} \leq p)\} \in N$ is open dense in $\mathbb{D}$. Let $I = \{r_l : l < \omega\} \subseteq E$ be a maximal antichain in $\mathbb{D}$ above q_m. By elementarity, we can assume that $I \in N$. Let $A = \{\mathrm{tr}(r_l) : l < \omega\}$. Then by Lemma 2.4(b) and the fact that $q_m, A \in N$, we have

- $\mathrm{rk}_{q_m,A}(t_m) < \omega_1$;
- $\mathrm{rk}_{q_m,A}(t_m) \in N$.

Thus $\mathrm{rk}_{q_m,A}(t_m) < \delta(*)$. Let $h_{\delta(*)} : \Lambda_{\delta(*)} \to \delta(*) + 1$ witness $(\Lambda_{\delta(*)}, h_{\delta(*)}) \in Y_{\delta(*)}$, and let Λ^* be the set of sequences $t \in \Lambda_{\delta(*)}$ satisfying the following conditions:

- $q_m \leq (t_m^\frown t, t_m^\frown t^\frown f_m \restriction [\ell g(t_m^\frown t), \omega))$.
- $\mathrm{rk}_{q_m,A}(t_m^\frown t) < h_{\delta(*)}(t)$.

As $\delta(*)$ is a limit ordinal, $\langle\rangle \in \Lambda^*$ and hence $\Lambda^* \neq \emptyset$. Let

$$\alpha_* = \min\{\mathrm{rk}_{q_m,A}(t_m^\frown t) : t \in \Lambda^*\},$$

and choose $t_* \in \Lambda^*$ such that $\alpha_* = \mathrm{rk}_{q_m,A}(t_m^\frown t_*)$.

We will show that $\alpha_* = 0$. Assume towards a contradiction that $\alpha_* > 0$. As $t_* \in \Lambda^*$, we have $\alpha_* = \mathrm{rk}_{q_m,A}(t_m^\frown t_*) < h_{\delta(*)}(t_*)$, therefore by the definition of $h_{\delta(*)}$, for every k large enough, $\alpha_* \leq h_{\delta(*)}(t_*^\frown \langle k \rangle)$. By the definition of the rank, the set

$$U_1 = \{k < \omega : \alpha_* \leq \mathrm{rk}_{q_m,A}(t_m^\frown t_*^\frown \langle k \rangle)\}$$

is finite. It is also clear that the set

$$U_2 = \{k < \omega : k \leq f_m(\ell g(t_m^\frown t_*))\}$$

is finite. It follows that the set $U = U_1 \cup U_2$ is finite, and hence, for every large enough k we have $k \notin U$, and $\alpha_* < h_{\delta(*)}(t_*^\frown \langle k \rangle)$. For such k,

- $\mathrm{rk}_{q_m,A}(t_m^\frown t_*^\frown \langle k \rangle) < \alpha_*$ (as $k \notin U_1$);
- $\mathrm{rk}_{q_m,A}(t_m^\frown t_*^\frown \langle k \rangle) < \mathrm{rk}_{q_m,A}(t_m^\frown t_*)$ (by the definition of the rank).

Therefore, for every such k, $t_*^\frown \langle k \rangle \in \Lambda^*$ and $\mathrm{rk}_{q_m,A}(t_m^\frown t_*^\frown \langle k \rangle) < \alpha_*$, which contradicts the minimality of α_*. Thus $\alpha_* = 0$.

By the way we defined the rank, we can find some $t' \in A$ such that:

- $q_m \leq (t', f_m \restriction [\ell g(t'), \omega))$;
- $\ell g(t') \leq \ell g(t_m^\frown t_*)$;
- $(t_m^\frown t_*)(l) \leq t'(l)$, for every $\ell g(t_m) \leq l < \ell g(t')$.

As $A = \{\mathrm{tr}(r_l) : l < \omega\}$ and $t' \in A$, we have $t' = \mathrm{tr}(r_{l_*})$, for some $l_* < \omega$. By induction on $i \geq \ell g(t')$ we choose some k_i such that:

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- $f_m(i) \leq k_i$;
- $f_{r_{l_*}}(i) \leq k_i$;
- $s_{m,i} = t' \restriction [\ell g(t_m), \ell g(t'))^\frown \langle k_j : \ell g(t') \leq j < i\rangle \in \Lambda_{\delta(*)}$.

Note that $t' \restriction [\ell g(t_m), \ell g(t')) \in \Lambda_{\delta(*)}$, and since $\Lambda_{\delta(*)}$ has no infinite branches, it follows that there exists a maximal i for which we can choose k_i as requested. Set

$$t'' = t'^\frown \langle k_j : j < i+1\rangle^\frown \langle 2\big(f_{r_{l_*}}(\ell g(t') + i) + f_m(\ell g(t') + i)\big)\rangle.$$

Let $q_{m+1} = (t'', t''^\frown f \restriction [\ell g(t''), \omega))$, where $f(i) = max\{f_m(i), f_{r_{l_*}}(i)\}$ for every $i \in [\ell g(t''), \omega))$. It's easy to see that $r_{l_*}, q_m \leq q_{m+1}$ and that the condition q_{m+1} satisfies the requirements (1)–(5) of $(*)$. This completes the construction of the sequence $\langle q_n : n < \omega\rangle$.

As the sequence $\langle q_n = (t_n, f_n) : n < \omega\rangle$ is increasing, the sequence $\langle t_n : n < \omega\rangle$ is increasing too, and hence $f = \bigcup_{n<\omega} t_n$ is a well-defined function.

We first show that $f \in \omega^\omega$. It suffices to show that $\mathrm{dom}(f) = \omega$. Thus let $k < \omega$. As N is an elementary submodel of $L_\chi[\bar{I}, \langle \Lambda_\varepsilon : \varepsilon < \omega_1\rangle]$, there is a pre-dense set $I \in N$ such that for every $p \in I, k < \ell g(t_p)$. Let m be such that $I = \{p^*_{m,l} : l < \omega\}$. By the way we defined q_{m+1}, for some l, $p^*_{m,l} \leq q_{m+1}$, hence $k < \ell g(t_{m+1})$. Thus $k \in \mathrm{dom}(t_{m+1}) \subseteq \mathrm{dom}(f)$, as requested.

Now we show that $f \notin B$. It suffices to show that f is $(N, \mathbb{D})$-generic. As the sequence $\langle q_n : n < \omega\rangle$ is increasing, it follows that $f \in X(q_n)$ for every $n < \omega$. Let $I \in N$ be a countable pre-dense subset of $\mathbb{D}$. Then for some m, $I = I^*_m = \{p^*_{m,l} : l < \omega\}$. Let l be such that $p^*_{m,l} \leq q_{m+1}$. It follows that $f \in X(p^*_{m,l})$, and hence $t_{p^*_{m,l}} \lhd f$ and $f_{p^*_{m,l}} \leq f$. This implies f is $(N, \mathbb{D})$-generic, as wanted.

We now prove that $f \in B_{\delta(*)}$. Let us recall that $B_{\delta(*)} = \{f \in \omega^\omega : t \in \Omega^+_{\Lambda_{\delta(*)}} \Rightarrow \neg(t \lhd f)\}$. Suppose towards contradiction that $f \notin B_{\delta(*)}$. Then for some $t \in \Omega^+_{\Lambda_{\delta(*)}}$, we have $t \lhd f$. Let n be large enough such that $t \lhd t_n \lhd f$. Then $(t, f_t), (t_n, f_{t_n}) \in I_{\Lambda_{\delta(*)}}$ are compatible, as witnessed by (t_n, f), which contradicts the fact that $I_{\Lambda_{\delta(*)}}$ is an antichain.

But then $f \in B_{\delta(*)}$ and $B_{\delta(*)} \subseteq B$, and hence $f \in B$ which is a contradiction. The claim follows. ⊣

This completes the proof of Lemma 2.5. ⊣

We now extend the above result to include the class of all Suslin ccc forcing notions which add a Hechler real.

LEMMA 2.10 $(\mathrm{ZF} + DC)$. *Let M be an inner model of* ZFC *with $\aleph_1^M = \aleph_1$. Let $\mathbb{Q} \in M$ be a Suslin ccc forcing notion which adds a Hechler real, and let $I = I_{\mathbb{Q},\aleph_0}$. Then the union of all Borel I-small sets coded in the model M is I-positive.*

PROOF. Let η be the canonical name for a real added by $\mathbb{Q}$ and let f be a Borel function such that $\Vdash_{\mathbb{Q}}$"$f(\eta) = \eta_{dom}$." We may assume that $\eta, f \in M$. Let also $\langle B_\alpha : \alpha < \omega_1\rangle$ be the sequence constructed in the proof of Lemma 2.5. Then the sequence $\langle f^{-1}(B_\alpha) : \alpha < \omega_1\rangle$ is in M.

CLAIM 2.11. *For every $\alpha < \omega_1$, $f^{-1}(B_\alpha) \in I_{\mathbb{Q},\aleph_0}$.*

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PROOF. Let $\alpha < \omega_1$. Then $\Vdash_{\mathbb{Q}}$"$\underset{\sim}{\eta}_{dom} \notin B_\alpha$," and therefore $\Vdash_{\mathbb{Q}}$"$\underset{\sim}{\eta} \notin f^{-1}(B_\alpha)$." Thus $f^{-1}(B_\alpha) \in I_{\mathbb{Q},\aleph_0}$, as required. ⊣

CLAIM 2.12. $\bigcup_{\alpha<\omega_1} f^{-1}(B_\alpha) \notin I_{\mathbb{Q},\aleph_0}$.

PROOF. Let χ be large enough regular and let $N \prec (H(\chi), \in)$ be a countable elementary submodel of $H(\chi)$ containing all the relevant objects. It suffices to find $K \subseteq \mathbb{Q} \cap N$ such that K is $(N, \mathbb{Q})$-generic and $\underset{\sim}{\eta}[K] \in \bigcup_{\alpha<\omega_1} f^{-1}(B_\alpha)$.

Let $RO(\mathbb{D})$ be the Boolean completion of $\mathbb{D}$ and let $\pi : \mathbb{Q} \to RO(\mathbb{D})$ be a projection, which exists by our assumption on $\mathbb{Q}$. By Lemma 2.5, there exists $G \subseteq RO(\mathbb{D}) \cap N$ which is $(N, RO(\mathbb{D}))$-generic, such that $\underset{\sim}{\eta}_{dom}[G] \in \bigcup_{\alpha<\omega_1} B_\alpha$. Let $H \subseteq \mathbb{Q}/G$ be $\mathbb{Q}/G$-generic over $N[G]$, where $\mathbb{Q}/G = \{\underset{\sim}{q} \in \mathbb{Q} : \pi(q) \in G\}$. Then $K = G * H$ is $(N, \mathbb{Q})$-generic and clearly $\underset{\sim}{\eta}[K] \in \bigcup_{\alpha<\omega_1} f^{-1}(B_\alpha)$. ⊣

The lemma follows.

§3. A measurable cardinal from regularity properties and DC_{ω_1}. In this section we prove a general criterion for the existence of an inner model for a measurable cardinal under the assumptions "ZF + DC_{ω_1} + all sets of reals have certain regularity properties."

Let us recall that an ideal J on a set X is κ-saturated, if $\mathcal{P}(X)/J$, considered as a forcing notion, satisfies the κ-cc. The following is well-known.

LEMMA 3.1 (see [8]). *Assume that there exists a non-trivial κ-complete κ-saturated ideal. Then there exists an inner model with a measurable cardinal.*

We now prove the following general result.

LEMMA 3.2 (ZF + DC). *Assume that λ is an uncountable cardinal and the following conditions hold*:

(1) *$\mathbb{Q}$ is a Suslin ccc forcing notion*;
(2) *I is a σ-complete ideal on the reals extending $I_{\mathbb{Q},\aleph_0}$*;
(3) *$\langle B_\alpha : \alpha < \lambda\rangle$ is a sequence of sets from $I_{\mathbb{Q},\aleph_0}$ such that $\bigcup_{\alpha<\lambda} B_\alpha \notin I$*;
(4) *There is no sequence $\langle B^*_\alpha : \alpha < \aleph_1\rangle$ of I-positive sets such that $B^*_\alpha \cap B^*_\beta \in I$ for every $\alpha \neq \beta < \aleph_1$.*

Then there exists an inner model of ZFC with a measurable cardinal.

REMARK 3.3. The condition in clause (4) follows from the assumption $\mathcal{P}(\omega^\omega)/I \models ccc$, and these are equivalent under AC_{ω_1}.

PROOF. For every $\alpha < \lambda$, let $B'_\alpha = B_\alpha \setminus \bigcup_{\beta<\alpha} B_\beta$. By clause (3), $\bigcup_{\alpha<\lambda} B'_\alpha = \bigcup_{\alpha<\lambda} B_\alpha \notin I$. Let $U \subseteq \lambda$ be of minimal size such that $\bigcup_{\alpha\in U} B'_\alpha \notin I$. Let also $(\xi_\alpha : \alpha < |U|)$ enumerate U and for each $\alpha < |U|$ set $B''_\alpha = B'_{\xi_\alpha}$. Then $\langle B''_\alpha : \alpha < |U|\rangle$ is a sequence of pairwise disjoint sets whose union is I-positive. Let J be the ideal on $|U|$ defined as

$$X \in J \Leftrightarrow \bigcup_{\alpha\in X} B''_\alpha \in I.$$

CLAIM 3.4. *Let $\kappa = \aleph_1^V$. Then $L[J] \models$"$J \cap L[J]$ is a κ-complete κ-saturated ideal on $|U|$."*

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PROOF. We first show that J is κ-complete in V. Suppose towards a contradiction that $\langle X_n : n < \omega \rangle \in V$ is such that $X_n \in J$ for $n < \omega$, but $X = \bigcup_{n<\omega} X_n \notin J$. For $n < \omega$ set $A_n = \bigcup_{\alpha \in X_n} B''_\alpha$. Then $\langle A_n : n < \omega \rangle \in V$, and by the definition of the ideal J,

- $A_n \in I$, for $n < \omega$;
- $A = \bigcup_{n<\omega} A_n \notin I$.

This contradicts clause (2). It immediately follows that $L[J] \models$"$J \cap L[J]$ is a κ-complete ideal on $|U|$."

We now show that $L[J] \models$"$\mathcal{P}(|U|)/(J \cap L[J])$ is κ-cc." Suppose not. Thus there exists a sequence $\langle A_\alpha : \alpha < \kappa \rangle \in L[J]$ of J-positive sets such that for every $\alpha < \beta < \kappa$, $A_\alpha \cap A_\beta \in J$. As J is κ-complete in $L[J]$, we may assume without loss of generality that $A_\alpha \cap A_\beta = \emptyset$, for every $\alpha < \beta < \kappa$.

Work in V. For each $\alpha < \kappa$ set $B^*_\alpha = \bigcup_{\xi \in A_\alpha} B''_\xi$. Then $\langle B^*_\alpha : \alpha < \kappa \rangle \in V$ satisfies the following conditions:

- $B^*_\alpha \notin I$ for every $\alpha < \kappa$ (as $A_\alpha \notin J$);
- $B^*_\alpha \cap B^*_\beta = \emptyset$, for $\alpha < \beta < \kappa$.

This contradicts clause (4). The claim follows. ⊣

Thus by Lemma 3.1, there exists an inner model of ZFC with a measurable cardinal.

Assume ZF + DC, and let $\mathbb{Q}$ be a Suslin ccc forcing notion which adds a Hechler real. Then the ideal $I_{\mathbb{Q},\aleph_0}$ is a σ-complete ideal and by Lemma 2.10, it is not $\aleph_2$-complete. We now show that the forcing notion $\mathcal{P}(\omega^\omega)/I_{\mathbb{Q},\aleph_0}$ satisfies the ccc. In order to do this, we use DC_{ω_1} and some regularity properties.

The following definition is of interest in the absence of choice.

DEFINITION 3.5. Assume that $\mathbb{Q}$ is a forcing notion, B is a Boolean algebra, and $I \subseteq B$ is an ideal.

(1) We say that $\mathbb{Q}$ satisfies the strong chain condition (scc), if there is no uncountable[2] set $\{X_s : s \in S\} \subseteq \mathcal{P}(\mathbb{Q})$ such that:
   (a) $X_s \neq \emptyset$ for each $s \in S$;
   (b) for every $s \neq t$ in S, if $p \in X_s$ and $q \in X_t$, then p and q are incompatible.

(2) We say that the pair (B, I) satisfies the weak strong chain condition (scc$^-$) if there is no uncountable collection $\{X_s : s \in S\} \subseteq \mathcal{P}(B)$ of non-empty subsets of B such that:
   (a) $X_s \cap I = \emptyset$, for each $s \in S$;
   (b) for every $s \neq t$ in S, if $b_s \in X_s$ and $b_t \in X_t$, then $b_s \wedge b_t \in I$.

(3) We say that the pair (B, I) satisfies the weak countable chain condition (ccc$^-$) if there is no uncountable collection $\{b_s : s \in S\} \subseteq B$ of I-positive elements of B such that $b_s \cap b_t \in I$, for every $s \neq t$ in S.

REMARK 3.6. Given an infinite cardinal κ, we can similarly define the notions of κ-strong chain condition, κ-weak strong chain condition, and κ-weak chain condition.

[2]So it may be non well-orderable in the absence of choice.

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We have the following easy lemma.

LEMMA 3.7. *Assume that $\mathbb{Q}$ is a forcing notion and DC_{ω_1} holds. Then $\mathbb{Q}$ satisfies the strong chain condition if and only if it satisfies the ccc.*

Let $\mathrm{Borel}(\omega^\omega)$ denote the collection of all Borel subsets of ω^ω.

LEMMA 3.8 (ZF). *Let $\mathbb{Q}$ be a Suslin forcing notion, and suppose that it satisfies the strong chain condition. Then*:

(a) $(\mathrm{Borel}(\omega^\omega), I_{\mathbb{Q},\aleph_0})$ *satisfies the weak countable chain condition.*
(b) $(\mathrm{Borel}(\omega^\omega), I_{\mathbb{Q},\aleph_0})$ *satisfies the weak strong chain condition.*

PROOF. For notational simplicity set $\mathcal{B} = \mathrm{Borel}(\omega^\omega)$.
(a). Let η be the canonical $\mathbb{Q}$-name for a real. Suppose that $\{B_s : s \in S\} \subseteq \mathcal{B}$ is a collection of $I_{\mathbb{Q},\aleph_0}$ –positive Borel sets such that for $s \neq t$ in S, $B_s \cap B_t \in I_{\mathbb{Q},\aleph_0}$. For every $s \in S$, let

$$X_s = \{p \in \mathbb{Q} : p \Vdash \underset{\sim}{\eta} \in B_s\}.$$

As each B_s is $I_{\mathbb{Q},\aleph_0}$ –positive, $X_s \neq \emptyset$. Furthermore if $s \neq t$ are in S, then since $B_s \cap B_t \in I_{\mathbb{Q},\aleph_0}$, for $p \in X_s$ and $q \in X_t$, p and q are incompatible. By our assumption, $\mathbb{Q}$ satisfies the strong chain condition, and hence S is countable. It follows that $(\mathcal{B}, I_{\mathbb{Q},\aleph_0})$ satisfies the weak countable chain condition.
(b). Suppose that $\{X_s : s \in S\} \subseteq \mathcal{P}(\mathcal{B})$ is a collection of non-empty subsets of $\mathcal{B}$ such that for each $s \in S$, $X_s \cap I_{\mathbb{Q},\aleph_0} = \emptyset$, and for $s \neq t$ in S, if $B_s \in X_s$ and $B_t \in X_t$, then $B_s \cap B_t \in I_{\mathbb{Q},\aleph_0}$. For each $s \in S$ let

$$P_s = \{p \in \mathbb{Q} : (\exists B \in X_s)\, p \Vdash \text{“}\underset{\sim}{\eta} \in B\text{”}\}.$$

Then each P_s is a non-empty set and as in the proof of (a), if $s \neq t$ are in S, $p \in P_s$ and $q \in P_t$, then p and q are incompatible. Thus, by our assumption, S is countable. The result follows. ⊣

LEMMA 3.9 ($\mathrm{ZF} + \mathrm{DC}_{\omega_1}$). *Assume that $\mathbb{Q}$ is a Suslin ccc forcing notion. Then*

(a) $\mathrm{Borel}(\omega^\omega)/I_{\mathbb{Q},\aleph_0}$ *is ccc.*
(b) *Assume all sets of reals are $I_{\mathbb{Q},\aleph_0}$-measurable. Then $\mathcal{P}(\omega^\omega)/I_{\mathbb{Q},\aleph_0}$ is ccc.*

PROOF. (a) By Lemma 3.7, $\mathbb{Q}$ satisfies the strong chain condition. By Lemma 3.8(a), $(\mathrm{Borel}(\omega^\omega), I_{\mathbb{Q},\aleph_0})$ satisfies the weak countable chain condition. Now suppose by the way of contradiction that $\mathrm{Borel}(\omega^\omega)/I_{\mathbb{Q},\aleph_0}$ does not satisfy the ccc, and let $A = \{\mathcal{X}_s : s \in S\} \subseteq \mathrm{Borel}(\omega^\omega)/I_{\mathbb{Q},\aleph_0}$ be an uncountable antichain. Note that each $\mathcal{X}_s$ is an equivalence class. By DC_{ω_1} S has a subset $\{s_\xi : \xi < \omega_1\}$ of size $\aleph_1$. By another application of DC_{ω_1}, we can choose representatives $X_\xi \in \mathcal{X}_{s_\xi}$. Then $\{X_\xi : \xi < \omega_1\} \subseteq \mathrm{Borel}(\omega^\omega)$ satisfies the following:

- each X_ξ is $I_{\mathbb{Q},\aleph_0}$-positive;
- for $\xi < \zeta < \omega_1$, $X_\xi \cap X_\zeta \in I_{\mathbb{Q},\aleph_0}$.

This contradicts the fact that the pair $(\mathrm{Borel}(\omega^\omega), I_{\mathbb{Q},\aleph_0})$ satisfies the weak countable chain condition.

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(b). By the way of contradiction suppose that $\{X_s : s \in S\}$ is an uncountable collection of $I_{\mathbb{Q},\aleph_0}$-positive sets such that for $s \neq t$ in S, $X_s \cap X_t \in I_{\mathbb{Q},\aleph_0}$. For each $s \in S$, let

$$P_s = \{B \subseteq \omega^\omega : \text{B is a Borel set such that } B = X_s \bmod I_{\mathbb{Q},\aleph_0}\}.$$

By our assumption, each P_s is non-empty. By DC_{ω_1}, there exists an uncountable subset $\{s_\xi : \xi < \omega_1\}$ of S, and by another application of DC_{ω_1}, we can choose the representatives $B_\xi \in P_{s_\xi}$, for $\xi < \omega_1$. Then the collection $\{B_\xi : \xi < \omega_1\}$ witnesses that $\mathrm{Borel}(\omega^\omega)/I_{\mathbb{Q},\aleph_0}$ does not satisfy ccc, which contradicts (a). ⊣

The following is an immediate corollary of the above results.

COROLLARY 3.10 ($\mathrm{ZF} + \mathrm{DC}_{\omega_1}$). *Assume that $\mathbb{Q}$ is a Suslin ccc forcing notion, λ is an infinite cardinal, and the following conditions hold*:

(1) *All sets of reals are $I_{\mathbb{Q},\aleph_0}$ –measurable.*
(2) *There exists a sequence $\langle B_\alpha : \alpha < \lambda\rangle$ of sets from $I_{\mathbb{Q},,\aleph_0}$, such that $\bigcup_{\alpha<\lambda} B_\alpha \notin I_{\mathbb{Q},\aleph_0}$.*

Then there is an inner model of ZFC *with a measurable cardinal.*

PROOF. Let $I = I_{\mathbb{Q},\aleph_0}$. By Lemma 3.2 and Remark 3.3, it is enough to show that $\mathcal{P}(\omega^\omega)/I$ satisfies the ccc. This follows from Lemma 3.9(b). ⊣

THEOREM 3.11 ($\mathrm{ZF} + \mathrm{DC}_{\omega_1}$). *Let $\mathbb{Q}$ be a Suslin ccc forcing notion which adds a Hechler real. Suppose every set of reals is $I_{\mathbb{Q},\aleph_0}$-measurable. Then there is an inner model of* ZFC *with a measurable cardinal.*

PROOF. By Lemma 2.10 and Corollary 3.10. ⊣

§4. A class of Suslin ccc forcing notions adding a Hechler real. In this section we prove Theorem 1.7, by showing that the forcing notions $\mathbb{Q}^1_{\mathbf{n}}$ from [3] add a Hechler real.

REMARK 4.1. In [3], some other classes of forcing notions were also introduced, but as they are not relevant to our work, we do not discuss them here.

Let us recall some definitions and facts from [3].

DEFINITION 4.2. A norm on a set A is a function

$$\mathrm{nor} : \mathcal{P}(A) \setminus \{\emptyset\} \to [0, \infty)$$

such that if $X \subseteq Y$, then $\mathrm{nor}(X) \leq \mathrm{nor}(Y)$.

In order to define the forcing notions $\mathbb{Q}^1_{\mathbf{n}}$, we first need to define the corresponding parameters $\mathbf{n}$.

The following definition of a nice parameter $\mathbf{n} = (T, \mathrm{nor}, \bar{\lambda}, \bar{\mu})$ packages a tree together with local branching data and norms, so that later forcing constructions can thin successor sets while retaining enough combinatorial mass. The underlying tree T consists of finite sequences; it is the combinatorial skeleton. For each node t, $\lambda_t = |Suc_T(t)|$ measures how many immediate extensions t has. The μ_t's are the local control parameters. They bound and calibrate the norms and are used in the inequalities that make the construction work. The local rank, or largeness,

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nor_t, measures on the successor set at t. It is what allows the forcing argument to preserve enough branching after thinning. The order conditions on the λ_t's ensure that different levels are separated by strictly increasing cardinal scales. The quantity $\lambda_{<t} = \prod\{\lambda_s : \lambda_s < \lambda_t\}$ is an auxiliary bound used to ensure that μ_t is large enough relative to all earlier branching sizes.

DEFINITION 4.3. A *nice parameter* is a tuple $\mathbf{n} = (T, \mathrm{nor}, \bar{\lambda}, \bar{\mu})$ such that:

(1) T is a subtree of $\omega^{<\omega}$.
(2) $\bar{\mu} = (\mu_t : t \in T)$ is a sequence of non-negative real numbers.
(3) $\bar{\lambda} = (\lambda_t : t \in T)$ is a sequence of pairwise distinct non-zero natural numbers such that for each $s, t \in T$:
   (a) $\ell g(t) < \mu_t < \lambda_t = |\mathrm{Suc}_T(t)|$.[3]
   (b) If $\ell g(s) = \ell g(t)$ and $s <_{\mathrm{lex}} t$ then $\lambda_s < \lambda_t$.
   (c) If $\ell g(s) < \ell g(t)$, then $\lambda_s < \lambda_t$.
(4) For $t \in T$, nor_t is a norm on $\mathrm{Suc}_T(t)$ such that:
   (a) $(\ell g(t) + 1)^2 \leq \mu_t \leq \mathrm{nor}_t(\mathrm{Suc}_T(t))$.
   (b) $\lambda_{<t} < \mu_t$, where $\lambda_{<t} = \prod_{\lambda_s < \lambda_t} \lambda_s$.
   (c) (Co-Bigness) Suppose $r > 0$, $i(*) \leq \mu_t$ and for every $i < i(*)$, $a_i \subseteq \mathrm{Suc}_T(t)$ and $r + \frac{1}{\mu_t} \leq \mathrm{nor}_t(a_i)$. Then $r \leq \mathrm{nor}_t(\bigcap_{i<i(*)} a_i)$.
   (d) If $1 \leq \mathrm{nor}_t(a)$ then $\frac{1}{2} < \frac{|a|}{|\mathrm{Suc}_T(t)|}$.
   (e) If $r + \mu_t \leq \mathrm{nor}_t(a)$ and $s \in a$, then $r \leq \mathrm{nor}_t(a \setminus \{s\})$.

NOTATION 4.4. *Given a nice parameter* $\mathbf{n}$, *we denote it as* $\mathbf{n} = (T_{\mathbf{n}}, \mathrm{nor}_{\mathbf{n}}, \bar{\lambda}_{\mathbf{n}}, \bar{\mu}_{\mathbf{n}})$, *where* $\bar{\lambda}_{\mathbf{n}} = \langle \lambda^{\mathbf{n}}_t : t \in T_{\mathbf{n}} \rangle$ *and* $\bar{\mu}_{\mathbf{n}} = \langle \mu^{\mathbf{n}}_t : t \in T_{\mathbf{n}} \rangle$. *Furthermore, we denote* $(\mathrm{nor}_{\mathbf{n}})_t$ *as* $\mathrm{nor}^{\mathbf{n}}_t$.

We are now ready to define the forcing notions $\mathbb{Q}^1_{\mathbf{n}}$, where $\mathbf{n}$ is a nice parameter.

DEFINITION 4.5. Suppose $\mathbf{n}$ is a nice parameter. The forcing notion $\mathbb{Q}^1_{\mathbf{n}}$ is defined as follows:

(1) $p \in \mathbb{Q}^1_{\mathbf{n}}$ iff for some $\mathrm{tr}(p) \in T_{\mathbf{n}}$ we have:
   (a) $p = (\mathrm{tr}(p), T_p)$, where T_p is a subtree of $T_{\mathbf{n}}$ with trunk $\mathrm{tr}(p)$.
   (b) For $\eta \in \lim(T_p)$,
$$\lim(nor_{\eta\restriction l}(\mathrm{Suc}_{T_p}(\eta \restriction l)) : \ell g(\mathrm{tr}(p)) \leq l < \omega) = \infty.$$
   (c) $2 - \frac{1}{\mu_{\mathrm{tr}(p)}} \leq \mathrm{nor}(p)$, where
$$\mathrm{nor}(p) = \sup\{a > 0 : t \in T_p \Rightarrow a \leq \mathrm{nor}_t(\mathrm{Suc}_{T_p}(t))\}.$$[4]
   (d) For every $n < \omega$, there exists $k^p(n) > \ell g(\mathrm{tr}(p))$ such that
$$t \in T_p \text{ and } \ell g(t) \geq k^p(n) \Rightarrow n \leq \mathrm{nor}_t(\mathrm{Suc}_{T_p}(t)).$$
(2) Suppose $p, q \in \mathbb{Q}^1_{\mathbf{n}}$. Then $p \leq q$ iff $T_q \subseteq T_p$.

To each forcing notion $\mathbb{Q}^1_{\mathbf{n}}$ we can assign a canonical name for a real.

[3] It follows that $T \cap \omega^n$ is finite and non-empty for every $n > 0$.
[4] Note that $\mathrm{nor}(p) = \inf\{\mathrm{nor}_t(\mathrm{Suc}_{T_p}(t)) : t \in T_p\}$.

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DEFINITION 4.6. Suppose $\mathbf{n}$ is a nice parameter. Let $\underset{\sim}{\eta}^1_{\mathbf{n}}$ be the $\mathbb{Q}^1_{\mathbf{n}}$ –name

$$\underset{\sim}{\eta}^1_{\mathbf{n}} = \bigcup\{\mathrm{tr}(p) : p \in \dot{G}_{\mathbb{Q}^1_{\mathbf{n}}}\},$$

where $\dot{G}_{\mathbb{Q}^1_{\mathbf{n}}}$ is the canonical $\mathbb{Q}^1_{\mathbf{n}}$-name for the generic filter.

REMARK 4.7. If G is a $\mathbb{Q}^1_{\mathbf{n}}$-generic filter over V, then $\underset{\sim}{\eta}^1_{\mathbf{n}}[G]$ is a generic real added by G, furthermore, we can recover G from $\underset{\sim}{\eta}^1_{\mathbf{n}}[G]$ by

$$G = \{p \in \mathbb{Q}^1_{\mathbf{n}} : \mathrm{tr}(p) \lhd \underset{\sim}{\eta}^1_{\mathbf{n}}[G]\}.$$

We now state some of the basic properties and results on $\mathbb{Q}^1_{\mathbf{n}}$.

THEOREM 4.8. *Suppose* $\mathbf{n}$ *is a nice parameter. Then*:

(a) $\mathbb{Q}^1_{\mathbf{n}}$ *is a Suslin ccc forcing notion*.
(b) *Forcing with* $\mathbb{Q}^1_{\mathbf{n}}$ *adds a Cohen real*.
(c) *The following is consistent relative to* ZFC:
  (1) ZF;
  (2) *every set of reals is* $I_{\mathbb{Q}^1_{\mathbf{n}},\aleph_1}$*-measurable*;
  (3) *there exists an* ω_1 *–sequence of distinct reals.*

PROOF. See [3]. ⊣

We now turn to the proof of Theorem 1.7, and show that for each nice parameter $\mathbf{n}$, the forcing notion $\mathbb{Q}^1_{\mathbf{n}}$ adds a Hechler real. In order to do that, we first prove the weaker result that $\mathbb{Q}^1_{\mathbf{n}}$ adds a dominating real.

LEMMA 4.9. *Suppose* $\mathbf{n}$ *is a nice parameter. Then forcing with* $\mathbb{Q}^1_{\mathbf{n}}$ *adds a dominating real.*

PROOF. For every $t \in T_{\mathbf{n}}$ and $k \leq \ell g(t)$, let $w_{t,k} \subseteq \mathrm{Suc}_{T_{\mathbf{n}}}(t)$ be such that:

- $\mathrm{nor}_t(\mathrm{Suc}_{T_{\mathbf{n}}}(t) \setminus w_{t,k}) = k+1$;
- $|w_{t,k}|$ is minimal;
- if $k+1 \leq \ell g(t)$, then $w_{t,k+1} \subseteq w_{t,k}$.

Then we have the following.

CLAIM 4.10. *Let* t *and* k *be as above.*

(a) *If* $u \subseteq \mathrm{Suc}_{T_{\mathbf{n}}}(t)$ *and* $k+2 \leq \mathrm{nor}_t(u)$, *then* $u \cap w_{t,k} \neq \emptyset$.
(b) *If* $u \subseteq \mathrm{Suc}_{T_{\mathbf{n}}}(t)$, $l < k$ *and* $l+1 \leq \mathrm{nor}_t(u)$, *then letting* $v = u \setminus w_{t,k}$, *we have*:
  (1) $v \subseteq u$ *and* $v \cap w_{t,k} = \emptyset$.
  (2) $l \leq \mathrm{nor}_t(v)$ *and* $v \neq \emptyset$.
  (3) *If* $\mathrm{nor}_t(u) > 2$, *then* $\min\{k, \mathrm{nor}_t(u)-1\} \leq \mathrm{nor}_t(v)$.

PROOF. (a). Otherwise, $u \subseteq Suc_{T_{\mathbf{n}}} \setminus w_{t,k}$, and hence $\mathrm{nor}_t(\mathrm{Suc}_{T_{\mathbf{n}}} \setminus w_{t,k}) \geq \mathrm{nor}_t(u) \geq k+2$, which is impossible.

(b). Clause (1) is clear. For clause (2), note that $v = u \cap (\mathrm{Suc}_{T_{\mathbf{n}}}(t) \setminus w_{t,k})$, hence by the co-bigness property Definition 4.3(4)(c), $\mathrm{nor}_t(v) = \mathrm{nor}_t(u \cap (\mathrm{Suc}_{T_{\mathbf{n}}}(t) \setminus w_{t,k})) \geq l$. For clause (3), let $j = \min\{k-1, \mathrm{nor}_t(u)-2\} = \min\{\mathrm{nor}_t(\mathrm{Suc}_{T_{\mathbf{n}}}(t) \setminus w_{t,k})-2, \mathrm{nor}_t(u)-2\}$. By the co-bigness property, $\mathrm{nor}_t(v) = \mathrm{nor}_t(u \cap (\mathrm{Suc}_{T_{\mathbf{n}}}(t) \setminus w_{t,k})) \geq j+1$, from which the result follows. ⊣

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By induction on n we define a sequence $\langle \underset{\sim}{\tau}_n : n < \omega \rangle$ of $\mathbb{Q}^1_{\mathbf{n}}$-names for a member of $\omega \cup \{\omega\}$ as follows:

(1) if $n = 0$, then $\underset{\sim}{\tau}_0 = \check{0}$;
(2) if $n = m + 1$ and $\underset{\sim}{\tau}_m = \check{\omega}$, then $\underset{\sim}{\tau}_n = \check{\omega}$ as well. Otherwise, we let $\underset{\sim}{\tau}_n = \check{j}$ where j is the minimal natural number such that $\Vdash_{\mathbb{Q}^1_{\mathbf{n}}}$"$\underset{\sim}{\tau}_m < \check{j}$ and $\underset{\sim}{\eta}^1_{\mathbf{n}} \restriction j+1 \in \check{w}_{\underset{\sim}{\eta}^1_{\mathbf{n}} \restriction j, n}$," if such a j exists. Otherwise, we let $\underset{\sim}{\tau}_n = \check{\omega}$.

Claim 4.11. $\Vdash_{\mathbb{Q}^1_{\mathbf{n}}}$"$\underset{\sim}{\tau}_n < \check{\omega}$, *for every* $n < \omega$.

Proof. We prove the claim by induction on n. For $n = 0$ the claim is obvious by the choice of $\underset{\sim}{\tau}_0$. Now suppose that $n = m + 1$ and the claim holds for m. Let $p \in \mathbb{Q}^1_{\mathbf{n}}$ be an arbitrary condition. We find an extension q of p, forcing $\underset{\sim}{\tau}_n < \check{\omega}$. By extending p if necessary, we may assume that:

- p decides $\langle \underset{\sim}{\tau}_i : i < n \rangle$, say it forces "$\underset{\sim}{\tau}_i = j_i$" for every $i < n$;
- $j_m + m + 1 < \ell g(\mathrm{tr}(p))$;
- $n + 2 < \mathrm{nor}_t(\mathrm{Suc}_{T_p}(t))$ for every $\mathrm{tr}(p) \trianglelefteq t \in T_p$.

By Claim 4.10, $w_{\mathrm{tr}(p),n} \cap \mathrm{Suc}_{T_p}(\mathrm{tr}(p)) \neq \emptyset$. Let $t \in w_{\mathrm{tr}(p),n} \cap \mathrm{Suc}_{T_p}(\mathrm{tr}(p))$ and let $q = (t, T_p^{[t]})$, where $T_p^{[t]} = \{s \in T_p : s \trianglelefteq t \text{ or } t \trianglelefteq s\}$. Then

$$q \Vdash \text{"}\underset{\sim}{\eta}^1_{\mathbf{n}} \restriction (\ell g(\mathrm{tr}(p)) + 1) = t \in \check{w}_{\mathrm{tr}(p),n} = \check{w}_{\underset{\sim}{\eta}^1_{\mathbf{n}} \restriction \ell g(\mathrm{tr}(p)), n}\text{,"}$$

and hence $q \Vdash$"$\underset{\sim}{\tau}_n \leq \ell g(\mathrm{tr}(p))$," as required. ⊣

Let $\underset{\sim}{\eta}$ be a name for a real such that

$$\Vdash_{\mathbb{Q}^1_{\mathbf{n}}} \text{"}(\forall n < \omega)\underset{\sim}{\eta}(n) = \underset{\sim}{\tau}_n.$$

Claim 4.12. *Suppose* $h \in \omega^\omega$ *and* $p \in \mathbb{Q}^1_{\mathbf{n}}$. *Then there exists a condition* $q \geq p$ *such that* $q \Vdash$"$h(n) \leq \underset{\sim}{\eta}(n)$ *for every large enough* n."

Proof. Without loss of generality, we may assume that the function h is increasing. By extending p if necessary, we may also assume that $\ell g(\mathrm{tr}(p)) > 2$ and for every $t \in T_p$ with $\mathrm{tr}(p) \trianglelefteq t$ we have $2 < \mathrm{nor}_t(\mathrm{Suc}_{T_p}(t))$.

Let m be maximal such that p decides $\underset{\sim}{\eta} \restriction m+1$, and let $\langle j_i : i < m+1 \rangle$ be such that $p \Vdash$"$\underset{\sim}{\eta} \restriction m+1 = \langle j_i : i < m+1 \rangle$." Let also $\langle n_i : i < \omega \rangle$ be an increasing sequence of natural numbers such that:

- $n_0 = \ell g(\mathrm{tr}(p))$;
- $h(n_i) < n_{i+1}$, for every $i < \omega$.

Let

$$T = \{t \in T_p : (\forall i < \omega)(\forall l \in [n_i, n_{i+1}))\big[l < \ell g(t) \Rightarrow t \restriction (l+1) \notin w_{t \restriction l, i}\big]\}.$$

T is obviously downwards closed and by the co-bigness property, T is a perfect tree. Let $t \in T$ be such that $2 < \mathrm{nor}_s(\mathrm{Suc}_T(s))$ for every $t \trianglelefteq s \in T$ and set $q = (t, T^{[t]})$. Then $q \in \mathbb{Q}^1_{\mathbf{n}}$ and $q \geq p$. We show that

$$q \Vdash \text{"}m < n \Rightarrow h(n) \leq \underset{\sim}{\eta}(n) = \underset{\sim}{\tau}_n\text{."}$$

Suppose not. Thus we can find $r \geq q$ and $n > m$ such that

$$(*)_1 \qquad r \Vdash \text{"}\underset{\sim}{\tau}_n < h(n)\text{."}$$

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By extending r, we may assume that:

- r decides $\underset{\sim}{\eta} \restriction n+1$, say it forces "$\underset{\sim}{\eta} \restriction n+1 = \langle j_i : i < n+1\rangle$";
- $j_n < \ell g(\mathrm{tr}(r))$.

Let i be such that $n_i \leq j_n < n_{i+1}$. Set $s_1 = \mathrm{tr}(r) \restriction j_n$ and $s_2 = \mathrm{tr}(r) \restriction (j_n+1)$. Then $s_2 \in \mathrm{Suc}_{T_q}(s_1)$, and hence by the definition of the tree T we have $s_2 \notin w_{s_1,i}$. On the other hand, $r \Vdash$"$\underset{\sim}{\tau}_n = j_n < \ell g(\mathrm{tr}(r))$," and hence $s_2 \in w_{s_1,n}$. As the sequence $\langle w_{s_1,k} : k \leq j_n\rangle$ is decreasing, we must have $n < i$. It then follows that $h(n) < h(i) < n_i \leq j_n$, and hence

$$(*)_2 \qquad r \Vdash \text{"}\underset{\sim}{\tau}_n = j_n > h(n)\text{."}$$

By $(*)_1$ and $(*)_2$ we get a contradiction. ⊣

It follows from Claim 4.12 that the real $\underset{\sim}{\eta}[G_{\mathbb{Q}^1_{\mathbf{n}}}]$ dominates every ground model real and the lemma follows.

We now prove Theorem 1.7.

THEOREM 4.13. *Suppose* $\mathbf{n}$ *is a nice parameter. Then forcing with* $\mathbb{Q}^1_{\mathbf{n}}$ *adds a Hechler real.*

PROOF. Let $\mathbb{D}$ denote the Hechler forcing. Given $I \subseteq \mathbb{D}$ and $f \in \omega^\omega$, let us say that *f satisfies I*, if there exists a condition $p \in I$ such that $t_p \lhd f$ and $f_p(n) \leq f(n)$ for every $n < \omega$. The next lemma shows that it suffices to verify that forcing with $\mathbb{Q}^1_{\mathbf{n}}$ adds a real which satisfies I, for every maximal antichain $I \subseteq \mathbb{D}$ in the ground model.

LEMMA 4.14. *Suppose ρ is a $\mathbb{Q}^1_{\mathbf{n}}$-name for a real, such that for every maximal antichain $I \subseteq \mathbb{D}$ from the ground model, $\Vdash_{\mathbb{Q}^1_{\mathbf{n}}}$"$\underset{\sim}{\rho}$ satisfies I." Then $\rho = \underset{\sim}{\rho}[G_{\mathbb{Q}^1_{\mathbf{n}}}]$ is a Hechler real.*

PROOF. We have to show that the set

$$G = \{(t,f) \in \mathbb{D} : t \lhd \rho \text{ and } (\forall \ell g(t) \leq n < \omega) f(n) \leq \rho(n)\}$$

is a $\mathbb{D}$-generic filter over V. Thus suppose that $I \subseteq \mathbb{D}$ is a maximal antichain in V. By our assumption, there exists $(t,f) \in I$ such that $t \lhd f$ and $f(n) \leq \rho(n)$ for every $n < \omega$. It then follows that $(t,f) \in G \cap I$, and hence $G \cap I \neq \emptyset$. The result follows. ⊣

We now introduce a $\mathbb{Q}^1_{\mathbf{n}}$-name $\underset{\sim}{\rho}$ as requested by the above lemma. Let $\langle \underset{\sim}{\tau}_n : n < \omega\rangle$ be as in proof of Lemma 4.9. We define the $\mathbb{Q}^1_{\mathbf{n}}$-names $\langle \underset{\sim}{l}_i : i < \omega\rangle$, $\langle \underset{\sim}{k}_i : i < \omega\rangle$, and $\underset{\sim}{\rho}$ as follows:

(1) For every $i < \omega$, let $\underset{\sim}{l}_i$ is such that

$$\Vdash_{\mathbb{Q}^1_{\mathbf{n}}} \text{"}\underset{\sim}{l}_i = \max\{l : \underset{\sim}{\eta}{}^1_{\mathbf{n}} \restriction (\underset{\sim}{\tau}_i+1) \in w_{\underset{\sim}{\eta}{}^1_{\mathbf{n}} \restriction \underset{\sim}{\tau}_i, i+l}\}\text{."}$$

(2) The name $\underset{\sim}{k}_i$ is defined by induction on i such that

$$\Vdash_{\mathbb{Q}^1_{\mathbf{n}}} \text{"}\underset{\sim}{k}_i = \min\{k > i : (\forall j < i) k > \underset{\sim}{k}_j \text{ and } \underset{\sim}{l}_k > 1\}\text{."}$$

(3) $\Vdash_{\mathbb{Q}^1_{\mathbf{n}}}$ "$\underset{\sim}{\rho} = \langle \underset{\sim}{\tau}_n + \underset{\sim}{l}_{\underset{\sim}{k}_n} : n < \omega\rangle \in \omega^\omega$."

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LEMMA 4.15. *Let $I = \{(t_n, f_n) : n < \omega\} \subseteq \mathbb{D}$ be a maximal antichain and let $p \in \mathbb{Q}^1_{\mathbf{n}}$. Then there exists a condition $q \in \mathbb{Q}^1_{\mathbf{n}}$ such that $p \leq q$ and $q \Vdash_{\mathbb{Q}^1_{\mathbf{n}}}$ "$\underset{\sim}{p}$ satisfies* I."

PROOF. Set $p_1 = p$. Let $h' \in \omega^\omega$ be a function such that for every $n < \omega$,

- $f_n \leq^* h'$;
- $n < h'(n) < h'(n+1)$.

Let also $h \in \omega^\omega$ be defined as $h(n) = h'(n) + 1$.

By the proof of Lemma 4.9, there are p_2 and n^*_1 such that:

- $p_1 \leq p_2$;
- $2 < n^*_1 \leq \ell g(\mathrm{tr}(p_2))$;
- $p_2 \Vdash$"$n^*_1 \leq l \Rightarrow h(l) \leq \underset{\sim}{\tau}_l$."

CLAIM 4.16. *Assume $p \in \mathbb{Q}^1_{\mathbf{n}}$ and $\ell g(\mathrm{tr}(p)) > 2$. Then there exists an increasing sequence $\langle n_i : i < \omega\rangle$ of natural numbers satisfying the following conditions*:

(1) $n_0 = \ell g(\mathrm{tr}(p))$.
(2) *If $l_1 \in [n_i, n_{i+1})$ and $t_1 \in T_p \cap \omega^{l_1}$, then there are $l_2 \in [n_{i+1}, n_{i+2})$ and $t_2 \in T_p \cap \omega^{l_2}$ such that*:
   (a) *t_2 extends t_1.*
   (b) *For every $l \in [l_1, l_2)$ we have $t_2 \restriction (l+1) \notin w_{t_2 \restriction l, 0}$.*
   (c) $\beth_{i+1}(0) < \mathrm{nor}_{t_2}(\mathrm{Suc}_{T_p}(t_2))$.[5]

PROOF. By extending p if necessary, assume that $\mathrm{nor}_t(\mathrm{Suc}_{T_p}(t)) > 2$ for every $\mathrm{tr}(p) \trianglelefteq t \in T_p$. Set $n_0 = \ell g(\mathrm{tr}(p))$. Now suppose that $i < \omega$ and n_{i+1} is defined. We define n_{i+2}.

Let $l_1 \in [n_i, n_{i+1})$ and $t_1 \in T_p \cap \omega^{l_1}$. We define a sequence $\langle s^{l_1,t_1}_l : l_1 \leq l < \omega\rangle$ by induction on $l \geq l_1$ such that:

- $s^{l_1,t_1}_{l_1} = t_1$;
- $s^{l_1,t_1}_{l+1} \in \mathrm{Suc}_{T_p}(s^{l_1,t_1}_l) \setminus w_{s^{l_1,t_1}_l, 0}$.

We can easily define such a sequence, as for each l, $\mathrm{Suc}_{T_p}(s^{l_1,t_1}_l) \setminus w_{s^{l_1,t_1}_l,0} \neq \emptyset$. Let $\eta^{l_1,t_1} = \bigcup_{l_1 \leq l < \omega} s^{l_1,t_1}_l$. Then

$$\lim_{n<\omega}(\mathrm{nor}_{\eta^{l_1,t_1}\restriction n}(\mathrm{Suc}_{T_p}(\eta^{l_1,t_1} \restriction n))) = \infty,$$

and therefore there exists $n^{l_1,t_1}_{i+2} \geq n_{i+1}$ such that $\mathrm{nor}_{\eta^{l_1,t_1}\restriction m}(\mathrm{Suc}_{T_p}(\eta^{l_1,t_1} \restriction m)) > \beth_{i+1}(0)$ for every $n^{l_1,t_1}_{i+2} \leq m$. Let

$$n_{i+2} = \max\{n^{l_1,t_1}_{i+2} : l_1 \in [n_i, n_{i+1}) \text{ and } t_1 \in T_p \cap \omega^l\} + 1.$$

Note that n_{i+2} is well-defined as for each $l_1 \in [n_i, n_{i+1})$, the set $T_p \cap \omega^l$ is finite. It is easy to see that n_{i+2} is as required. ⊣

Let $\langle n_i : i < \omega\rangle$ be a sequence as in Claim 4.16 for the condition p_2. Let j_* and j_{**} be such that:

[5] $\beth_i(k)$ is defined by induction on i by $\beth_0(k) = k$ and $\beth_{i+1}(k) = 2^{\beth_i(k)}$.

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- j_* is maximal such that p_2 decides $\langle \underset{\sim}{\tau}_i : i < j_* \rangle$;
- j_{**} is maximal such that p_2 decides $\langle \underset{\sim}{k}_i : i < j_{**} \rangle$.

Let also the sequences $\langle m_i : i < j_* \rangle$ and $\langle k_i : i < j_{**} \rangle$ be such that

$$p_2 \Vdash \text{“}\langle \underset{\sim}{\tau}_i : i < j_* \rangle = \langle m_i : i < j_* \rangle\text{,”}$$

and

$$p_2 \Vdash \text{“}\langle \underset{\sim}{k}_i : i < j_{**} \rangle = \langle k_i : i < j_{**} \rangle\text{.”}$$

Let $s_1 = \langle m_i + l_{k_i} : i < j_{**} \rangle$. Then

$$p_2 \Vdash \text{“}\underset{\sim}{\rho} \restriction j_{**} = s_1\text{.”}$$

Define $h_1 \in \omega^\omega$ by

$$h_1(i) = \beth_{h(i+1)+n_{i+2}}(0) + \max\{m_j : j < j_*\} + h(i+2).$$

Then $h_1 \geq h$ and $(s_1, s_1 \cup h_1 \restriction [\ell g(s_1), \omega)) \in \mathbb{D}$. As I is a maximal antichain, there exists a condition $(s_2, h_2) \in \mathbb{D}$ which extends $(s_1, s_1 \cup h_1 \restriction [\ell g(s_1), \omega))$ and a member of I. We show that there is an extension of p_2 which forces “$\underset{\sim}{\rho}$ satisfies (s_2, h_2).”

Claim 4.17. *There exists an increasing sequence $\langle p_{3,i} : i \in [\ell g(s_1), \ell g(s_2)] \rangle$ of elements of $\mathbb{Q}^1_{\mathbf{n}}$ satisfying the following conditions*:

(1) *For each $i \in [\ell g(s_1), \ell g(s_2))$, $p_{3,i} = (t^*_i, T^{[t^*_i]}_{p_2})$, for some t^*_i.*
(2) *$p_{3,\ell g(s_1)} = p_2$.*
(3) *$p_{3,i}$ decides $\langle \underset{\sim}{\tau}_j : j < j_* + i - \ell g(s_1) \rangle$, say*

$$p_{3,i} \Vdash \text{“}\langle \underset{\sim}{\tau}_j : j < j_* + i - \ell g(s_1) \rangle = \langle m_j : j < j_* + i - \ell g(s_1) \rangle\text{.”}$$

(4) *$p_{3,i}$ doesn't force a value for $\underset{\sim}{\tau}_{j_*+i-\ell g(s_1))}$.*

Proof. We define a sequence $\langle t^*_i : i \in [\ell g(s_1), \ell g(s_2)] \rangle$, by induction on i as follows. Let $t^*_{\ell g(s_1)} = \mathrm{tr}(p_2)$. Now suppose that t^*_i is defined and $\ell g(t^*_i) \in [n_{i-\ell g(s_1)}, n_{i+1-\ell g(s_1)}]$. By Claim 4.16, applied to $\ell g(t^*_i)$ and t^*_i, there exist $l^* \in [n_{i+1-\ell g(s_1)}, n_{i+2-\ell g(s_1)}]$ and $t^* \in T_{p_2} \cap \omega^{l^*}$ such that:

- $t^*_i \trianglelefteq t^*$;
- for every $l \in [\ell g(t^*_i), l^*)$, $t^* \restriction (l+1) \notin w_{t^* \restriction l, 0}$;
- $\beth_{i-\ell g(s_1)+1}(0) < \mathrm{nor}_{t^*}(\mathrm{Suc}_{T_p}(t^*))$.

Let $u = \mathrm{Suc}_{T_{\mathbf{n}}}(t^*) \setminus \mathrm{Suc}_{T_{p_2}}(t^*)$ and $j = j_* + i - \ell g(s_1)$. We show that $w_{t^*,j} \setminus w_{t^*,j+1} \nsubseteq u$. Suppose not. It then follows that

$$|w_{t^*,j+1}| \leq \frac{|w_{t^*,j}|}{2} \leq |w_{t^*,j} \setminus w_{t^*,j+1}| \leq |u|,$$

and therefore, by the construction of condition p_2 and the choice of the w's,

$$\beth_{i-\ell g(s_1)+1}(0) < \mathrm{nor}_{t^*}(\mathrm{Suc}_{T_{p_2}}(t^*)) = \mathrm{nor}_{t^*}(\mathrm{Suc}_{T_{\mathbf{n}}}(t^*) \setminus u) \leq i - \ell g(s_1) + 2,$$

which is not possible. Therefore $w_{t^*,j} \setminus w_{t^*,j+1} \nsubseteq u$, and hence we can find some $t^*_{i+1} \in \mathrm{Suc}_{T_{p_2}}(t^*) \cap (w_{t^*,j} \setminus w_{t*,j+1}) \neq \emptyset$. This defines t^*_{i+1}.

It is now easy to see that $p_{3,i} = (t^*_i, T^{[t^*_i]}_{p_2})$'s are as required. ⊣

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Note that each $p_{3,i}$ from the above claim also decides $\langle \underset{\sim}{l}_j : j < j_* + i - \ell g(s_1)\rangle$, say

$$p_{3,i} \Vdash \text{“}\langle \underset{\sim}{l}_j : j < j_* + i - \ell g(s_1)\rangle = \langle l^*_j : j < j_* + i - \ell g(s_1)\rangle\text{.”}$$

Note also that for every $i \in (\ell g(s_1), \ell g(s_2))$,

$$m_{i-1} \leq \ell g(\mathrm{tr}(p_{3,i})) = \ell g(t^*_i) \leq n_{i+1} \leq \beth_{h(i)+n_{i+1}}(0) < h_1(i-1) \leq h_2(i-1).$$

Now choose p_4 such that:

- $p_{3,\ell g(s_2)} \leq p_4$;
- $\max(\mathrm{range}(s_2)) < \ell g(\mathrm{tr}(p_4))$;
- p_4 does not force a value for $\underset{\sim}{\tau}_{j_*+\ell g(s_2)-\ell g(s_1)}$[6].

Claim 4.18. *There exists an increasing sequence $\langle p_{5,i} : i \in [\ell g(s_1), \ell g(s_2)]\rangle$ of elements of $\mathbb{Q}^1_{\mathbf{n}}$ satisfying the following conditions*:

(1) $p_{5,\ell g(s_1)} = p_4$;
(2) $p_{5,i}$ *cforces a value for* $\underset{\sim}{\tau}_{j_*+(\ell g(s_2)-\ell g(s_1))+(j-\ell g(s_1))}$ *iff* $\ell g(s_1) \leq j < i$. *In this case, let* $m_{j_*+(\ell g(s_2)-\ell g(s_1))+(j-\ell g(s_1))}$ *be such that*

$$p_{5,i} \Vdash \text{“}\underset{\sim}{\tau}_{j_*+(\ell g(s_2)-\ell g(s_1))+(j-\ell g(s_1))} = m_{j_*+(\ell g(s_2)-\ell g(s_1))+(j-\ell g(s_1))}\text{”};$$

(3) *for* $\ell g(s_1) \leq j < i$,

$$p_{5,i} \Vdash \text{“}\underset{\sim}{k}_j = j_* + (\ell g(s_2) - \ell g(s_1)) + (j - \ell g(s_1))\text{”};$$

(4) *for* $\ell g(s_1) \leq j < i$,

$$p_{5,i} \Vdash \text{“}\underset{\sim}{l}_{j_*+(\ell g(s_2)-\ell g(s_1))+(j-\ell g(s_1))} = s_2(j) - m_j\text{.”}$$

Proof. We define $p_{5,i}$'s, by induction on i. Set $p_{5,\ell g(s_1)} = p_4$. Now suppose that $i, i+1 \in [\ell g(s_1), \ell g(s_2)]$ and $p_{5,i}$ is defined.

Set $s^*_i = \mathrm{tr}(p_{5,i})$ and $i^* = s_2(i) - m_i$. By the choice of the sequence $\langle n_i : i < \omega\rangle$, we have $1 < i^*$. Also, for notational simplicity set

$$j_i = j_* + (\ell g(s_2) - \ell g(s_1)) + (i - \ell g(s_1)).$$

By an argument similar to the proof of Claim 4.16, we can find s^* such that:

- $s^*_i \trianglelefteq s^*$;
- for every $l \in (\ell g(s^*_i), \ell g(s^*))$, $s^* \restriction (l+1) \notin w_{s^* \restriction l,0}$;
- $j_i + i^* + 2 < \mathrm{nor}_{s^*}(\mathrm{Suc}_{T_{p_{5,i}}}(s^*))$.

Choose

$$s^*_{i+1} \in \mathrm{Suc}_{T_{p_{5,i}}}(s^*) \cap \left(w_{s^*, j_i+i^*} \setminus w_{s^*, j_i+i^*+1}\right)$$

and define $p_{5,i+1} := (s^*_{i+1}, T^{[s^*_{i+1}]}_{p_{5,i}})$. Then $p_{5,i+1} \geq p_{5,i}$. We show that it satisfies items (2)–(4) of the claim.

[6]This can be done easily, for example, by extending $\mathrm{tr}(p_{3,\ell g(s_2)})$ at each stage to a sequence outside of the appropriate $w_{s,0}$

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$p_{5,i+1}$ satisfies clause (2). To show this, we need to have:

- $\mathrm{tr}(p_{5,i+1}) = s^*_{i+1} \in w_{s^*,j_i}$;
- every initial segment t of s^*_{i+1} avoids $w_{t\restriction(\ell g(t)-1),j_i}$.

These are clearly true by the way we defined s^*_{i+1}.

$p_{5,i+1}$ satisfies clause (3). By the choice of the conditions $p_{3,j}$, $l_j \leq 1$ for every $j < j_* + (\ell g(s_2) - \ell g(s_1))$. Since $p_{5,i+1}$ extends $p_{5,i}$, it follows from the induction hypothesis that $p_{5,i+1} \Vdash$"$\underset{\sim}{k}_j = j_j$" for all $j < i$. Thus to guarantee clause (3), we only have to show that $p_{5,i+1} \Vdash$"$\underset{\sim}{k}_i = j_i$." By the choice of the sequence $\langle n_i : i < \omega\rangle$ and the conditions $p_{3,i}$ we have $i^* \geq 2$. Furthermore $p_{5,i+1} \Vdash$ "$\underset{\sim}{\tau}_{j_i} = m_{j_i}$" and

$$p_{5,i+1} \Vdash \text{“}\underset{\sim}{\eta}^1_{\mathbf{n}} \restriction (m_{j_i}+1) \in w_{\underset{\sim}{\eta}^1_{\mathbf{n}}\restriction m_{j_i},j_i+i^*}\text{.”}$$

In particular, it follows that $p_{5,i+1} \Vdash$ "$\underset{\sim}{l}_{j_i} \geq i^* > 1$." Thus by its definition,

$$p_{5,i+1} \Vdash \text{“}\underset{\sim}{k}_i = \min\{k > i : (\forall j < i)k > \underset{\sim}{k}_j \text{ and } \underset{\sim}{l}_k > 1\} = j_i\text{,”}$$

which gives the result.

$p_{5,i+1}$ satisfies clause (4). This is clear, as $s^*_{i+1} \in w_{s^*,j_i+i^*} \setminus w_{s^*,j_i+i^*+1}$. ⊣

Let $p_5 = p_{5,\ell g(s_2)}$. It's easy to see that $p_5 \Vdash$"$s_2 \trianglelefteq \underset{\sim}{\rho}$." Indeed, as $p_2 \leq p_5$, we have $p_5 \Vdash$"$\underset{\sim}{\rho} \restriction \ell g(s_1) = s_1 = s_2 \restriction \ell g(s_1)$." On the other hand, for every $\ell g(s_1) \leq j < \ell g(s_2)$ we have, using Claim 4.18,

$$p_5 \Vdash \text{“}s_2(j) = \underset{\sim}{l}_{\underset{\sim}{k}_j} + m_j = l_{j_*+(\ell g(s_2)-\ell g(s_1))+(j-\ell g(s_1))} + m_j = \underset{\sim}{\rho}(j)\text{.”}$$

Claim 4.19. *There exists a condition p_6 such that $p_5 \leq p_6$ and $p_6 \Vdash$"$h_2(l) \leq \underset{\sim}{\rho}(l)$" for every $\ell g(s_2) \leq l$.*

Proof. We already know, by the choice of the condition p_2, that there exists m_* such that for every $l > m_*$, p_2 an hence p_5 forces "$h_2(l) \leq \underset{\sim}{\rho}(l)$."

By the proof of Lemma 4.9, there is a condition $p_5 \leq p'$ such that $\mathrm{tr}(p') = \mathrm{tr}(p_5)$ and $p' \Vdash$"$\ell g(\mathrm{tr}(p_5)) \leq n \Rightarrow h_2(n) \leq \underset{\sim}{\tau}_n$." Therefore, we may assume that p_5 decides $\underset{\sim}{\tau}_n$ for every $n \in [\ell g(s_2), m_*]$.

On the other hand, p_5 does not decide $\underset{\sim}{l}_{\underset{\sim}{k}_n}$, for $n \in [\ell g(s_2), m_*]$, as the trunk of p_5 is the first place where $\underset{\sim}{l}_{\underset{\sim}{k}_{\ell g(s_2)-1}}$ is decided. Thus we can repeat the argument that lead us from p_2 to p_5 in order to find a condition p_6 such that:

- $p' \leq p_6$;
- $p_6 \Vdash$"$h_2(n) \leq \underset{\sim}{\rho}(n)$" for every $n \in [\ell g(s_2), m_*]$.

Then p_6 is as required. ⊣

Finally let $q = p_6$. Then q extends p and it forces "$\underset{\sim}{\rho}$ satisfies I." This completes the proof of Lemma 4.15.

By Lemma 4.15, $\underset{\sim}{\rho}[G_{\mathbb{Q}^1_{\mathbf{n}}}]$ satisfies I, for every maximal antichain $I \subseteq \mathbb{D}$ in the ground model, hence by Lemma 4.14, it is a Hechler real. Theorem 4.13 follows.

Corollary 4.20 ($\mathrm{ZF} + \mathrm{DC}_{\omega_1}$). *Let $\mathbf{n}$ be a nice parameter. Suppose every set of reals is $I_{\mathbb{Q}^1_{\mathbf{n}},\aleph_0}$-measurable. Then there is an inner model of* ZFC *with a measurable cardinal.*

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PROOF. By Theorem 4.13, forcing with $\mathbb{Q}^1_{\mathbf{n}}$ adds a Hechler real. Now the result follows from Corollary 3.11. ⊣

We close the article by the following question, which asks whether a measurable cardinal is an optimal lower bound on the consistency strength of the results obtained above.

QUESTION 4.21. *What is the consistency strength of "*$\mathrm{ZF}+\mathrm{DC}_{\omega_1}$*+every set of reals is* $I_{\mathbb{Q},\aleph_0}$*-measurable," where* $\mathbb{Q}$ *is a Suslin ccc forcing notion which adds a Hechler real* ?

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