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A SINGULAR CONTROLLABILITY PROBLEM WITH VANISHING VISCOSITY

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Abstract. The aim of this paper is to answer the question: Do the controls of a vanishing viscosity approximation of the one dimensional linear wave equation converge to a control of the conservative limit equation? The characteristic of our viscous term is that it contains the fractional power α of the Dirichlet Laplace operator. Through the parameter α we may increase or decrease the strength of the high frequencies damping which allows us to cover a large class of dissipative mechanisms. The viscous term, being multiplied by a small parameter ε devoted to tend to zero, vanishes in the limit. Our analysis, based on moment problems and biorthogonal sequences, enables us to evaluate the magnitude of the controls needed for each eigenmode and to show their uniform boundedness with respect to ε, under the assumption that $\alpha \in [0,1)\setminus\{\frac{1}{2}\}$. It follows that, under this assumption, our starting question has a positive answer.

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1. Introduction

For $T>0$, we consider the one-dimensional linear wave equation with "lumped" control

$$\begin{cases} w_{tt}(t,x)-\partial_{xx}^2 w(t,x)=v(t)f(x) & (t,x)\in(0,T)\times(0,\pi)\\ w(t,0)=w(t,\pi)=0 & t\in(0,T)\\ w(0,x)=w^0(x),\ w_t(0,x)=w^1(x) & x\in(0,\pi), \end{cases} \tag{1.1}$$

where the profile $f\in L^2(0,\pi)$ is given and verifies $\widehat{f}_n\neq 0$ for every $n\geq 1$. Here and in the sequel, given any function $g\in L^2(0,\pi)$, we denote by $\widehat{g}_n$ the $n-$th Fourier coefficient of g,

$$\widehat{g}_n=\int_0^\pi g(x)\sin(nx)\mathrm{d}x \qquad (n\geq 1).$$

Equation (1.1) is said to be *null-controllable in time* $T>0$ if, for every initial data $(w^0,w^1)\in\mathcal{H}_0\subset H_0^1(0,\pi)\times L^2(0,\pi)$, there exists a control $v\in L^2(0,T)$ such that the corresponding solution of (1.1) verifies

$$w(T,\cdot)=w_t(T,\cdot)=0, \tag{1.2}$$

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where the space $\mathcal{H}_0$ is defined as follows

$$\mathcal{H}_0 = \left\{ (w^0, w^1) \in H_0^1(0,\pi) \times L^2(0,\pi) \;\middle|\; \sum_{n\geq 1} \frac{n^2 \left|\widehat{w}_n^0\right|^2 + \left|\widehat{w}_n^1\right|^2}{\left|\widehat{f}_n\right|^2} < \infty \right\}. \tag{1.3}$$

The goal is to drive the initial data (w^0, w^1) to rest by using a control $v(t)$, depending only on time and acting on the system through a given shape function in space $f(x)$. Such types of controls are often used and sometimes called "lumped" or "bilinear" (see, for instance, [1,12,21]).

The controllability properties of (1.1) are by now well-known (see, for instance, the monographs [7,34]). One of the oldest methods used to study such controllability problems consists in reducing them to a *moment problem* whose solution is given in terms of an explicit biorthogonal sequence to a family Λ of exponential functions. For instance, this method was used by Fattorini and Russell in the pioneering articles [12,13] to prove the controllability of the one dimensional heat equation. In their case, the family Λ has only real exponential functions. On the contrary, when equation (1.1) comes into discussion, the family Λ is given by $(\mathrm{e}^{\mu_n t})_{n\in\mathbb{Z}^*}$, where $\mu_n = in$, $n \in \mathbb{Z}^*$, are the eigenvalues of the wave operator $\begin{pmatrix} 0 & -I \\ -\partial_{xx}^2 & 0 \end{pmatrix}$ and are purely imaginary. It follows easily that, (1.1) is null-controllable in time T if, and only if, for every initial data $(w^0, w^1) \in \mathcal{H}_0$, there exists a solution $v \in L^2(0,T)$ of the following moment problem:

$$\int_{-\frac{T}{2}}^{\frac{T}{2}} v\left(t + \frac{T}{2}\right) \mathrm{e}^{\overline{\mu}_n t} \mathrm{d}t = -\frac{\mathrm{e}^{-\frac{T}{2}\overline{\mu}_n}}{\widehat{f}_{|n|}} \left(\widehat{w}_{|n|}^1 + \mu_n \widehat{w}_{|n|}^0\right) \qquad (n \in \mathbb{Z}^*). \tag{1.4}$$

In order to fix some ideas and to illustrate the method used in this paper, let us briefly show how do we obtain a solution of (1.4). We begin by defining the function

$$\widetilde{\Psi}_m(z) = \frac{\sin(\pi(z+m))}{\pi(z+m)}, \tag{1.5}$$

which is an entire function of exponential type π such that $\displaystyle\int_{\mathbb{R}} \left|\widetilde{\Psi}_m(x)\right|^2 \mathrm{d}x < \infty$. It results from Paley–Wiener Theorem that the Fourier transform of $\widetilde{\Psi}_m$,

$$\widetilde{\theta}_m(t) = \frac{1}{2\pi} \int_{\mathbb{R}} \widetilde{\Psi}_m(x) \mathrm{e}^{-ixt} \mathrm{d}x \qquad (m \in \mathbb{Z}^*), \tag{1.6}$$

belongs to $L^2(-\pi,\pi)$. Moreover, from the inversion formula, it follows that $\left(\widetilde{\theta}_m\right)_{m\in\mathbb{Z}^*}$ forms a biorthogonal sequence to the family $\Lambda = (\mathrm{e}^{\mu_n t})_{n\in\mathbb{Z}^*}$, *i.e.* verify

$$\int_{-\pi}^{\pi} \widetilde{\theta}_m(t) \mathrm{e}^{\overline{\mu}_n t} \, \mathrm{d}t = \delta_{\mathrm{mn}} \qquad (m, n \in \mathbb{Z}^*). \tag{1.7}$$

From the above properties, we deduce that a formal solution of the moment problem (1.4) is given by

$$v(t) = -\sum_{m\in\mathbb{Z}^*} \frac{\mathrm{e}^{-\pi\overline{\mu}_m}}{\widehat{f}_{|m|}} \left(\widehat{w}_{|m|}^1 + \mu_m \widehat{w}_{|m|}^0\right) \widetilde{\theta}_m\left(t - \pi\right) \qquad (t \in (0, 2\pi)). \tag{1.8}$$

In fact (1.8) gives a true solution of (1.4) if the right hand side of (1.8) converges in $L^2(0,2\pi)$. For each $(w^0, w^1) \in \mathcal{H}_0$, the convergence of this series follows from the existence of a constant $C > 0$ such that

$$\|\widetilde{\theta}_m\|_{L^2(-\pi,\pi)} \leq C \qquad (m \in \mathbb{Z}^*), \tag{1.9}$$

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which is a consequence of the uniform boundedness (in m) of the $L^2(\mathbb{R})-$norms of $(\widetilde{\Psi}_m)_{m\in\mathbb{Z}^*}$ and Plancherel's Theorem. Hence, for any initial data $(w^0, w^1) \in \mathcal{H}_0$, the moment problem has at least a solution $v \in L^2(0, 2\pi)$, given by (1.8), and the controllability of (1.1) in time $T = 2\pi$ follows.

In many applications it is of interest to study the uniform controllability properties of (1.1) when a viscous term is introduced in the equation. Indeed, the mechanism of vanishing viscosity is a common tool in the study of Cauchy problems or in improving convergence of numerical schemes for hyperbolic conservation laws and shocks. For instance, in [16, 17], it is proved that, by adding an extra numerical viscosity term, the dispersive properties of the finite difference scheme for the nonlinear Schrödinger equation become uniform when the mesh-size tends to zero. This scheme reproduces at the discrete level the properties of the continuous Schrödinger equation by dissipating the high frequency numerical spurious solutions. On the other hand, a viscosity term is introduced in [10] to prove the existence of solutions of hyperbolic equations. In both examples the viscosity is devoted to tend to zero in order to recover the original system. Thus, a legitimate question is related to the behavior and the sensitivity of the controls during this process. For instance, given $T > 0$ and $\varepsilon \in (0, 1)$, one could consider the perturbed wave equation

$$\begin{cases} u_{tt}(t,x) - \partial_{xx}^2 u(t,x) + 2\varepsilon(-\partial_{xx}^2)^\alpha u_t(t,x) = v_\varepsilon(t) f(x) & (t,x) \in (0,T)\times(0,\pi) \\ u(t,0) = u(t,\pi) = 0 & t \in (0,T) \\ u(0,x) = u^0(x),\ u_t(0,x) = u^1(x) & x \in (0,\pi) \end{cases} \tag{1.10}$$

and study the possibility of obtaining a control v of (1.1) as limit of controls $v_\varepsilon \in L^2(0,T)$ of (1.10). Here and in what follows $(-\partial_{xx}^2)^\alpha$ denotes the fractional power of order $\alpha \geq 0$ of the Dirichlet Laplace operator in $(0,\pi)$. More precisely,

$$\begin{gathered} (-\partial_{xx}^2)^\alpha : D((-\partial_{xx}^2)^\alpha) \subset L^2(0,\pi) \to L^2(0,\pi), \\ D((-\partial_{xx}^2)^\alpha) = \left\{ u \in L^2(0,\pi) \,:\, u = \sum_{n\geq 1} a_n \sin(nx) \text{ and } \sum_{n\geq 1} |a_n|^2 n^{4\alpha} < \infty \right\}, \\ u(x) = \sum_{n\geq 1} a_n \sin(nx) \ \longrightarrow\ (-\partial_{xx}^2)^\alpha u(x) = \sum_{n\geq 1} a_n n^{2\alpha} \sin(nx). \end{gathered} \tag{1.11}$$

Equation (1.10) is dissipative and it can be easily checked that, if $f = 0$,

$$\frac{\mathrm{d}}{\mathrm{d}t}\left(\|u(t)\|_{H_0^1}^2 + \|u_t(t)\|_{L^2}^2 \right) = -2\varepsilon \int_0^\pi \left| (-\partial_{xx}^2)^{\frac{\alpha}{2}} u_t(t,x) \right|^2 \mathrm{d}t \leq 0. \tag{1.12}$$

Hence, $2\varepsilon(-\partial_{xx}^2)^\alpha u_t(t,x)$ represents an added viscous term devoted to vanish as ε tends to zero. However, the controllability properties of (1.10) are poor. Indeed, the family of exponential functions corresponding to this case is given by $\Lambda = (\mathrm{e}^{\nu_n t})_{n\in\mathbb{Z}^*}$, where $\nu_n = \varepsilon|n|^{2\alpha} + \mathrm{sgn}\,(\mathrm{n})\sqrt{|n|^{4\alpha} - n^2}$. If $\alpha > \frac{1}{2}$, we have that

$$\lim_{n\to-\infty} \nu_n = 0,$$

which implies that the family Λ is not minimal. Consequently, equation (1.10) is not spectrally controllable if $\alpha > \frac{1}{2}$ (for more details in the case $\alpha = 1$, see [30]).

Since we want to allow stronger dissipative terms which correspond to the case $\alpha > \frac{1}{2}$, we perturb the wave equation (1.1) in the following slightly different way

$$\begin{cases} u_{tt}(t,x) - \partial_{xx}^2 u(t,x) + 2\varepsilon(-\partial_{xx}^2)^\alpha u_t(t,x) + \varepsilon^2(-\partial_{xx}^2)^{2\alpha} u(t,x) = v_\varepsilon(t) f(x) & (t,x) \in (0,T)\times(0,\pi) \\ u(t,0) = u(t,\pi) = 0 & t \in (0,T) \\ u(0,x) = u^0(x),\ u_t(0,x) = u^1(x) & x \in (0,\pi). \end{cases} \tag{1.13}$$

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Equation (1.13) is still dissipative. Indeed, if $f = 0$, we have that

$$\frac{\mathrm{d}}{\mathrm{d}t}\left(\|u(t)\|^2_{H_0^1} + \varepsilon^2\|(-\partial_{xx})^\alpha u(t)\|^2_{L^2} + \|u_t(t)\|^2_{L^2}\right) = -2\varepsilon\int_0^\pi \left|(-\partial^2_{xx})^{\frac{\alpha}{2}} u_t(t,x)\right|^2 \mathrm{d}t \le 0. \tag{1.14}$$

Note that, if $\alpha \le \frac{1}{2}$, the norm $\sqrt{\|u\|^2_{H_0^1} + \varepsilon^2\|(-\partial_{xx})^\alpha u\|^2_{L^2} + \|u_t\|^2_{L^2}}$ is equivalent to $\sqrt{\|u\|^2_{H_0^1} + \|u_t\|^2_{L^2}}$ and the controllability properties of (1.10) and (1.13) are similar. However, in the case $\alpha > \frac{1}{2}$, the controllability properties of (1.13) are better than those of (1.10). Hence, the term $\varepsilon^2(-\partial_{xx})^{2\alpha}u(t,x)$ allows us to consider stronger dissipation and also to simplify some of our estimates.

The aim of this paper is to study the controllability properties of (1.13) and their relation with the ones of (1.1). The controllability of (1.13) is defined in a similar way as for (1.1). More precisely, given $T > 0$ and $f \in L^2(0,\pi)$ with $\widehat{f}_n \neq 0$ for $n \ge 1$, equation (1.13) is *null-controllable in time* T if, for any $(u^0, u^1) \in \mathcal{H}_0$, there exists a control $v_\varepsilon \in L^2(0,T)$ such that the corresponding solution (u, u_t) of (1.13) verifies

$$u(T,\cdot) = u_t(T,\cdot) = 0. \tag{1.15}$$

The null-controllability problem is equivalent to find, for every initial data $(u^0, u^1) \in \mathcal{H}_0$, a solution $v_\varepsilon \in L^2(0,T)$ of the following moment problem:

$$\int_{-\frac{T}{2}}^{\frac{T}{2}} v_\varepsilon\left(t + \frac{T}{2}\right)\mathrm{e}^{\overline{\lambda}_n t}\mathrm{d}t = -\frac{\mathrm{e}^{-\overline{\lambda}_n\frac{T}{2}}}{\widehat{f}_{|n|}}\left(\widehat{u}^1_{|n|} + \lambda_n\widehat{u}^0_{|n|}\right) \qquad (n \in \mathbb{Z}^*), \tag{1.16}$$

where $\lambda_n = in + \varepsilon|n|^{2\alpha}$, are the eigenvalues of the operator $\begin{pmatrix} 0 & -I \\ -\partial^2_{xx} + \varepsilon^2(-\partial^2_{xx})^{2\alpha} & 2\varepsilon(-\partial^2_{xx})^\alpha \end{pmatrix}$ corresponding to the "adjoint" problem of (1.13).

As in (1.4), if we have at our disposal a biorthogonal sequence to the family $\left(\mathrm{e}^{\lambda_n t}\right)_{n\in\mathbb{Z}^*}$, denoted by $(\theta_m)_{m\in\mathbb{Z}^*}$, we can give immediately a formal solution of (1.16),

$$v_\varepsilon(t) = -\sum_{m\in\mathbb{Z}^*}\frac{\mathrm{e}^{-\overline{\lambda}_m\frac{T}{2}}}{\widehat{f}_{|m|}}\left(\widehat{u}^1_{|m|} + \lambda_m\widehat{u}^0_{|m|}\right)\theta_m\left(t - \frac{T}{2}\right) \qquad (t \in (0,T)). \tag{1.17}$$

This time the family $\left(\mathrm{e}^{\lambda_n t}\right)_{n\in\mathbb{Z}^*}$ has no longer purely imaginarily exponents like in (1.1). Thus, it is not so easy as for (1.1) to give explicit entire functions $(\Psi_m)_{m\in\mathbb{Z}^*}$ whose Fourier transforms define a biorthogonal sequence $(\theta_m)_{m\in\mathbb{Z}^*}$ to $\left(\mathrm{e}^{\lambda_n t}\right)_{n\in\mathbb{Z}^*}$. Moreover, we cannot guarantee anymore the boundedness of the sequence $(\theta_m)_{m\in\mathbb{Z}^*}$ and (1.9) will be replaced by an estimate of the form

$$||\theta_m||_{L^2} \le C\mathrm{e}^{\beta|\Re(\lambda_m)|} \qquad (m \in \mathbb{Z}^*). \tag{1.18}$$

Note that $||\theta_m||_{L^2}$ may become exponentially large as m goes to infinity. By taking into account the damping mechanism introduced in equation (1.13), this growth estimate guarantees the convergence of series (1.17) for each initial data $(u^0, u^1) \in \mathcal{H}_0$, if T is large enough. However, in order show that a control time T independent of ε can be chosen and to prove the boundedness of the family of controls $(v_\varepsilon)_{\varepsilon\in(0,1)}$ in $L^2(0,T)$, the dependence in ε of the constants C and β from (1.18) is required. This represents one of the most difficult tasks of our work. We shall prove that C and β from (1.18) can be chosen independent of ε, fact that ensures the uniform boundedness of the sequence $(v_\varepsilon)_{\varepsilon\in(0,1)}$ and the possibility to pass to the limit as ε tends to zero in (1.13). The main result of this paper reads as follows.

Theorem 1.1. *Let $\alpha \in [0,1)\setminus\left\{\frac{1}{2}\right\}$ and $f \in L^2(0,\pi)$ be a function such that $\widehat{f}_n \neq 0$ for every $n \ge 1$. There exists a time $T > 0$ with the property that, for any $(u^0, u^1) \in \mathcal{H}_0$ and $\varepsilon \in (0,1)$, there exists a control $v_\varepsilon \in L^2(0,T)$ of (1.13) such that the family $(v_\varepsilon)_{\varepsilon\in(0,1)}$ is uniformly bounded in $L^2(0,T)$ and any weak limit v of it, as ε tends to zero, is a control in time T for equation (1.1).*

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The controllability problem studied in this paper belongs to the interface between parabolic and hyperbolic equations. From this point of view, it is related to [8,14,23], where the controllability of the transport equation is addressed after the introduction of a vanishing viscosity term. In [8] Carleman estimates are used to obtain an uniform bound for the family of controls. The same result is shown in [14], improving the control time, by means of nonharmonic Fourier analysis and biorthogonal technique. The recent article [23] deals with a nonlinear scalar conservation law perturbed by a small viscosity term and proves the uniform boundedness of the boundary controls. Related problems in which controls for an equation are obtained as limits of controls of equations of different type may be also found in [24,26,28,31,37].

In order to justify the damping mechanism introduced in (1.13), which involves the fractional power α of the Laplace operator, let us point out that sometimes it may be useful to control the amount of dissipation introduced in the system not only by means of the vanishing parameter ε but also by an adequate choice of the differential operator. For instance, the convergence rates in some perturbed problems can be improved by choosing a viscosity operator of lower order (see, for instance, [18] in the context of Hamilton−Jacobi equations). In (1.13) this is achieved through the parameter α. The case $\alpha = 1$ has been studied, for a slightly different problem, in [25], where a uniform controllability result with respect to the viscosity is proved. Theorem 1.1 shows that a similar result holds for any $\alpha \in [0,1) \setminus \{\frac{1}{2}\}$. Note that, if $\alpha \in [0, \frac{1}{2})$, the imaginary parts of the eigenvalues λ_n dominate the real ones and problem (1.13) has the same hyperbolic character as in the limit case $\varepsilon = 0$. On the contrary, if $\alpha \in (\frac{1}{2}, 1)$, (1.13) has a parabolic type. In this case we are dealing with a truly singular control problem and the pass to the limit is sensibly more difficult. Finally, let us remark that $\alpha = \frac{1}{2}$ is a singular case in which the basic controllability properties (such as spectral controllability) of (1.13) do not hold.

For $\alpha \in [0,1) \setminus \{\frac{1}{2}\}$, the construction from the proof of Theorem 1.1 implies that the following Ingham-type inequality (see [20]) holds, for any finite sequence $(\beta_n)_{n\in\mathbb{Z}^*}$ and T sufficiently large,

$$C(T,\alpha) \sum_{n\in\mathbb{Z}^*} |\beta_n|^2 \mathrm{e}^{-\omega\varepsilon|n|^{2\alpha}} \leq \int_{-T}^{T} \left| \sum_{n\in\mathbb{Z}^*} \beta_n \mathrm{e}^{\lambda_n t} \right|^2 \mathrm{d}t, \tag{1.19}$$

where $\varepsilon \in (0,1)$, ω is an absolute positive constant and C a positive constant depending of T and α but independent of ε. From this point of view our article extends the results from [11,15,32], where Ingham-type inequalities are obtained under a more restrictive uniform sparsity condition of the sequence $(\lambda_n)_{n\in\mathbb{Z}^*}$. Indeed, one of the major difficulty in our study is related to the fact that the sequence of our eigenvalues $(\lambda_n)_{n\in\mathbb{Z}^*}$ is not included in a sector of the positive real axis and does not verify a uniform separation condition of the type

$$|\lambda_n - \lambda_m| \geq \delta |n^\beta - m^\beta| \qquad (n, m \in \mathbb{Z}^*),$$

for some $\beta > 1$ and $\delta > 0$ independent of ε. The fact that $C(T,\alpha)$ in (1.19) does not depend of ε is of fundamental importance since it ensures the uniform boundedness of a family of controls $(v_\varepsilon)_{\varepsilon\in(0,1)}$ for (1.13) and the possibility to pass to the limit in order to obtain a control v for (1.1).

The rest of the paper is organized as follows. Section 2 gives the equivalent characterization of the controllability property in terms of a moment problem. The core of the paper is Section 3 where two biorthogonal sequences to the family $\left(\mathrm{e}^{\lambda_n t}\right)_{n\in\mathbb{Z}^*}$ are constructed and evaluated. The proof of Theorem 1.1 is provided in Section 4. The article ends with an Appendix in which a technical lemma is proved.

2. The moment problem

In this section we show the equivalence between the controllability problem (1.13)−(1.15) and the moment problem (1.16). In order to do this we need first a result concerning the existence of solutions for equation (1.13). More precisely we have the following property.

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Proposition 2.1. *Given any $T > 0$, $\alpha \in [0,1]$, $\varepsilon \geq 0$, $h \in L^1(0,T;L^2(0,\pi))$ and $(u^0,u^1) \in H_0^1(0,\pi)\times L^2(0,\pi)$, there exists a unique weak solution $(u,u_t) \in C([0,T],H_0^1(0,\pi)\times L^2(0,\pi))$ of the problem*

$$\begin{cases} u_{tt} + (-\partial_{xx}^2)u + \varepsilon^2(-\partial_{xx}^2)^{2\alpha}u + 2\varepsilon(-\partial_{xx}^2)^{\alpha}u_t = h(t,x) & (x,t) \in (0,\pi)\times(0,T) \\ u(t,0) = u(t,\pi) = 0 & t \in (0,T) \\ u(0,x) = u^0(x) \qquad u_t(0,x) = u^1(x) & x \in (0,\pi). \end{cases} \tag{2.1}$$

Proof. For any $\alpha \in [0,1]$ and $\varepsilon \geq 0$, let

$$\mathcal{X} = \begin{cases} D((-\partial_{xx}^2)^{\alpha}) \times L^2(0,\pi) & \text{if } \varepsilon > 0 \text{ and } \alpha > \frac{1}{2} \\ \\ H_0^1(0,\pi)\times L^2(0,\pi) & \text{if } \varepsilon = 0 \text{ or } \varepsilon > 0 \text{ and } \alpha \leq \frac{1}{2}, \end{cases}$$

(for the definition of the operator $(D((-\partial_{xx}^2)^{\alpha}),(-\partial_{xx}^2)^{\alpha})$, see (1.11)). We suppose that the space $\mathcal{X}$ is endowed with the inner product

$$((u_1,u_2),(v_1,v_2))_{\mathcal{X}} = (\partial_x u_1, \partial_x v_1)_{L^2(0,\pi)} + \varepsilon^2((-\partial_{xx}^2)^{\alpha}u_1, (-\partial_{xx}^2)^{\alpha}v_1)_{L^2(0,\pi)} + (u_2,v_2)_{L^2(0,\pi)}.$$

Note that, since $D((-\partial_{xx}^2)^{1/2}) = H_0^1(0,\pi)$, it results that $\mathcal{X} \subseteq H_0^1(0,\pi)\times L^2(0,\pi)$. Now, we define the unbounded operator $(D(A),A)$ in $\mathcal{X}$ as follows,

$$D(A) = \begin{cases} D((-\partial_{xx}^2)^{2\alpha}) \times D((-\partial_{xx}^2)^{\alpha}) & \text{if } \varepsilon > 0 \text{ and } \alpha > \frac{1}{2} \\ \\ H^2(0,\pi)\cap H_0^1(0,\pi)\times H_0^1(0,\pi) & \text{if } \varepsilon = 0 \text{ or } \varepsilon > 0 \text{ and } \alpha \leq \frac{1}{2}, \end{cases}$$

$$A = \begin{pmatrix} 0 & -I \\ -\partial_{xx}^2 + \varepsilon^2(-\partial_{xx}^2)^{2\alpha} & 2\varepsilon(-\partial_{xx}^2)^{\alpha} \end{pmatrix}.$$

The operator $(D(A),A)$ is maximal and monotone in $\mathcal{X}$. Indeed, for any $U = (u_1,u_2) \in D(A)$, we have that

$$\Re(AU,U)_{\mathcal{X}} = 2\varepsilon((-\partial_{xx}^2)^{\frac{\alpha}{2}}u_2, (-\partial_{xx}^2)^{\frac{\alpha}{2}}u_2)_{L^2(0,\pi)} \geq 0$$

from which we deduce that $(D(A),A)$ is monotone in $\mathcal{X}$.

To prove that $(D(A),A)$ is maximal in X we only have to show that there exists $\lambda > 0$ with the property that for any $F = (f_1,f_2) \in \mathcal{X}$ there exits $U = (u_1,u_2) \in D(A)$ such that

$$(A + \lambda I)U = F, \tag{2.2}$$

which is equivalent to

$$\begin{cases} u_2 = \lambda u_1 - f_1 \\ -\partial_{xx}^2 u_1 + \varepsilon^2(-\partial_{xx}^2)^{2\alpha}u_1 + \lambda^2 u_1 + 2\varepsilon\lambda(-\partial_{xx}^2)^{\alpha}u_1 = f_2 + 2\varepsilon(-\partial_{xx}^2)^{\alpha}f_1 + \lambda f_1. \end{cases} \tag{2.3}$$

Nextly, we define the bilinear form $a : \widetilde{\mathcal{X}}\times\widetilde{\mathcal{X}} \to \mathbb{C}$ and the linear form $L : \widetilde{\mathcal{X}} \to \mathbb{C}$ given by

$$\begin{aligned} a(\varphi,\psi) = &\int_0^{\pi} \varphi_x(x)\overline{\psi_x(x)}\mathrm{d}x + \varepsilon^2\int_0^{\pi}(-\partial_{xx}^2)^{\alpha}\varphi(x)\overline{(-\partial_{xx}^2)^{\alpha}\psi(x)}\mathrm{d}x \\ &+ 2\varepsilon\lambda\int_0^{\pi}(-\partial_{xx}^2)^{\frac{\alpha}{2}}\varphi(x)\overline{(-\partial_{xx}^2)^{\frac{\alpha}{2}}\psi(x)}\mathrm{d}x + \lambda^2\int_0^{\pi}\varphi(x)\overline{\psi(x)}\mathrm{d}x, \\ L(\psi) = &\int_0^{\pi}\left(f_2(x) + 2\varepsilon(-\partial_{xx}^2)^{\alpha}f_1(x) + \lambda f_1(x)\right)\overline{\psi(x)}\mathrm{d}x, \end{aligned}$$

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where

$$\widetilde{\mathcal{X}} = \begin{cases} D((-\partial_{xx}^2)^\alpha) \text{ if } \varepsilon > 0 \text{ and } \alpha > \frac{1}{2} \\ H_0^1(0,\pi) \qquad \text{if } \varepsilon = 0 \text{ or } \varepsilon > 0 \text{ and } \alpha \leq \frac{1}{2}. \end{cases}$$

From Lax–Milgram Theorem it follows that the problem

$$a(\varphi, \psi) = L(\psi) \qquad (\psi \in \widetilde{\mathcal{X}})$$

has a unique solution $\varphi \in \widetilde{\mathcal{X}}$. Consequently, (2.2) has a solution $U \in D(A)$ and the maximality of the operator $(D(A), A)$ follows. From Hille−Yosida's Theorem we deduce that the operator $(D(A), A)$ generates a semigroup of contraction $(S(t))_{t\geq 0}$ in $\mathcal{X}$. At the same time, [4], Lemma 4.1.5 ensures the existence and uniqueness of a weak solution for (2.1) which belongs to $C([0,T], \mathcal{X})$. Since $\mathcal{X} \subseteq H_0^1(0,\pi) \times L^2(0,\pi))$, the proof is completed. □

Remark 2.2. A more general equation than (2.1) is studied in [5, 6]. It is proved that, if $\frac{1}{2} \leq \alpha \leq 1$, the generated semigroup is analytic on a triangular sector of $\mathbb{C}$ containing the positive real axis. This is not true if $0 \leq \alpha < \frac{1}{2}$.

Remark 2.3. A lumped control of the form $v_\varepsilon(t)f(x)$ has been chosen to act on our system (1.13). Of course, other types of controls can be proposed (interior, boundary etc.). At least formally, these new controllability problems may be reduced to prove an inequality of type (1.19) and the same technique could be used to study all of them. However, in the boundary control case we need to work in a space $\tilde{\mathcal{X}}$ not included in any $D((-\partial_x^2)^\alpha)$, $\alpha > 0$, which requires much more care. We recall that the fractional Laplace operator may also be introduced by considering the Dirichlet to Neumann map for the two-dimensional cylinder $(0,\pi) \times \mathbb{R}_+$ (see [2,3] for details). This method is commonly used in the recent literature since it allows to write nonlocal problems in a local way and this permits to use the variational techniques for these kind of problems. It is known that, for functions in the spaces $D((-\partial_x^2)^\alpha)$ from above, this definition is coherent with the spectral one (1.11) used by us (see [2], Cor. 3.6). Consequently, homogeneous Dirichlet boundary condition may be easily treated by using the spectral definition of the fractional Laplace operator. On the contrary, to study the nonhomogeneous boundary problems for the same operator (or for general nonlocal operator) the strategy should be different. These problems are known to be more difficult and even ill-posed [9].

Now we can give the characterization of the controllability property of (1.13)−(1.15) in terms of a moment problem. Based on the Fourier expansion of solutions, the moment problems have been widely used in linear control theory. We refer to [1, 22, 34, 36] for a detailed discussion of the subject.

Theorem 2.4. *Let $T > 0$, $\varepsilon \in (0,1)$, $(u^0, u^1) \in \mathcal{H}_0$ and $f \in L^2(0,\pi)$. There exists a control $v_\varepsilon \in L^2(0,T)$ such that the solution (u, u_t) of equation (1.13) verifies (1.15), if and only if, $v_\varepsilon \in L^2(0,T)$ satisfies*

$$\widehat{f}_{|n|} \int_{-\frac{T}{2}}^{\frac{T}{2}} v_\varepsilon \left(t + \frac{T}{2}\right) \mathrm{e}^{\overline{\lambda}_n t} \mathrm{d}t = -\mathrm{e}^{-\overline{\lambda}_n \frac{T}{2}} \left(\widehat{u}^1_{|n|} + \lambda_n \widehat{u}^0_{|n|}\right) \qquad (n \in \mathbb{Z}^*), \tag{2.4}$$

where $\lambda_n = in + \varepsilon |n|^{2\alpha}$, for any $n \in \mathbb{Z}^*$.

Proof. We consider the "adjoint" equation

$$\begin{cases} \varphi_{tt} + (-\partial_{xx}^2)\varphi + \varepsilon^2(-\partial_{xx}^2)^{2\alpha}\varphi - 2\varepsilon(-\partial_{xx}^2)^\alpha \varphi_t = 0 & (x,t) \in (0,\pi) \times (0,T) \\ \varphi(t,0) = \varphi(t,\pi) = 0 & t \in (0,T) \\ \varphi(T,x) = \varphi^0(x) \qquad \varphi_t(T,x) = \varphi^1(x) & x \in (0,\pi). \end{cases} \tag{2.5}$$

If we multiply (1.13) by $\overline{\varphi}$ and we integrate by parts over $(0,T) \times (0,\pi)$, we deduce that $v_\varepsilon \in L^2(0,T)$ is a control for (1.13) if, and only if, it verifies

$$\int_0^T v_\varepsilon(t) \int_0^\pi f(x)\overline{\varphi}(t,x)\mathrm{d}x\mathrm{d}t = -\int_0^\pi u^1(x)\overline{\varphi}(0,x)\mathrm{d}x + \int_0^\pi u^0(x)\left(\overline{\varphi}_t(0,x) - 2\varepsilon\left(-\partial_{xx}^2\right)^\alpha \overline{\varphi}(0,x)\right)\mathrm{d}x, \tag{2.6}$$

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for every (φ, φ_t) solution of (2.5) with the initial data (φ^0, φ^1). Since $(\sin(nx))_{n\geq 1}$ is a basis for $L^2(0,\pi)$ we have to check (2.6) only for the initial data of the form $(\varphi^0, \varphi^1) = (\sin(nx), 0)$ and $(\varphi^0, \varphi^1) = (0, \sin(nx))$, for each $n \geq 1$. In the first case the solution of (2.6) is given by

$$\varphi(t,x) = \left(\frac{\overline{\lambda}_n}{\overline{\lambda}_n - \lambda_n} e^{(t-T)\lambda_n} + \frac{\lambda_n}{\lambda_n - \overline{\lambda}_n} e^{(t-T)\overline{\lambda}_n} \right) \sin(nx) \qquad (n \in \mathbb{N}^*), \tag{2.7}$$

whereas in the second case it becomes

$$\varphi(t,x) = \left(\frac{1}{\lambda_n - \overline{\lambda}_n} e^{(t-T)\lambda_n} + \frac{1}{\overline{\lambda}_n - \lambda_n} e^{(t-T)\overline{\lambda}_n} \right) \sin(nx) \qquad (n \in \mathbb{N}^*). \tag{2.8}$$

By tacking in (2.6) φ of the form (2.7) and (2.8), we obtained that $v_\varepsilon \in L^2(0,T)$ is a control of (1.13) if and only if it verifies (2.4). □

Remark 2.5. Note that $(\lambda_n)_{n\in\mathbb{Z}^*}$ introduced in the previous theorem are the eigenvalues of the differential operator corresponding to the "adjoint" equation (2.5).

Remark 2.6. The condition $\widehat{f}_n \neq 0$ for any $n \in \mathbb{N}^*$ is necessary in order to solve the moment problem (2.4) for any initial data in $\mathcal{H}_0$. Indeed, if there exists $n_0 \in \mathbb{N}^*$ such that $\widehat{f}_{n_0} = 0$, then (2.4) has a solution only if the initial data (u^0, u^1) verify the additional condition $\widehat{u}^1_{n_0} + \lambda_{n_0} \widehat{u}^0_{n_0} = 0$.

We recall that $(\theta_m)_{m\in\mathbb{Z}^*} \in L^2(-\frac{T}{2}, \frac{T}{2})$ is a biorthogonal sequence to the family of exponential functions $\left(e^{\lambda_n t}\right)_{n\in\mathbb{Z}^*} \in L^2(-\frac{T}{2}, \frac{T}{2})$ if and only if

$$\int_{-\frac{T}{2}}^{\frac{T}{2}} \theta_m(t) e^{\overline{\lambda}_n t} dt = \delta_{\mathrm{mn}} \qquad (m, n \in \mathbb{Z}^*).$$

It is easy to see from (2.4) that, if $(\theta_m)_{m\in\mathbb{Z}^*}$ is a biorthogonal sequence to the family of exponential functions $\left(e^{\lambda_n t}\right)_{n\in\mathbb{Z}^*}$ in $L^2(-\frac{T}{2}, \frac{T}{2})$, then a control of (1.13) is given by

$$v_\varepsilon(t) = -\sum_{m\in\mathbb{Z}^*} \frac{e^{-\overline{\lambda}_m \frac{T}{2}}}{\widehat{f}_{|m|}} \left(\widehat{u}^1_{|m|} + \lambda_m \widehat{u}^0_{|m|} \right) \theta_m \left(t - \frac{T}{2} \right) \qquad (t \in (0,T)), \tag{2.9}$$

provided that the right hand side converges in $L^2(0,T)$. Now the main problem is to show that there exists a biorthogonal sequence $(\theta_m)_{m\in\mathbb{Z}^*}$ to the family of exponential functions $\left(e^{\lambda_n t}\right)_{n\in\mathbb{Z}^*}$ in $L^2(-\frac{T}{2}, \frac{T}{2})$ and to evaluate its norm, in order to prove the convergence of the right hand side of (2.9) for any $(u^0, u^1) \in \mathcal{H}_0$.

3. Construction of a biorthogonal sequence

The aim of this section is to construct and evaluate an explicit biorthogonal sequence to the family $\left(e^{t\lambda_n}\right)_{n\in\mathbb{Z}^*}$ in $L^2\left(-\frac{T}{2}, \frac{T}{2}\right)$, where $\lambda_n = in + \varepsilon|n|^{2\alpha}$ are the eigenvalues introduced in Theorem 2.4. In order to do that, we define a family $(\Psi_m(z))_{m\in\mathbb{Z}^*}$ of entire functions of exponential type independent of ε (see, for instance, [35]) such that $\Psi_m(i\overline{\lambda}_n) = \delta_{\mathrm{mn}}$. The inverse Fourier transform of $(\Psi_m)_{m\in\mathbb{Z}^*}$ will give us the biorthogonal sequence $(\theta_m)_{m\in\mathbb{Z}^*}$ that we are looking for. Each Ψ_m is obtained from a Weierstrass product P_m multiplied by an appropriate function M_m with rapid decay on the real axis. Such a method was used for the first time by Paley and Wiener [29] and, in the context of control problems, by Fattorini and Russell [12,13]. The main difficulty in our analysis is to obtain good estimates for the behavior of P_m on the real axis and to construct an appropriate multiplier M_m in order to ensure the boundedness of Ψ_m on the real axis. As we shall see in Proposition 3.6 below, the behavior of $\ln|P_m(x)|$ is always dominated by a subunitary power of $|x|$, if $\alpha < \frac{1}{2}$ which facilitates

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the entire construction and analysis. In the more difficult case $\alpha > \frac{1}{2}$, $\ln|P_m(x)|$ behaves like $|x|$ on an interval of length $O\left(\left(\frac{1}{\varepsilon}\right)^{\frac{1}{2\alpha-1}}\right)$. It is precisely this property which makes the construction of M_m more problematic and imposes the necessity of a careful analysis of $P_m(x)$. Finally, the bounds obtained on the real axis for Ψ_m and the Plancherel's Theorem, will provide the desired estimates for $\|\theta_m\|_{L^2(0,T)}$ and their dependence of the parameters m, ε and α.

3.1. An entire function

In this subsection we construct the Weierstrass product P_m mentioned above and we study some of its properties. For every $m \in \mathbb{Z}^*$, we define the function

$$P_m(z) = \prod_{\substack{n\in\mathbb{Z}^* \\ n\neq m}} \left(1 + \frac{zi}{\overline{\lambda}_n}\right)\left(\frac{\overline{\lambda}_n}{\overline{\lambda}_n - \overline{\lambda}_m}\right). \tag{3.1}$$

Firstly, let us state the following technical result concerning the second part of the product P_m, whose proof will be given in the Appendix.

Lemma 3.1. *There exists a constant $C > 0$ such that, for all $\varepsilon \in (0,1)$ and $m \in \mathbb{Z}^*$, we have*

$$\prod_{\substack{n\in\mathbb{Z}^* \\ n\neq m}} \left|\frac{\lambda_n}{\lambda_n - \lambda_m}\right| \leq 16\exp(C\varepsilon m^{2\alpha}). \tag{3.2}$$

Now we pass to study the basic properties of the product P_m.

Proposition 3.2. *Let $\alpha \in [0,1)\setminus\left\{\frac{1}{2}\right\}$ and $\varepsilon \in (0,1)$. For each $m \in \mathbb{Z}^*$, P_m is an entire function of exponential type at most L_1, where*

$$L_1 := \begin{cases} \max\left\{\frac{\sqrt{2}\pi}{2}, \frac{4\varepsilon}{1-2\alpha}\right\} & \alpha \in \left[0, \frac{1}{2}\right) \\ \max\left\{\frac{\sqrt{2}\pi}{2}, \frac{8}{2\alpha-1}\right\} & \alpha \in \left(\frac{1}{2}, 1\right), \end{cases}$$

with the property that

$$P_m(i\overline{\lambda}_n) = \delta_{\mathrm{mn}} \qquad (n \in \mathbb{Z}^*). \tag{3.3}$$

Remark 3.3. Note that Proposition 3.2 does not consider the case $\alpha = \frac{1}{2}$. In fact, if $\alpha = \frac{1}{2}$, the family of exponential functions $\left(\mathrm{e}^{\lambda_n t}\right)_{n\in\mathbb{Z}^*}$ is complete in $L^2(0,a)$, for any $a > 0$. Indeed, since

$$\sum_{n\in\mathbb{Z}^*} \frac{\Re(\lambda_n)}{1+|\lambda_n|^2} = \infty, \tag{3.4}$$

the completeness is a consequence of the Theorem Szász–Müntz [33]. Since this property remains true if we eliminate a finite number of elements, we deduce that $\left(\mathrm{e}^{\lambda_n t}\right)_{n\in\mathbb{Z}^*}$ is not minimal in $L^2(0,a)$ and there exists no biorthogonal sequence to it in $L^2(0,a)$. From the controllability point of view, it follows that (1.13) is not spectrally controllable if $\alpha = \frac{1}{2}$.

Proof of Proposition 3.2. By taking into account the estimate (3.2) from Lemma 3.1, we only have to study the function

$$E_m(z) = \prod_{\substack{n\in\mathbb{Z}^* \\ n\neq m}} \left(1 + \frac{zi}{\overline{\lambda}_n}\right).$$

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We have that

$$|E_m(z)| = \left|1+\frac{zi}{\lambda_m}\right| \prod_{\substack{n\in\mathbb{N}^*\\ n\neq|m|}} \left|\left(1+\frac{zi}{\overline{\lambda}_n}\right)\left(1+\frac{zi}{\lambda_n}\right)\right| \leq \left(1+\frac{|z|}{|\lambda_m|}\right)\prod_{n=1}^{\infty}\frac{|\lambda_n|^2+2|\Re(\lambda_n)||z|+|z|^2}{|\lambda_n|^2}$$

$$= \left(1+\frac{|z|}{|\lambda_m|}\right)\exp\Bigg(\underbrace{\sum_{n=1}^{[N_z]}\ln\left(1+\frac{2|\Re(\lambda_n)||z|+|z|^2}{|\lambda_n|^2}\right)}_{S_1} + \underbrace{\sum_{n=[N_z]+1}^{\infty}\ln\left(1+\frac{2|\Re(\lambda_n)||z|+|z|^2}{|\lambda_n|^2}\right)}_{S_2}\Bigg),$$

where $N_z = \left(\frac{|z|}{2\varepsilon}\right)^{\frac{1}{2\alpha}}$. It follows that

$$S_1 \leq \sum_{n=1}^{[N_z]}\ln\left(1+\frac{2|z|^2}{|\lambda_n|^2}\right) \leq \int_0^{N_z}\ln\left(1+\frac{2|z|^2}{t^2+\varepsilon^2t^{4\alpha}}\right)\mathrm{d}t \leq \int_0^{N_z}\ln\left(1+\frac{2|z|^2}{t^2}\right)\mathrm{d}t$$

$$\leq \sqrt{2}|z|\int_0^{\infty}\ln\left(1+\frac{1}{t^2}\right)\mathrm{d}t = \frac{\sqrt{2}\pi}{2}|z|.$$

Thus, we have that

$$S_1 \leq \frac{\sqrt{2}\pi}{2}|z|. \tag{3.5}$$

For $\alpha \in \left[0,\frac{1}{2}\right)$ we have that

$$S_2 \leq 4|z|\sum_{n=[N_z]+1}^{\infty}\frac{\varepsilon n^{2\alpha}}{n^2+\varepsilon^2n^{4\alpha}} \leq 4|z|\sum_{n=2}^{\infty}\varepsilon n^{2\alpha-2} \leq \frac{4\varepsilon}{1-2\alpha}|z|.$$

For $\alpha \in \left(\frac{1}{2},1\right)$ we define $\gamma_\varepsilon = \left(\frac{1}{\varepsilon}\right)^{\frac{1}{2\alpha-1}}$ and we deduce that

$$S_2 \leq \sum_{n=[N_z]+1}^{\infty}\frac{4|\Re(\lambda_n)||z|}{|\lambda_n|^2} = 4|z|\sum_{n=[N_z]+1}^{\infty}\frac{\varepsilon n^{2\alpha}}{n^2+\varepsilon^2n^{4\alpha}} \leq 4|z|\left(\sum_{n=1}^{[\gamma_\varepsilon]}+\sum_{n=[\gamma_\varepsilon]+1}^{\infty}\right)\frac{\varepsilon n^{2\alpha}}{n^2+\varepsilon^2n^{4\alpha}}$$

$$\leq 4|z|\left(\sum_{n=1}^{[\gamma_\varepsilon]}\frac{\varepsilon}{n^{2-2\alpha}}+\sum_{n=[\gamma_\varepsilon]+1}^{\infty}\frac{1}{\varepsilon n^{2\alpha}}\right) \leq \frac{8}{2\alpha-1}|z|.$$

It follows that

$$S_2 \leq \begin{cases} \frac{4\varepsilon}{1-2\alpha}|z| & \alpha \in \left[0,\frac{1}{2}\right) \\ \frac{8}{2\alpha-1}|z| & \alpha \in \left(\frac{1}{2},1\right). \end{cases} \tag{3.6}$$

From (3.5) and (3.6) we deduce that $E_m(z)$ is an entire function of exponential type at most L_1 and the proof ends. □

3.2. Evaluation of P_m on the real axis

This subsection is devoted to study the behavior of the entire function P_m on the real axis. The main result will be presented in Proposition 3.6 below. Let us begin with the following two simple lemmas. We recall that, for $\alpha > \frac{1}{2}$, we have introduced the notation $\gamma_\varepsilon = \left(\frac{1}{\varepsilon}\right)^{\frac{1}{2\alpha-1}}$.

Lemma 3.4. *Let $\varepsilon \in (0,1)$ be fixed and $\alpha \in [0,1)\setminus\left\{\frac{1}{2}\right\}$. For any $x \geq 0$ there exists a unique $x_\varepsilon \geq 0$ such that $x^2 = x_\varepsilon^2 + \varepsilon^2 x_\varepsilon^{4\alpha}$. Moreover, if $\alpha \in \left[0,\frac{1}{2}\right)$ or $\alpha \in \left(\frac{1}{2},1\right)$ and $x \leq \gamma_\varepsilon$, then*

$$x_\varepsilon \leq x \leq \sqrt{2}x_\varepsilon, \tag{3.7}$$

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$$|x-|\lambda_n||\geq\frac{|x_\varepsilon-n|}{\sqrt{2}}\qquad(n\in\mathbb{N}^*,\ n\leq\gamma_\varepsilon).\tag{3.8}$$

Finally, if $\alpha\in\left(\frac{1}{2},1\right)$ *and* $x>\gamma_\varepsilon$, *then*

$$|\lambda_n|-x\geq\frac{\varepsilon(n^{2\alpha}-x_\varepsilon^{2\alpha})}{2\sqrt{2}}\qquad(n\in\mathbb{N}^*,\ n>\gamma_\varepsilon).\tag{3.9}$$

Proof. Let us first note that, for all $t\geq 0$, the equation

$$r^2+\varepsilon^2r^{4\alpha}=t\tag{3.10}$$

has only one solution in $[0,\infty)$. Indeed, if we define the function $f:[0,\infty)\to\mathbb{R}$, $f(r)=r^2+\varepsilon^2r^{4\alpha}-t$, it results that f is increasing. Therefore equation (3.10) has at most one solution in $[0,\infty)$. On the other hand, we notice that $f(0)=-t$ and $\lim\limits_{r\to\infty}f(r)=\infty$, from which we conclude that equation (3.10) has a unique solution in $[0,\infty)$. Concerning (3.7), it is obviously that $x_\varepsilon\leq x$ and for the second part of the inequality we notice that

$$x^2=x_\varepsilon^2+\varepsilon^2x_\varepsilon^{4\alpha}\leq 2x_\varepsilon^2$$

for any $\alpha\in\left[0,\frac{1}{2}\right)$ or $\alpha\in\left(\frac{1}{2},1\right)$ and $x_\varepsilon\leq\gamma_\varepsilon$. Finally, taking into account that

$$|x-|\lambda_n||=\left|\frac{x_\varepsilon^2-n^2+\varepsilon\left(x_\varepsilon^{4\alpha}-n^{4\alpha}\right)}{\sqrt{x_\varepsilon^2+\varepsilon^2x_\varepsilon^{4\alpha}}+\sqrt{n^2+\varepsilon^2n^{4\alpha}}}\right|,$$

relations (3.8) and (3.9) follows immediately. □

Lemma 3.5. *The following inequalities hold*

$$\frac{n^{4\alpha-2}-x^{4\alpha-2}}{n^2-x^2}\leq\begin{cases}x^{4\alpha-4}\ n\leq x\\ n^{4\alpha-4}\ 0\leq x\leq n\end{cases}\qquad\left(\alpha\in\left(\tfrac{1}{2},1\right)\right),\tag{3.11}$$

$$\frac{n^{4\alpha-2}-x^{4\alpha-2}}{n^2-x^2}\leq 0\qquad\left(\alpha\in\left[0,\tfrac{1}{2}\right)\right).\tag{3.12}$$

Proof. We notice that, when $x\geq n$, then

$$\frac{n^{4\alpha-2}-x^{4\alpha-2}}{n^2-x^2}=x^{4\alpha-4}\frac{\left(\frac{n}{x}\right)^{4\alpha-2}-1}{\left(\frac{n}{x}\right)^2-1}\leq\begin{cases}0 & \alpha\in\left[0,\frac{1}{2}\right)\\ x^{4\alpha-4} & \alpha\in\left(\frac{1}{2},1\right),\end{cases}$$

The case $n\leq x$ is treated similarly. □

The main result from this subsection is the following estimate of the function P_m on the real axis.

Proposition 3.6. *Let* $\varepsilon\in(0,1)$ *and* $m\in\mathbb{Z}^*$. *For each* $\alpha\in[0,1)\setminus\frac{1}{2}$ *there exist two positive constants* C *and* ω, *independent of* ε *and* m, *such that the function* P_m *defined by* (3.1) *verifies*

$$|P_m(x)|\leq C\exp\left[\omega\left(\varphi_\varepsilon(x)+|\Re(\lambda_m)|\right)\right]\qquad(x\in\mathbb{R}),\tag{3.13}$$

where

$$\varphi_\varepsilon(x)=\begin{cases}\varepsilon|x|^{2\alpha} & \text{if } \left(\alpha\in\left[0,\frac{1}{2}\right) \text{ and } x\in\mathbb{R}\right) \text{ or } \left(\alpha\in\left(\frac{1}{2},1\right) \text{ and } |x|\leq\gamma_\varepsilon\right)\\ \left(\frac{|x|}{\varepsilon}\right)^{\frac{1}{2\alpha}} & \text{if } \alpha\in\left(\frac{1}{2},1\right) \text{ and } |x|>\gamma_\varepsilon.\end{cases}\tag{3.14}$$

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Proof. With the notations from Proposition 3.2 and by taking into account estimate (3.2) from Lemma 3.1, it follows that it is enough to evaluate $|E_m(x)|$. Moreover, since P_m is a continuous function it is sufficient to consider $x \neq |\lambda_n|$ for all $n \in \mathbb{Z}^*$. In the sequel, C denotes a generic constant which may change from one row to another but it is always independent of ε and m.

To begin with, we evaluate E_m on the real axis in the case $\left(\alpha \in \left[0, \frac{1}{2}\right) \text{ and } x \in \mathbb{R}\right)$ or $\left(\alpha \in \left(\frac{1}{2}, 1\right) \text{ and } |x| \leq \gamma_\varepsilon\right)$.

$$|E_m(x)|^2 = \left|\prod_{\substack{n\in\mathbb{Z}^*\\ n\neq m}} \left(1 + \frac{xi}{\overline{\lambda_n}}\right)\right|^2 = \left|1 + \frac{xi}{\lambda_m}\right|^2 \prod_{\substack{n=1\\ n\neq m}}^{\infty} \left|\frac{|\lambda_n|^2 + 2xi\Re(\lambda_n) - x^2}{|\lambda_n|^2}\right|^2$$
$$= \frac{(x+m)^2 + \varepsilon^2 m^{4\alpha}}{m^2 + \varepsilon^2 m^{4\alpha}} \underbrace{\prod_{\substack{n=1\\ n\neq m}}^{\infty} \frac{\left(|\lambda_n|^2 - x^2\right)^2}{|\lambda_n|^4}}_{E_m^1(x)} \underbrace{\prod_{\substack{n=1\\ n\neq m}}^{\infty} \frac{\left(|\lambda_n|^2 - x^2\right)^2 + 4x^2\left(\Re\lambda_n\right)^2}{\left(|\lambda_n|^2 - x^2\right)^2}}_{E_m^2(x)}.$$

We shall consider that $x \geq 0$. The opposite case can be treated in a similar way. Now, we evaluate $E_m^1(x)$ by using Lemma 3.4. We have that

$$\left|E_m^1(x)\right| = \prod_{\substack{n=1\\ n\neq m}}^{\infty} \left|\frac{|\lambda_n|^2 - x^2}{|\lambda_n|^2}\right|^2 = \prod_{\substack{n=1\\ n\neq m}}^{\infty} \left|\frac{n^2 + \varepsilon^2 n^{4\alpha} - x_\varepsilon^2 - \varepsilon^2 x_\varepsilon^{4\alpha}}{n^2 + \varepsilon^2 n^{4\alpha}}\right|^2$$
$$= \left(\frac{m^2}{m^2 - x_\varepsilon^2}\right)^2 \prod_{n=1}^{\infty} \left|\frac{n^2 - x_\varepsilon^2}{n^2}\right|^2 \underbrace{\prod_{\substack{n=1\\ n\neq m}}^{\infty} \left|1 + \frac{\varepsilon^2 x_\varepsilon^2}{1 + \varepsilon^2 n^{4\alpha-2}} \frac{n^{4\alpha-2} - x_\varepsilon^{4\alpha-2}}{n^2 - x_\varepsilon^2}\right|^2}_{A_m^1(x)}$$
$$= \left(\frac{m^2}{m^2 - x_\varepsilon^2}\right)^2 \left(\frac{\sin \pi x_\varepsilon}{\pi x_\varepsilon}\right)^2 A_m^1(x) \leq \frac{m^2}{(m - x_\varepsilon)^2} \left(\frac{\sin \pi x_\varepsilon}{\pi x_\varepsilon}\right)^2 A_m^1(x).$$

From Lemma 3.5 we deduce that, if $\alpha \in \left[0, \frac{1}{2}\right)$, the product $A_m^1(x)$ has the following property

$$A_m^1(x) \leq 1.$$

On the other hand, if $\alpha \in \left(\frac{1}{2}, 1\right)$, from (3.11) we deduce that

$$A_m^1(x) \leq \exp\left(2\sum_{n=1}^{[x_\varepsilon]} \ln\left(1 + \frac{\varepsilon^2 x_\varepsilon^{4\alpha-2}}{1 + \varepsilon^2 n^{4\alpha-2}}\right) + 2\sum_{n=[x_\varepsilon]+1}^{\infty} \ln\left(1 + \frac{x_\varepsilon^2 \varepsilon^2 n^{4\alpha-4}}{1 + \varepsilon^2 n^{4\alpha-2}}\right)\right)$$
$$\leq \exp\Bigg(\underbrace{2\sum_{n=1}^{[x_\varepsilon]} \frac{\varepsilon^2 x_\varepsilon^{4\alpha-2}}{1 + \varepsilon^2 n^{4\alpha-2}}}_{A_m^{11}(x)} + \underbrace{2\sum_{n=[x_\varepsilon]+1}^{\infty} \frac{x_\varepsilon^2 \varepsilon^2 n^{4\alpha-4}}{1 + \varepsilon^2 n^{4\alpha-2}}}_{A_m^{12}(x)}\Bigg).$$

Next, we proceed to evaluate the sums $A_m^{11}(x)$ and $A_m^{12}(x)$. Firstly, we have that

$$A_m^{11}(x) = 2\sum_{n=1}^{[x_\varepsilon]} \frac{\varepsilon^2 x_\varepsilon^{4\alpha-2}}{1 + \varepsilon^2 n^{4\alpha-2}} \leq 2\int_0^{x_\varepsilon} \frac{\varepsilon^2 x_\varepsilon^{4\alpha-2}}{1 + \varepsilon^2 t^{4\alpha-2}} \mathrm{d}t \leq 2\int_0^{x_\varepsilon} \varepsilon^2 x_\varepsilon^{4\alpha-2} \mathrm{d}t \leq 2\varepsilon^2 x_\varepsilon^{4\alpha-1} \leq 2\varepsilon x_\varepsilon^{2\alpha},$$

and secondly we deduce that

$$A_m^{12}(x) = \sum_{n=[x_\varepsilon]+1}^{\infty} \frac{2x_\varepsilon^2 \varepsilon^2 n^{4\alpha-4}}{1 + \varepsilon^2 n^{4\alpha-2}} \leq \int_{x_\varepsilon}^{\infty} \frac{2x_\varepsilon^2 \varepsilon^2 t^{4\alpha-4}}{1 + \varepsilon^2 t^{4\alpha-2}} \mathrm{d}t = \int_{x_\varepsilon}^{\gamma_\varepsilon} \frac{2x_\varepsilon^2 \varepsilon^2 t^{4\alpha-4}}{1 + \varepsilon^2 t^{4\alpha-2}} \mathrm{d}t + \int_{\gamma_\varepsilon}^{\infty} \frac{2x_\varepsilon^2 \varepsilon^2 t^{4\alpha-4}}{1 + \varepsilon^2 t^{4\alpha-2}} \mathrm{d}t \leq 2\varepsilon x_\varepsilon^{2\alpha}.$$

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Consequently, we have proved that

$$E_m^1(x) \leq \begin{cases} \dfrac{m^2}{(m-x_\varepsilon)^2}\left(\dfrac{\sin \pi x_\varepsilon}{\pi x_\varepsilon}\right)^2 & \alpha \in \left[0, \frac{1}{2}\right),\ x \in \mathbb{R} \\ \dfrac{m^2}{(m-x_\varepsilon)^2}\left(\dfrac{\sin \pi x_\varepsilon}{\pi x_\varepsilon}\right)^2 \exp\left(4\varepsilon x^{2\alpha}\right) & \alpha \in \left(\frac{1}{2}, 1\right),\ x \leq \gamma_\varepsilon. \end{cases} \tag{3.15}$$

Nextly, we evaluate the product $E_m^2(x)$. In the following estimates we shall use the notation $n_x = [x_\varepsilon]$ and relations (3.7)–(3.8) from Lemma 3.4.

$$E_m^2(x) = \underbrace{\frac{\left(|\lambda_m|^2 - x^2\right)^2}{\left(|\lambda_m|^2 - x^2\right)^2 + 4x^2\Re^2(\lambda_m)}\left(1 + \frac{4x^2\Re^2(\lambda_{n_x})}{\left(|\lambda_{n_x}|^2 - x^2\right)^2}\right)\left(1 + \frac{4x^2\Re^2(\lambda_{n_x+1})}{\left(|\lambda_{n_x+1}|^2 - x^2\right)^2}\right)}_{E_m^{21}(x)}$$

$$\prod_{n=1}^{n_x-1}\left(1 + \frac{4x^2\Re^2(\lambda_n)}{\left(|\lambda_n|^2 - x^2\right)^2}\right)\prod_{n=n_x+2}^{\infty}\left(1 + \frac{4x^2\Re^2(\lambda_n)}{\left(|\lambda_n|^2 - x^2\right)^2}\right)$$

$$\leq E_m^{21}(x)\prod_{n=1}^{n_x-1}\left(1 + \frac{4\Re^2(\lambda_n)}{\left(|\lambda_n| - x\right)^2}\right)\prod_{n=n_x+2}^{\infty}\left(1 + \frac{4x^2\Re^2(\lambda_n)}{|\lambda_n|^2\left(|\lambda_n| - x\right)^2}\right)$$

$$= E_m^{21}(x)\exp\left[\underbrace{\sum_{n=1}^{n_x-1}\ln\left(1 + \frac{4\Re^2(\lambda_n)}{\left(x - |\lambda_n|\right)^2}\right)}_{E_m^{22}(x)} + \underbrace{\sum_{n=n_x+2}^{\infty}\ln\left(1 + \frac{4x^2\Re^2(\lambda_n)}{|\lambda_n|^2\left(|\lambda_n| - x\right)^2}\right)}_{E_m^{23}(x)}\right].$$

We estimate $E_m^{22}(x)$ by using Lemma 3.4 as follows

$$E_m^{22}(x) \leq \sum_{n=1}^{n_x-1}\ln\left(1 + \frac{8\varepsilon^2 n^{4\alpha}}{(n_x - n)^2}\right) \leq 32\alpha\int_0^{n_x}\frac{n_x\varepsilon^2 t^{4\alpha-1}}{(n_x - t)^2 + 8\varepsilon^2 t^{4\alpha}}\mathrm{d}t.$$

To bound from above the last integral we have to split the interval $(0, n_x)$ in three parts, by taking into account the following inequalities

$$0 \leq \frac{n_x}{2} \leq n_x - \frac{\varepsilon n_x^{2\alpha}}{1 + \varepsilon n_x^{2\alpha-1}} \leq n_x. \tag{3.16}$$

Thus, we have that

$$\int_0^{n_x}\frac{n_x\varepsilon^2 t^{4\alpha-1}}{(n_x - t)^2 + 8\varepsilon^2 t^{4\alpha}}\mathrm{d}t \leq \int_0^{\frac{n_x}{2}}\frac{\varepsilon^2 n_x t^{4\alpha-1}}{(n_x - t)^2}\mathrm{d}t + \int_{\frac{n_x}{2}}^{n_x - \frac{\varepsilon n_x^{2\alpha}}{1+\varepsilon n_x^{2\alpha-1}}}\frac{\varepsilon^2 n_x t^{4\alpha-1}}{(n_x - t)^2}\mathrm{d}t + \int_{n_x - \frac{\varepsilon n_x^{2\alpha}}{1+\varepsilon n_x^{2\alpha-1}}}^{n_x}\frac{n_x}{t}\mathrm{d}t$$

$$\leq \int_0^{\frac{n_x}{2}}\frac{\varepsilon^2 n_x t^{4\alpha-1}}{\left(\frac{n_x}{2}\right)^2}\mathrm{d}t + \int_{\frac{n_x}{2}}^{n_x - \frac{\varepsilon n_x^{2\alpha}}{1+\varepsilon n_x^{2\alpha-1}}}\frac{\varepsilon^2 n_x^{4\alpha}}{(n_x - t)^2}\mathrm{d}t + n_x\ln(1 + \varepsilon n_x^{2\alpha-1}) \leq C\varepsilon n_x^{2\alpha}.$$

The last inequality takes place because $\varepsilon^2 n_x^{4\alpha-1} \leq \varepsilon n_x^{2\alpha}$ if $\left(\alpha \in \left[0, \frac{1}{2}\right)\right.$ and $\left.x \in \mathbb{R}\right)$ or $\left(\alpha \in \left(\frac{1}{2}, 1\right)\right.$ and $\left.x \leq \gamma_\varepsilon\right)$. Now let us evaluate $E_m^{23}(x)$ by treating separately the following cases:

Case I. $\alpha \in \left[0, \frac{1}{2}\right)$ and $x \in \mathbb{R}$. Using relation (3.8) from Lemma 3.4 we obtain that

$$cE_m^{23}(x) \leq \int_{x_\varepsilon+1}^{\infty}\ln\left(1 + \frac{8x^2\varepsilon^2 t^{4\alpha-2}}{(t - x_\varepsilon)^2}\right)\mathrm{d}t \leq 32\int_{x_\varepsilon+1}^{\infty}\frac{x^2\varepsilon^2 t^{4\alpha-2}}{(t - x_\varepsilon)^2 + x^2\varepsilon^2 t^{4\alpha-2}}\mathrm{d}t$$

$$= 32\left(\int_{x_\varepsilon+1}^{2x_\varepsilon} + \int_{2x_\varepsilon}^{\infty}\right)\frac{x^2\varepsilon^2 t^{4\alpha-2}}{(t - x_\varepsilon)^2 + x^2\varepsilon^2 t^{4\alpha-2}}\mathrm{d}t \leq C\varepsilon x_\varepsilon^{2\alpha}.$$

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Case II. $\alpha \in \left(\frac{1}{2}, 1\right)$ and $x \leq \gamma_\varepsilon$. Using the relations (3.8) and (3.9) from Lemma 3.4 we have that

$$E_m^{23}(x) \leq \underbrace{\int_{x_\varepsilon}^{\gamma_\varepsilon} \ln\left(1 + \frac{8x^2\varepsilon^2 t^{4\alpha-2}}{(t-x_\varepsilon)^2}\right) \mathrm{d}t}_{I_1} + \underbrace{\int_{\gamma_\varepsilon}^{\infty} \ln\left(1 + \frac{32x^2}{\varepsilon^2\left(t^{2\alpha} - x_\varepsilon^{2\alpha}\right)^2}\right) \mathrm{d}t}_{I_2}.$$

To evaluate I_1 we integrate by parts and we take into account that there exists a constant $v \in (0,1)$ such that $x_\varepsilon \leq v\gamma_\varepsilon$. The existence of this constant allows us to separate the interval $(x_\varepsilon, \gamma_\varepsilon)$ as follows

$$\begin{aligned} I_1 &\leq 4x^2\varepsilon^{\frac{1}{2\alpha-1}} + 8x^2\varepsilon^2\left(\int_{x_\varepsilon}^{\frac{1}{v}x_\varepsilon} + \int_{\frac{1}{v}x_\varepsilon}^{\gamma_\varepsilon}\right) \frac{t^{4\alpha-2}}{(t-x_\varepsilon)^2 + 4x^2\varepsilon^2 t^{4\alpha-2}} \mathrm{d}t \\ &\leq 4\varepsilon x^{2\alpha} + \int_{x_\varepsilon}^{\frac{1}{v}x_\varepsilon} \frac{Cx_\varepsilon^{4\alpha}\varepsilon^2}{(t-x_\varepsilon)^2 + x_\varepsilon^{4\alpha}\varepsilon^2} \mathrm{d}t + \int_{\frac{1}{v}x_\varepsilon}^{\gamma_\varepsilon} \frac{Cx_\varepsilon^2\varepsilon^2 t^{4\alpha-4}}{1 + x_\varepsilon^2\varepsilon^2 t^{4\alpha-4}} \mathrm{d}t \\ &\leq 4\varepsilon x^{2\alpha} + \int_0^{\infty} \frac{Cx_\varepsilon^{4\alpha}\varepsilon^2}{t^2 + x_\varepsilon^{4\alpha}\varepsilon^2} \mathrm{d}t + \int_{\frac{1}{v}x_\varepsilon}^{\gamma_\varepsilon} Cx_\varepsilon^2\varepsilon^2 t^{4\alpha-4} \mathrm{d}t \leq C\varepsilon x^{2\alpha}. \end{aligned}$$

In order to estimate I_2 we remark that $t^{2\alpha} - x_\varepsilon^{2\alpha} \geq (1 - v^{2\alpha})t^{2\alpha}$. It follows that

$$I_2 \leq \int_{\gamma_\varepsilon}^{\infty} \ln\left(1 + \frac{32x^2}{(1-v^{2\alpha})^2\varepsilon^2 t^{4\alpha}}\right) \mathrm{d}t \leq \int_{\gamma_\varepsilon}^{\infty} \frac{32x^2}{(1-v^{2\alpha})^2\varepsilon^2 t^{4\alpha}} \mathrm{d}t \leq \frac{32}{(4\alpha-1)(1-v^{2\alpha})^2}\varepsilon x^{2\alpha}.$$

Thus, we have that

$$E_m^2(x) \leq E_m^{21}(x)\exp(C\varepsilon|x|^{2\alpha}). \tag{3.17}$$

Note that, for any $m \in \mathbb{Z}^*$, there exists a positive constant $\widetilde{C}$, independent of m and ε, such that

$$\frac{(x+m)^2 + \varepsilon^2 m^{4\alpha}}{m^2 + \varepsilon^2 m^{4\alpha}} \frac{m^2}{(m-x_\varepsilon)^2} \left(\frac{\sin \pi x_\varepsilon}{\pi x_\varepsilon}\right)^2 \left(1 + \frac{4x^2\Re^2(\lambda_{n_x})}{\left(|\lambda_{n_x}|^2 - x^2\right)^2}\right) \left(1 + \frac{4x^2\Re^2(\lambda_{n_x+1})}{\left(|\lambda_{n_x+1}|^2 - x^2\right)^2}\right) \leq \widetilde{C}. \tag{3.18}$$

Indeed, the terms $\frac{m^2}{(m-x_\varepsilon)^2}$, $1 + \frac{4x^2\Re^2(\lambda_{n_x})}{(|\lambda_{n_x}|^2 - x^2)^2}$ and $1 + \frac{4x^2\Re^2(\lambda_{n_x+1})}{(|\lambda_{n_x+1}|^2 - x^2)^2}$ explodes as x tends to m, λ_{n_x} and λ_{n_x+1} respectively, but not simultaneously. This allows us to couple them with the sine function $\left(\frac{\sin \pi x_\varepsilon}{\pi x_\varepsilon}\right)^2$ in order to obtain a bounded function. On the other hand, when x tends to infinity we couple the first two terms so that we obtain once again a bounded function.

Consequently, from (3.15), (3.17) and (3.18) it follows that, for every $\alpha \in [0,1) \setminus \{\frac{1}{2}\}$ there exists an absolute positive constant C, such that for any $\left(x \in \mathbb{R} \text{ if } \alpha \in \left[0, \frac{1}{2}\right)\right)$ or $\left(|x| \leq \gamma_\varepsilon \text{ if } \alpha \in \left(\frac{1}{2}, 1\right)\right)$, we have that

$$|E_m(x)| \leq \exp(C\varepsilon x^{2\alpha}). \tag{3.19}$$

To conclude the proof it remains to evaluate the product $E_m(x)$ in the case $\alpha \in \left(\frac{1}{2}, 1\right)$ and $|x| > \gamma_\varepsilon$. Note that

$$\begin{aligned} |E_m(x)|^2 &= \left|\prod_{\substack{n\in\mathbb{Z}^* \\ n\neq m}} \left(1 + \frac{xi}{\overline{\lambda_n}}\right)\right|^2 = \left|1 + \frac{xi}{\lambda_m}\right|^2 \left|\prod_{\substack{n=1 \\ n\neq m}}^{\infty} \left(1 + \frac{xi}{\lambda_n}\right)\left(1 + \frac{xi}{\overline{\lambda_n}}\right)\right|^2 \\ &\leq \frac{(m+x)^2 + \varepsilon^2 m^{4\alpha}}{m^2 + \varepsilon^2 m^{4\alpha}} \exp\left[\underbrace{\sum_{n=1}^{[\eta_\varepsilon(x)]} \ln\left(1 + \frac{x^4 + 4x^2\Re^2(\lambda_n)}{|\lambda_n|^4}\right)}_{S_m^1(x)} + \underbrace{\sum_{n=[\eta_\varepsilon(x)]+1}^{\infty} \ln\left(1 + \frac{x^4 + 4x^2\Re^2(\lambda_n)}{|\lambda_n|^4}\right)}_{S_m^2(x)}\right], \end{aligned}$$

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where $\eta_\varepsilon(x)=\left(\frac{x}{\sqrt{2}\varepsilon}\right)^{\frac{1}{2\alpha}}$. Now, we evaluate the above sum as follows.

$$S_m^1(x)\leq\sum_{n=1}^{[\eta_\varepsilon(x)]}\ln\left(1+\frac{x^4}{|\lambda_n|^4}\right)\leq\int_0^{\eta_\varepsilon(x)}\ln\left(1+\frac{x^4}{\left(t^2+\varepsilon^2t^{4\alpha}\right)^2}\right)\mathrm{d}t=\int_0^{\gamma_\varepsilon}\ln\left(1+\frac{x^4}{t^4}\right)\mathrm{d}t$$
$$+\int_{\gamma_\varepsilon}^{\eta_\varepsilon(x)}\ln\left(1+\frac{x^4}{\varepsilon^4t^{8\alpha}}\right)\mathrm{d}tC\left(\frac{x}{\varepsilon}\right)^{\frac{1}{2\alpha}},$$
$$S_m^2(x)\leq\sum_{n=[\eta_\varepsilon(x)]+1}^{\infty}\frac{x^4+4x^2\Re^2\left(\lambda_n\right)}{|\lambda_n|^4}\leq\sum_{n=[\eta_\varepsilon(x)]+1}^{\infty}\frac{8x^2\Re^2\left(\lambda_n\right)}{|\lambda_n|^4}=\sum_{n=[\eta_\varepsilon(x)]+1}^{\infty}\frac{8x^2\varepsilon^2n^{4\alpha}}{\left(n^2+\varepsilon^2n^{4\alpha}\right)^2}$$
$$\leq\sum_{n=[\eta_\varepsilon(x)]+1}^{\infty}\frac{8x^2}{\varepsilon^2n^{4\alpha}}\leq\int_{\eta_\varepsilon(x)}^{\infty}\frac{8x^2}{\varepsilon^2}t^{-4\alpha}\mathrm{d}t\leq C\left(\frac{x}{\varepsilon}\right)^{\frac{1}{2\alpha}}.$$

Thus, for $\alpha\in\left(\frac{1}{2},1\right)$ and $|x|>\gamma_\varepsilon$, we have proved that

$$|E_m(x)|\leq\exp\left(C\left(\frac{|x|}{\varepsilon}\right)^{\frac{1}{2\alpha}}\right). \tag{3.20}$$

Now, by taking into account (3.19) and (3.20) the proof of the Proposition ends. □

3.3. A multiplier

In this subsection we construct a function, called multiplier, used to compensate the grow of the product P_m on the real axis given in Proposition 3.6.

Let $\varphi:[0,\infty)\to[0,\infty)$ be a continuous, increasing and onto function. We define the real sequence $(a_n)_{n\geq1}$ by

$$\varphi(ea_n)=n\qquad(n\geq1) \tag{3.21}$$

and we suppose that the following properties hold:

(I1) $\sum_{n\geq1}\frac{1}{a_n}\leq L_2<\infty$

(I2) $\sum_{n=n_m}^{\infty}\frac{1}{a_n^2}\leq D\frac{1+|\Re(\lambda_m)|}{|\lambda_m|^2}$,

where L_2 and D are two positive constants and

$$n_m=[\varphi(e|\lambda_m|)]+1\qquad(m\geq1). \tag{3.22}$$

We have the following result.

Lemma 3.7. *Let $x\geq a_{n_m}$ and define $n_x:=[\varphi(ex)]$. Then*

$$\sum_{j=n_m}^{n_x}\ln\left(\frac{a_j}{x}\right)=-\int_{a_{n_m}}^{x}\frac{A(u)-n_m+1}{u}\mathrm{d}u, \tag{3.23}$$

where $A(u)=\#\{a_n\leq u\}=[\varphi_\varepsilon(eu)]$.

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Proof. We have that

$$-\int_{a_{n_m}}^{x}\frac{A(u)}{u}\mathrm{d}u=-\sum_{j=n_m}^{n_x-1}\int_{a_j}^{a_{j+1}}\frac{A(u)}{u}\mathrm{d}u-\int_{a_{n_x}}^{x}\frac{A(u)}{u}\mathrm{d}u=-\sum_{j=n_m}^{n_x-1}\int_{a_j}^{a_{j+1}}\frac{j}{u}\mathrm{d}u-\int_{a_{n_x}}^{x}\frac{n_x}{u}\mathrm{d}u$$

$$=\ln\left(\prod_{j=n_m}^{n_x-1}\frac{a_j^j}{a_{j+1}^j}\frac{a_{n_x}^{n_x}}{x^{n_x}}\right)=\ln\left(\frac{a_{n_m}^{n_m-1}}{x^{n_m-1}}\prod_{j=n_m}^{n_x}\frac{a_j}{x}\right)=-\int_{a_{n_m}}^{x}\frac{n_m-1}{u}\mathrm{d}u+\sum_{j=n_m}^{n_x}\ln\left(\frac{a_j}{x}\right),$$

which completes the proof of the lemma. □

Now we can construct a multiplier function.

Theorem 3.8. *Let $\varphi:[0,\infty)\to[0,\infty)$ be a continuous, increasing, onto function such that the sequence $(a_n)_{n\geq 1}$ defined by (3.21) verifies (I1) and (I2). For each $m\geq 1$ there exists $M_m:\mathbb{C}\to\mathbb{C}$ with the following properties:*

1. *M_m is an entire function of exponential type L_2*
2. *$|M_m(x)|\leq\exp\left(-\varphi(|x|)+\varphi(e|\lambda_m|)+1\right)$ for all $x\in\mathbb{R}$*
3. *$\left|M_m(i\overline{\lambda}_m)\right|\geq\exp\left(-\dfrac{D}{6}\left(1+|\Re(\lambda_m)|\right)\right)$.*

Proof. By adapting an idea from [19], we define the function $M_m:\mathbb{C}\to\mathbb{C}$ as follows

$$M_m(z)=\prod_{n=n_m}^{\infty}\frac{\sin\left(\frac{z}{a_n}\right)}{\frac{z}{a_n}},\tag{3.24}$$

where the sequence $(a_n)_{n\geq 1}$ is given by (3.21) and n_m is defined in (3.22).

M_m is an entire function of exponential type. Indeed, this is a consequence of property (I1) of the sequence $(a_n)_{n\geq 1}$ and the following estimate which holds for each $N>n_m$,

$$\prod_{n=n_m}^{N}\left|\frac{\sin\left(\frac{z}{a_n}\right)}{\frac{z}{a_n}}\right|\leq\prod_{n=n_m}^{N}\mathrm{e}^{\left|\frac{z}{a_n}\right|}=\mathrm{e}^{|z|\sum_{n=n_m}^{N}\frac{1}{a_n}}\leq\mathrm{e}^{L_2|z|}.$$

To prove the second property of M_m we need to analyze the following two cases:

Case 1. $x\leq ea_{n_m}$. We deduce that $\varphi(x)\leq\varphi(ea_{n_m})=n_m\leq\varphi(e|\lambda_m|)+1$ and consequently

$$|M_m(x)|=\prod_{n=n_m}^{\infty}\left|\frac{\sin\left(\frac{x}{a_n}\right)}{\frac{x}{a_n}}\right|\leq 1\leq\exp\left(\varphi\left(e|\lambda_m|\right)-\varphi(x)+1\right).$$

Case 2. $ea_{n_m}<x$. From Lemma 3.7 we deduce that

$$|M_m(x)|=\prod_{n=n_m}^{\infty}\left|\frac{\sin\left(\frac{x}{a_n}\right)}{\frac{x}{a_n}}\right|\leq\prod_{n=n_m}^{n_x}\left|\frac{a_n}{x}\right|=\exp\left(\sum_{n=n_m}^{n_x}\ln\left(\frac{a_n}{x}\right)\right)=\exp\left(-\int_{a_{n_m}}^{x}\frac{A(u)-n_m+1}{u}\mathrm{d}u\right).$$

Since $a_{n_m}<\frac{x}{e}$, it follows that

$$|M_m(x)|\leq\exp\left(-\int_{\frac{x}{e}}^{x}\frac{A(u)-n_m+1}{u}\mathrm{d}u\right)\leq\exp\left(-\int_{\frac{x}{e}}^{x}\frac{\varphi(x)-1-n_m+1}{u}\mathrm{d}u\right)=\exp\left(-\varphi(x)+n_m\right).$$

Since $n_m=[\varphi(e|\lambda_m|)]+1\leq\varphi(e|\lambda_m|)+1$, the second property of M_m is proved.

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To prove the third property of M_m, note that

$$a_{n_m} = \frac{1}{e}\varphi^{-1}(n_m) \geq \frac{1}{e}\varphi^{-1}(\varphi(e|\lambda_m|)) = |\lambda_m|$$

and consequently $\left|\frac{\lambda_m}{a_n}\right| \leq 1$ for each $n \geq n_m$. It follows that

$$|M_m(i\overline{\lambda}_m)| = \prod_{n=n_m}^{\infty}\left|\frac{\sin\left(\frac{i\overline{\lambda}_m}{a_n}\right)}{\frac{i\overline{\lambda}_m}{a_n}}\right| \geq \prod_{n=n_m}^{\infty}\frac{\sin\left|\frac{\overline{\lambda}_m}{a_n}\right|}{\left|\frac{\overline{\lambda}_m}{a_n}\right|} \geq \prod_{n=n_m}^{\infty}\left|1-\frac{1}{6}\frac{|\overline{\lambda}_m|^2}{a_n^2}\right|$$
$$= \exp\left(\sum_{n=n_m}^{\infty}\ln\left(1-\frac{1}{6}\frac{|\lambda_m|^2}{a_n^2}\right)\right) \geq \exp\left(-\frac{|\lambda_m|^2}{6}\sum_{n=n_m}^{\infty}\frac{1}{a_n^2}\right)$$

By using property (I2) of the sequence $(a_n)_{n\geq 1}$, we deduce that the third property of M_m also holds and the proof of the theorem ends. □

Proposition 3.9. *For $\alpha \in (0,1)\setminus\left\{\frac{1}{2}\right\}$ and $\varepsilon \in (0,1)$, let $\varphi_\varepsilon : [0,\infty) \to [0,\infty)$ be the function defined by (3.14). For each $m \geq 1$ there exists $M_m : \mathbb{C} \to \mathbb{C}$ with the following properties:*

1. *M_m is an entire function of exponential type L_2*
2. *$|M_m(x)| \leq \exp\left(-\varphi_\varepsilon(x) + 2e^2|\Re(\lambda_m)| + 1\right)$ for all $x \in \mathbb{R}$*
3. *$\left|M_m(i\overline{\lambda}_m)\right| \geq \exp\left(-D\left(1+|\Re(\lambda_m)|\right)\right)$,*

where L_2 and D are positive constants independent of m and ε.

Proof. The existence of the function M_m follows from Theorem 3.8 if we prove that the function φ_ε verifies the hypothesis from Theorem 3.8 and

$$\varphi_\varepsilon(e|\lambda_m|) \leq 2e^2|\Re(\lambda_m)| \qquad (m \geq 1). \tag{3.25}$$

From (3.14) we deduce immediately that $\varphi_\varepsilon : (0,\infty) \to (0,\infty)$ is continues, increasing, onto and (3.25) is verified. Moreover, the sequence $(a_n)_{n\geq 1}$ defined by $a_n = \frac{1}{e}\varphi^{-1}(n)$ verifies the following properties.

- For $\alpha \in \left(0,\frac{1}{2}\right)$ we have that

$$\frac{1}{e}\sum_{n\geq 1}\frac{1}{a_n} = \varepsilon^{\frac{1}{2\alpha}} + \varepsilon^{\frac{1}{2\alpha}}\sum_{n\geq 2}\left(\frac{1}{n}\right)^{\frac{1}{2\alpha}} \leq \frac{4\alpha+1}{2\alpha}\varepsilon^{\frac{1}{2\alpha}}.$$

- For $\alpha \in \left(\frac{1}{2},1\right)$ we have that

$$\frac{1}{e}\sum_{n\geq 1}\frac{1}{a_n} = \sum_{n\geq 1}\frac{1}{\varphi_\varepsilon^{-1}(n)} \leq \int_0^\infty \frac{1}{\varphi_\varepsilon^{-1}(s)}ds = \int_0^{\gamma_\varepsilon}\left(\frac{\varepsilon}{s}\right)^{\frac{1}{2\alpha}}ds + \int_{\gamma_\varepsilon}^\infty \frac{1}{\varepsilon s^{2\alpha}}ds = \frac{2\alpha+1}{2\alpha-1}.$$

By taking $L_2 = \frac{4\alpha+1}{2\alpha}\varepsilon^{\frac{1}{2\alpha}}e$ for $\alpha \in \left(0,\frac{1}{2}\right)$ and $L_2 = \frac{2\alpha+1}{2\alpha-1}e$ for $\alpha \in \left(\frac{1}{2},1\right)$ it follows that hypothesis $(I1)$ is verified.

On the other hand we have that

- For $\alpha \in \left(0,\frac{1}{2}\right)$ we have that

$$\frac{1}{e^2}\sum_{n=n_m}^{\infty}\frac{1}{a_n^2} = \sum_{n=n_m}^{\infty}\left(\frac{\varepsilon}{n}\right)^{\frac{1}{\alpha}} \leq \int_{\varphi_\varepsilon(e|\lambda_m|)}^{\infty}\left(\frac{\varepsilon}{s}\right)^{\frac{1}{\alpha}}\mathrm{d}s \leq \varepsilon|\lambda_m|^{2\alpha-2} \leq \frac{1}{|\lambda_m|^2}\left(1+2^\alpha|\Re(\lambda_m)|\right).$$

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- For $\alpha \in \left(\frac{1}{2}, 1\right)$ it follows that

$$\frac{1}{\mathrm{e}^2}\sum_{n=n_m}^{\infty}\frac{1}{a_n^2} = \sum_{n=n_m}^{\infty}\frac{1}{(\varphi_\varepsilon^{-1}(n))^2} \leq \frac{1}{(\varphi_\varepsilon^{-1}(n_m))^2} + \int_{n_m}^{\infty}\frac{1}{(\varphi_\varepsilon^{-1}(s))^2}\mathrm{d}s.$$

Since $n_m \geq \varphi_\varepsilon(e|\lambda_m|)$ it results that

$$\frac{1}{(\varphi_\varepsilon^{-1}(n_m))^2} \leq \frac{1}{\mathrm{e}^2|\lambda_m|^2} \qquad (m \in \mathbb{N}^*). \tag{3.26}$$

On the other hand, if $e|\lambda_m| \leq \gamma_\varepsilon$ we have that

$$\int_{\varphi_\varepsilon(e|\lambda_m|)}^{\infty}\frac{1}{(\varphi_\varepsilon^{-1}(s))^2}\mathrm{d}s = \int_{\varphi_\varepsilon(e|\lambda_m|)}^{\gamma_\varepsilon}\left(\frac{\varepsilon}{s}\right)^{\frac{1}{\alpha}}\mathrm{d}s + \int_{\gamma_\varepsilon}^{\infty}\frac{1}{\varepsilon^2 s^{4\alpha}}\mathrm{d}s \leq$$

$$\leq \frac{\alpha}{1-\alpha}\varepsilon^{\frac{1}{\alpha}}\left(\varepsilon(e|\lambda_m|)^{2\alpha}\right)^{\frac{\alpha-1}{\alpha}} + \frac{1}{4\alpha-1}\frac{1}{\varepsilon^2}\gamma_\varepsilon^{1-4\alpha} \leq \frac{4\alpha}{1-\alpha}\frac{1}{|\lambda_m|^2}|\Re(\lambda_m)|$$

and if $e|\lambda_m| > \gamma_\varepsilon$

$$\int_{\varphi_\varepsilon(e|\lambda_m|)}^{\infty}\frac{1}{(\varphi_\varepsilon^{-1}(s))^2}\mathrm{d}s = \int_{\varphi_\varepsilon(e|\lambda_m|)}^{\infty}\frac{1}{\varepsilon^2 s^{4\alpha}}\mathrm{d}s \leq \frac{1}{4\alpha-1}\mathrm{e}^{\frac{1-4\alpha}{2\alpha}}\frac{1}{\varepsilon^2}\left(\frac{|\lambda_m|}{\varepsilon}\right)^{\frac{1-4\alpha}{2\alpha}} \leq \frac{4\mathrm{e}^2}{4\alpha-1}\frac{1}{|\lambda_m|^2}|\Re(\lambda_m)|.$$

By taking $D = 2^\alpha \mathrm{e}^2$ for $\alpha \in \left(0, \frac{1}{2}\right)$ and $D = \frac{4\alpha}{1-\alpha}\mathrm{e}^2$ for $\alpha \in \left(\frac{1}{2}, 1\right)$ it follows that hypothesis $(I2)$ is verified and the proof of the proposition finishes. □

3.4. Two biorthogonal sequences

Now we have all the ingredients needed to construct a biorthogonal sequence $(\theta_m)_{m\in\mathbb{Z}^*}$ to the family $\left(\mathrm{e}^{\lambda_n t}\right)_{n\in\mathbb{Z}^*}$, by using the method presented in the section's introduction.

Theorem 3.10. *Let $\varepsilon \in (0,1)$. There exist $\widetilde{T} > 0$ independent of ε and a biorthogonal sequence $(\theta_m)_{m\in\mathbb{Z}^*}$ to the family $(\mathrm{e}^{\lambda_n t})_{n\in\mathbb{Z}^*}$ in $L^2(-\frac{\widetilde{T}}{2}, \frac{\widetilde{T}}{2})$, with the following property*

$$\|\theta_m\|_{L^2(-\frac{\widetilde{T}}{2},\frac{\widetilde{T}}{2})} \leq C\exp(\beta|\Re(\lambda_m)|) \qquad (m \in \mathbb{Z}^*), \tag{3.27}$$

where C and β are positive constants independent of m and ε.

Proof. If $\alpha \neq 0$, for each $m \in \mathbb{Z}^*$, let P_m and $M_{|m|}$ be the functions from Propositions 3.2 and 3.9, respectively. We define the function

$$\Psi_m(z) = P_m(z)\left(\frac{M_{|m|}(z)}{M_{|m|}(i\overline{\lambda}_m)}\right)^{\omega}\frac{\sin(\delta(z-i\overline{\lambda}_m))}{\delta(z-i\overline{\lambda}_m)}, \tag{3.28}$$

where $\delta > 0$ is an arbitrary constant and ω is the constant from Proposition 3.6. Let

$$\theta_m(t) = \frac{1}{2\pi}\int_{\mathbb{R}}\Psi_m(x)\mathrm{e}^{ixt}\mathrm{d}x. \tag{3.29}$$

From Propositions 3.2 and 3.9 we deduce that there exists $\widetilde{T} = 2(L_1 + \omega L_2 + \delta)$, independent of ε, such that Ψ_m is an entire function of exponential type $\frac{\widetilde{T}}{2}$. Moreover, from the estimate of the function P_m on the real axis

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given by Proposition 3.6 and the properties of the function $M_{|m|}$ from Proposition 3.9, we obtain that

$$\int_{\mathbb{R}} |\Psi_m(x)|^2 \mathrm{d}x \leq C\mathrm{e}^{2\omega(1+D)} \exp\left(2\omega(1+2\mathrm{e}^2+D)|\Re(\lambda_m)|\right) \int_{\mathbb{R}} \left|\frac{\sin(\delta(x-i\lambda_m))}{\delta(x-i\lambda_m)}\right|^2 \mathrm{d}x$$

$$\leq \frac{C}{\delta} \exp\left(2(\omega+2\omega\mathrm{e}^2+\omega D+\delta)|\Re(\lambda_m)|\right) \int_{\mathbb{R}} \left|\frac{\sin t}{t}\right|^2 \mathrm{d}t \leq C\exp\left(2\beta|\Re(\lambda_m)|\right),$$

where β is any number greater that $\omega+2\omega\mathrm{e}^2+\omega D+\delta$.

Now, by taking into account the properties of Ψ_m and by applying the Paley–Wiener Theorem, [35], Theorem 18, Section 2.4, we deduce that $\theta_m \in L^2(-\frac{\tilde{T}}{2}, \frac{\tilde{T}}{2})$. Moreover, from the inverse Fourier transform property we obtained that $(\theta_m)_{m\in\mathbb{Z}^*}$ is a biorthogonal sequence to $\left(\mathrm{e}^{\lambda_n t}\right)_{n\in\mathbb{Z}^*}$ in $L^2(-\frac{\tilde{T}}{2}, \frac{\tilde{T}}{2})$. Finally, from Plancherel's Theorem we deduce that (3.27) holds.

If $\alpha = 0$, we take

$$\Psi_m(z) = P_m(z)\frac{\sin(\delta(z-i\overline{\lambda}_m))}{\delta(z-i\overline{\lambda}_m)}, \tag{3.30}$$

where $\delta > 0$ is an arbitrary constant. The same argument as before allows us to end the proof of the theorem. □

The following result gives the existence of a new biorthogonal sequence with better norm properties than the one from Theorem 3.10.

Theorem 3.11. *Let $\varepsilon \in (0,1)$. There exist $T_0 > 0$ independent of ε and a biorthogonal sequence $(\zeta_m)_{m\in\mathbb{Z}^*}$ to the family $\left(\mathrm{e}^{\lambda_n t}\right)_{n\in\mathbb{Z}^*}$ in $L^2\left(-\frac{T_0}{2}, \frac{T_0}{2}\right)$, such that, for any finite sequence $(c_m)_{m\in\mathbb{Z}^*}$, we have*

$$\int_{-\frac{T_0}{2}}^{\frac{T_0}{2}} \left|\sum_{m\in\mathbb{Z}^*} c_m\zeta_m(t)\right|^2 \mathrm{d}t \leq C(T_0) \sum_{m\in\mathbb{Z}^*} |c_m|^2 \mathrm{e}^{2\beta|\Re(\lambda_m)|}, \tag{3.31}$$

where β is the same as in Theorem 3.10 and $C(T_0)$ is a constant depending only of T_0.

Proof. Since it is similar to that of Theorem 3.4 from [27], we only give the main ideas. Let $(\theta_m)_{m\in\mathbb{Z}^*} \subset L^2\left(-\frac{\tilde{T}}{2}, \frac{\tilde{T}}{2}\right)$ be the biorthogonal sequence from Theorem 3.10. For any $a > 0$ define $k_a = \frac{\sqrt{2\pi}}{a^2}(\chi_a * \chi_a)$, where χ_a represents the characteristic function $\chi_{[-a/2,a/2]}$. Evidently, $\mathrm{supp}(k_a) \subset [-a, a]$. We introduce the function $\rho_m(x) = \mathrm{e}^{ix\Im(\lambda_m)}k_a(x)$ and we define

$$\zeta_m = \frac{1}{\sqrt{2\pi}\widehat{\rho}_m(i\overline{\lambda}_m)}\theta_m * \rho_m \qquad (m \in \mathbb{Z}^*), \tag{3.32}$$

where $\widehat{\rho}_m$ is the Fourier transform of ρ_m. Evidently, $\zeta_m \in L^2\left(-\frac{\tilde{T}}{2} - a, \frac{\tilde{T}}{2} + a\right)$. Let $T_0 = \widetilde{T}+2a$. From the convolution's properties, it follows that $(\zeta_m)_{m\in\mathbb{Z}^*}$ is a biorthogonal sequence to the family $\left(\mathrm{e}^{\lambda_n t}\right)_{n\in\mathbb{Z}^*}$ in $L^2\left(-\frac{T_0}{2}, \frac{T_0}{2}\right)$ and (3.31) is proved. □

4. Controllability results

Now we are able to prove the main result of this paper.

Proof of Theorem 1.1. Let $T > \max\{2\beta, T_0\}$ and $(\zeta_m)_{m\in\mathbb{Z}^*}$ as in Theorem 3.11. We construct a control $v_\varepsilon \in L^2(0,T)$ of (1.13) corresponding to the initial data $(u^0, u^1) \in \mathcal{H}_0$ as follows

$$v_\varepsilon(t) = -\sum_{m\in\mathbb{Z}^*} \frac{\mathrm{e}^{-\overline{\lambda}_m\frac{T}{2}}}{\widehat{f}_{|m|}}\left(\widehat{u}^1_{|m|} + \lambda_m\widehat{u}^0_{|m|}\right)\widetilde{\zeta}_m\left(t - \frac{T}{2}\right) \qquad (t \in (0,T)), \tag{4.1}$$

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where $\widetilde{\zeta_m}$ is the extension by zero of ζ_m to the interval $\left(-\frac{T}{2},\frac{T}{2}\right)$. From the properties of the biorthogonal sequence $(\zeta_m)_{m\in\mathbb{Z}^*}$, it is easy to see that v_ε verifies (2.4). To conclude that v_ε is a control for (1.13), we only have to prove that the right hand side of (4.1) converges in $L^2(0,T)$. This follows immediately from Theorem 3.11 and the fact that $(u^0,u^1)\in\mathcal{H}_0$. Indeed, we have that

$$\int_0^T |v_\varepsilon(t)|^2\mathrm{d}t = \int_0^T \left| -\sum_{m\in\mathbb{Z}^*} \frac{\mathrm{e}^{-\overline{\lambda}_m\frac{T}{2}}}{\widehat{f}_{|m|}} \left(\widehat{u}^1_{|m|}+\lambda_m\widehat{u}^0_{|m|}\right)\widetilde{\zeta}_m\left(t-\frac{T}{2}\right)\right|^2 \mathrm{d}t$$
$$= \int_{-\frac{\tilde{T}}{2}-a}^{\frac{\tilde{T}}{2}+a} \left| -\sum_{m\in\mathbb{Z}^*} \frac{\mathrm{e}^{-\overline{\lambda}_m\frac{T}{2}}}{\widehat{f}_{|m|}} \left(\widehat{u}^1_{|m|}+\lambda_m\widehat{u}^0_{|m|}\right)\zeta_m(t)\right|^2 \mathrm{d}t \le C(T_0)\|(u^0,u^1)\|^2_{\mathcal{H}_0}.$$

The last inequality results from (3.31) with the constant $C(T_0)$ independent of ε and m. Thus, the family of controls $(v_\varepsilon)_{\varepsilon\in(0,1)}$ is uniformly bounded in $L^2(0,T)$. In order to show that any weak limit of the family $(v_\varepsilon)_{\varepsilon\in(0,1)}$ is a control for (1.1) we only have to pass to the limit as ε goes to zero in (2.4). □

Remark 4.1. Theorem 1.1 ensures the existence of a time $T>0$, sufficiently large but independent of ε, for which the uniform controllability of (1.13) holds. From Propositions 3.2, 3.6 and 3.9 we can give an explicit expression of T. It is well known that the wave equation (1.1), corresponding to the limit case $\varepsilon=0$, is controllable in any time $T\ge 2\pi$. Probably, the uniform controllability of (1.13) holds in the same time. However, to obtain the optimal time we should be able to obtain a multiplier function M_m in Proposition 3.9 with arbitrarily small exponential type L_2 and constant D. This seems to be a difficult problem (see [8,14,27] for similar uniform controllability results proved in a time larger than the optimal one).

A. Appendix

The aim of this section is to give the proof of Lemma 3.1 from Subsection 3.1. Through this section C denotes an absolute positive constant.

Proof of Lemma 3.1. From the symmetry of the sequence $(\lambda_n)_{n\in\mathbb{Z}^*}$, it is sufficient to consider only the case $m\in\mathbb{N}^*$. We have that

$$\prod_{\substack{n\in\mathbb{Z}^*\\ n\neq m}} \left|\frac{\lambda_n}{\lambda_n-\lambda_m}\right|^2 = \frac{m^2+\varepsilon^2m^{4\alpha}}{4m^2} \prod_{\substack{n=1\\ n\neq m}}^{\infty} \frac{(n^2+\varepsilon^2n^{4\alpha})^2}{\left[|n-m|^2+\varepsilon^2|n^{2\alpha}-m^{2\alpha}|^2\right]\left[(n+m)^2+\varepsilon^2|n^{2\alpha}-m^{2\alpha}|^2\right]}$$

$$= \frac{m^2+\varepsilon^2m^{4\alpha}}{4m^2} \underbrace{\prod_{\substack{n=1\\ n\neq m}}^{\infty} \frac{|\lambda_n|^4}{|\lambda_{|n-m|}|^2|\lambda_{m+n}|^2}}_{Q^1_m} \underbrace{\prod_{\substack{n=1\\ n\neq m}}^{\infty} \frac{\left[|n-m|^2+\varepsilon^2|n-m|^{4\alpha}\right]\left[(n+m)^2+\varepsilon^2(n+m)^{4\alpha}\right]}{\left[|n-m|^2+\varepsilon^2|n^{2\alpha}-m^{2\alpha}|^2\right]\left[(n+m)^2+\varepsilon^2|n^{2\alpha}-m^{2\alpha}|^2\right]}}_{Q^2_m}.$$

Since,

$$Q^1_m = \prod_{\substack{n=1\\ n\neq m}}^{\infty} \frac{|\lambda_n|^4}{|\lambda_{|n-m|}|^2|\lambda_{m+n}|^2} \le \frac{\prod_{\substack{n=1\\ n\neq m}}^{\infty}|\lambda_n|^4}{\prod_{n=1}^{m-1}|\lambda_n|^2\prod_{n=1}^{\infty}|\lambda_n|^2\prod_{\substack{n=m+1\\ n\neq 2m}}^{\infty}|\lambda_n|^2} \le \frac{|\lambda_{2m}|^2}{|\lambda_m|^2},$$

it follows that

$$Q^1_m \le 16 \qquad (m\in\mathbb{N}^*). \tag{A.1}$$

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For the product Q_m^2 we have that

$$Q_m^2 = \prod_{\substack{n=1\\ n\neq m}}^{\infty} \left(1 + \frac{|n-m|^2(n+m)^2 \left[\varepsilon^2 m^{4\alpha-2} f(\frac{n}{m}) + \varepsilon^4 m^{8\alpha-4} g(\frac{n}{m})\right]}{|n-m|^2(n+m)^2 \left(1+\varepsilon^2 \frac{|n^{2\alpha}-m^{2\alpha}|^2}{|n-m|^2}\right)\left(1+\varepsilon^2 \frac{|n^{2\alpha}-m^{2\alpha}|^2}{(n+m)^2}\right)} \right)$$

$$= \exp\left(\sum_{\substack{n=1\\ n\neq m}}^{\infty} \ln\left(1 + \frac{\varepsilon^2 m^{4\alpha-2} f(\frac{n}{m}) + \varepsilon^4 m^{8\alpha-4} g(\frac{n}{m})}{\left(1+\varepsilon^2 \frac{|n^{2\alpha}-m^{2\alpha}|^2}{|n-m|^2}\right)\left(1+\varepsilon^2 \frac{|n^{2\alpha}-m^{2\alpha}|^2}{(n+m)^2}\right)} \right)\right)$$

$$\leq \exp\left(\sum_{\substack{n=1\\ n\neq m}}^{\infty} \frac{\varepsilon^2 m^{4\alpha-2} f(\frac{n}{m}) + \varepsilon^4 m^{8\alpha-4} g(\frac{n}{m})}{\left(1+\varepsilon^2 \frac{|n^{2\alpha}-m^{2\alpha}|^2}{|n-m|^2}\right)\left(1+\varepsilon^2 \frac{|n^{2\alpha}-m^{2\alpha}|^2}{(n+m)^2}\right)} \right),$$

where $f, g : (0,\infty) \to \mathbb{R}$ are the functions defined by

$$f(t) = |t-1|^{4\alpha-2} + (t+1)^{4\alpha-2} - 2(t^2+1)\frac{(t^{2\alpha}-1)^2}{(t^2-1)^2},$$

$$g(t) = |t^2-1|^{4\alpha-2} - \frac{(t^{2\alpha}-1)^4}{(t^2-1)^2}.$$

Let us remark that, for any $\alpha \in [0,1) \setminus \{\frac{1}{2}\}$, the function g can be bounded as follows

$$g(t) \leq C \begin{cases} t^{2\alpha} & t < \frac{1}{2} \\ |t^2-1|^{4\alpha-2} & t \in [\frac{1}{2}, 2] \\ t^{6\alpha-4} & t > 2. \end{cases} \tag{A.2}$$

We prove the following inequality

$$S_g := \sum_{\substack{n=1\\ n\neq m}}^{\infty} \frac{\varepsilon^4 m^{8\alpha-4} g(\frac{n}{m})}{\left(1+\varepsilon^2 \frac{|n^{2\alpha}-m^{2\alpha}|^2}{|n-m|^2}\right)\left(1+\varepsilon^2 \frac{|n^{2\alpha}-m^{2\alpha}|^2}{(n+m)^2}\right)} \leq C|\Re(\lambda_m)| \qquad (m \in \mathbb{N}^*). \tag{A.3}$$

For that we write S_g as follows

$$S_g = \left(\sum_{n=1}^{[\frac{m}{2}]} + \sum_{\substack{n=[\frac{m}{2}]+1\\ n\neq m}}^{2m-1} + \sum_{n=2m}^{\infty} \right) \frac{\varepsilon^4 m^{8\alpha-4} g(\frac{n}{m})}{\left(1+\varepsilon^2 \frac{|n^{2\alpha}-m^{2\alpha}|^2}{|n-m|^2}\right)\left(1+\varepsilon^2 \frac{|n^{2\alpha}-m^{2\alpha}|^2}{(n+m)^2}\right)} = S_g^1 + S_g^2 + S_g^3.$$

In order to evaluate S_g^i we use the following inequalities

$$\frac{|n^{2\alpha}-m^{2\alpha}|^2}{|n-m|^2} \geq |n-m|^{4\alpha-2}, \quad \frac{|n^{2\alpha}-m^{2\alpha}|^2}{|n-m|^2} \geq (n+m)^{4\alpha-2}, \tag{A.4}$$

which hold for every $\alpha \in (\frac{1}{2}, 1)$ and $n \in \mathbb{N}^*$, $n \neq m$.

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For any $\alpha \in [0,1) \setminus \{\frac{1}{2}\}$ we evaluate the sum S_g^1 by using (A.2) and by taking into account that $m+n \leq 2m$ and $m-n \geq \frac{1}{2}m$ for every $n \in [1, \frac{m}{2}]$. We deduce that

$$S_g^1 \leq C \sum_{n=1}^{\left[\frac{m}{2}\right]} \frac{\varepsilon^4 m^{6\alpha-4} n^{2\alpha}}{\left(1+\varepsilon^2 m^{4\alpha-2}\right)^2} \leq \frac{C\varepsilon^4 m^{6\alpha-4}}{(1+\varepsilon^2 m^{4\alpha-2})^2} \int_1^{\left[\frac{m}{2}\right]+1} t^{2\alpha} \mathrm{d}t \leq \frac{C\varepsilon^4 m^{8\alpha-3}}{(1+\varepsilon^2 m^{4\alpha-2})^2} \leq C|\Re(\lambda_m)|.$$

Similarly, for any $\alpha \in [0,1) \setminus \{\frac{1}{2}\}$ we evaluate the sum S_g^3 as follows

$$S_g^3 \leq \sum_{n=2m}^{\infty} \frac{C\varepsilon^4 m^{2\alpha} n^{6\alpha-4}}{\left(1+\varepsilon^2 \frac{|n^{2\alpha}-m^{2\alpha}|^2}{|n-m|^2}\right)\left(1+\varepsilon^2 \frac{|n^{2\alpha}-m^{2\alpha}|^2}{(n+m)^2}\right)} \leq \sum_{n=2m}^{\infty} \frac{C\varepsilon^4 m^{2\alpha} n^{6\alpha-4}}{(1+\varepsilon^2 n^{4\alpha-2})^2} \leq C|\Re(\lambda_m)|.$$

For $\alpha \in \left[0, \frac{1}{2}\right)$ we analyze S_g^2 as follows

$$S_g^2 \leq \sum_{n=\left[\frac{m}{2}\right]+1}^{2m-1} \frac{C\varepsilon^4 |n^2-m^2|^{4\alpha-2}}{\left(1+\varepsilon^2 \frac{|n^{2\alpha}-m^{2\alpha}|^2}{|n-m|^2}\right)\left(1+\varepsilon^2 \frac{|n^{2\alpha}-m^{2\alpha}|^2}{(n+m)^2}\right)} \leq \sum_{n=\left[\frac{m}{2}\right]+1}^{2m-1} C\varepsilon^4 m^{4\alpha-2} |n-m|^{4\alpha-2} \leq C|\Re(\lambda_m)|.$$

And, for $\alpha \in \left(\frac{1}{2}, 1\right)$, we have to treat separately the cases $m \leq \gamma_\varepsilon$ and $m > \gamma_\varepsilon$. For $m \leq \gamma_\varepsilon$, we notice that the function g is continuous on a compact set, so there exists a positive constant C independent of ε and m such that $g(t) \leq C$. By using again (A.4) it follows that

$$S_g^2 \leq \sum_{n=\left[\frac{m}{2}\right]+1}^{2m-1} \frac{C\varepsilon^4 m^{8\alpha-4}}{(1+\varepsilon^2 |n-m|^{4\alpha-2})\left(1+\varepsilon^2 \frac{|n-m|^{4\alpha}}{m^2}\right)} \leq \sum_{k=1}^{m} \frac{C\varepsilon^4 m^{8\alpha-2}}{(1+\varepsilon^2 k^{4\alpha-2})\left(m^2+\varepsilon^2 k^{4\alpha}\right)} \leq C|\Re(\lambda_m)|.$$

For the case $m > \gamma_\varepsilon$ it follows that

$$\begin{aligned} S_g^2 &\leq \sum_{n=\left[\frac{m}{2}\right]+1}^{2m-1} \frac{C\varepsilon^4 m^{4\alpha-2} |n-m|^{4\alpha-2}}{\varepsilon^2 m^{4\alpha-2}\left(1+\varepsilon^2 \frac{(m+n)^{4\alpha-2}|n-m|^2}{m^2}\right)} \leq \sum_{k=1}^{m} \frac{C\varepsilon^2 m^2 k^{4\alpha-2}}{m^2+\varepsilon^2 m^{4\alpha-2} k^2} \\ &\leq C\varepsilon^2 \sum_{k=1}^{\left[\frac{m^{2-2\alpha}}{\varepsilon}\right]} k^{4\alpha-2} + Cm^{4-4\alpha} \sum_{k=\left[\frac{m^{2-2\alpha}}{\varepsilon}\right]+1}^{m} k^{4\alpha-4} \leq C|\Re(\lambda_m)|, \end{aligned}$$

which concludes the proof of (A.3).

Let us remark that, for any $\alpha \in [0,1) \setminus \{\frac{1}{2}\}$, the function f can be bounded in the following way

$$f(t) \leq C \begin{cases} t^{2\alpha} & t < \frac{1}{2} \\ |t-1|^{4\alpha-2} & t \in \left[\frac{1}{2}, 2\right] \\ t^{2\alpha-2} & t > 2. \end{cases} \tag{A.5}$$

We prove the following inequality

$$S_f := \sum_{\substack{n=1 \\ n \neq m}}^{\infty} \frac{\varepsilon^2 m^{4\alpha-2} f\left(\frac{n}{m}\right)}{\left(1+\varepsilon^2 \frac{|n^{2\alpha}-m^{2\alpha}|^2}{|n-m|^2}\right)\left(1+\varepsilon^2 \frac{|n^{2\alpha}-m^{2\alpha}|^2}{(n+m)^2}\right)} \leq C|\Re(\lambda_m)| \qquad (m \in \mathbb{N}^*). \tag{A.6}$$

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Indeed, we have that

$$S_f=\left(\sum_{n=1}^{\left[\frac{m}{2}\right]}+\sum_{\substack{n=\left[\frac{m}{2}\right]+1\\ n\neq m}}^{2m-1}+\sum_{n=2m}^{\infty}\right)\frac{C\varepsilon^2m^{4\alpha-2}f(\frac{n}{m})}{\left(1+\varepsilon^2\frac{|n^{2\alpha}-m^{2\alpha}|^2}{|n-m|^2}\right)\left(1+\varepsilon^2\frac{|n^{2\alpha}-m^{2\alpha}|^2}{(n+m)^2}\right)}=S_f^1+S_f^2+S_f^3.$$

For any $\alpha\in[0,1)\setminus\left\{\frac{1}{2}\right\}$ we evaluate the sum S_f^1 by taking into account (A.5) and the fact that $m+n\leq 2m$ and $m-n\geq\frac{1}{2}m$ for every $n\in\left[1,\frac{m}{2}\right]\cdot$ We deduce that

$$S_f^1=\sum_{n=1}^{\left[\frac{m}{2}\right]}\frac{C\varepsilon^2m^{2\alpha-2}n^{2\alpha}}{\left(1+\varepsilon^2\frac{(m^{2\alpha}-n^{2\alpha})^2}{(m-n)^2}\right)\left(1+\varepsilon^2\frac{(m^{2\alpha}-n^{2\alpha})^2}{(n+m)^2}\right)}\leq\frac{C\varepsilon^2m^{2\alpha-2}}{(1+\varepsilon^2m^{4\alpha-2})^2}\sum_{n=1}^{\left[\frac{m}{2}\right]}n^{2\alpha}\leq C|\Re(\lambda_m)|.$$

Similarly, for any $\alpha\in[0,1)\setminus\left\{\frac{1}{2}\right\}$, we deduce that S_f^3 is bounded by $C|\Re(\lambda_m)|$. Indeed,

$$S_f^3=\sum_{n=2m}^{\infty}\frac{\varepsilon^2m^{2\alpha}n^{2\alpha-2}}{\left(1+\varepsilon^2\frac{|n^{2\alpha}-m^{2\alpha}|^2}{|n-m|^2}\right)\left(1+\varepsilon^2\frac{|n^{2\alpha}-m^{2\alpha}|^2}{(n+m)^2}\right)}\leq\sum_{n=2m}^{\infty}\frac{\varepsilon^2m^{2\alpha}n^{2\alpha-2}}{(1+\varepsilon^2n^{4\alpha-2})^2}\leq C|\Re(\lambda_m)|.$$

For $\alpha\in\left[0,\frac{1}{2}\right)$ we evaluate S_f^2, as follows

$$S_f^2=\sum_{n=\left[\frac{m}{2}\right]+1}^{2m-1}\frac{C\varepsilon^2|n-m|^{4\alpha-2}}{\left(1+\varepsilon^2\frac{|n^{2\alpha}-m^{2\alpha}|^2}{|n-m|^2}\right)\left(1+\varepsilon^2\frac{|n^{2\alpha}-m^{2\alpha}|^2}{(n+m)^2}\right)}\leq\sum_{n=\left[\frac{m}{2}\right]+1}^{2m-1}\frac{C\varepsilon^2|n-m|^{4\alpha-2}}{1+\varepsilon^2\frac{|n^{2\alpha}-m^{2\alpha}|^2}{|n-m|^2}}$$
$$\leq\sum_{n=\left[\frac{m}{2}\right]+1}^{2m-1}\frac{C\varepsilon^2|n-m|^{4\alpha}}{|n-m|^2+\varepsilon^2m^{4\alpha}}=\sum_{k=1}^{m}\frac{C\varepsilon^2k^{4\alpha}}{k^2+\varepsilon^2m^{4\alpha}}\leq\sum_{k=1}^{m}\frac{C\varepsilon^2m^{4\alpha}}{k^2+\varepsilon^2m^{4\alpha}}\leq C|\Re(\lambda_m)|.$$

If $\alpha\in\left(\frac{1}{2},1\right)$ and $m\leq\gamma_\varepsilon$, we have that

$$S_f^2\leq\sum_{n=\left[\frac{m}{2}\right]+1}^{2m-1}\frac{C\varepsilon^2|n-m|^{4\alpha-2}m^2}{(1+\varepsilon^2m^{4\alpha-2})\,(m^2+\varepsilon^2|n-m|^2m^{4\alpha-2})}\leq C\varepsilon^2m^2\sum_{k=1}^{m}k^{4\alpha-2}\leq C|\Re(\lambda_m)|,$$

and for $\alpha\in\left(\frac{1}{2},1\right)$ and $m>\gamma_\varepsilon$ the following estimates takes place

$$S_f^2\leq\sum_{n=\left[\frac{m}{2}\right]+1}^{2m-1}\frac{C\varepsilon^2|n-m|^{4\alpha-2}m^2}{(1+\varepsilon^2m^{4\alpha-2})\,(m^2+\varepsilon^2|n-m|^2m^{4\alpha-2})}\leq\frac{C\varepsilon^2m^2}{1+\varepsilon^2m^{4\alpha-2}}\sum_{k=1}^{m}\frac{k^{4\alpha-2}}{m^2+\varepsilon^2k^2m^{4\alpha-2}}$$
$$\leq Cm^{4-4\alpha}\left(\sum_{k=1}^{\left[\frac{m^{2-2\alpha}}{\varepsilon}\right]}\frac{k^{4\alpha-2}}{m^2}+\sum_{k=\left[\frac{m^{2-2\alpha}}{\varepsilon}\right]+1}^{m}\frac{k^{4\alpha-4}}{\varepsilon^2m^{4\alpha-2}}\right)\leq C|\Re(\lambda_m)|.$$

Now from (A.1), (A.3) and (A.6) it results (3.2) and the proof of Lemma 3.1 ends. □

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