Index

Accountability, 46, 67, 97, 163, 174
  and democratization, 55, 67
  and foreign aid, 10–12, 18–19, 46, 49, 52, 74, 93–95, 97, 120, 125, 155, 157–158, 162
  and taxation, 48, 120
  theories of, 11, 21, 53, 94, 95, 121, 156
African Development Bank, 76, 81, 175
Afrobarometer, 25, 160
Ahlbäck, Johan, 24, 96, 122
Aid curse, 18, 46–47, 56, 67, 127
  and democracy, 48, 67, 95
  criticisms of theory, 18, 47, 98
  and taxation, 18
  theory, 46, 98, 120
Aid delivery. *See* Foreign aid
Aid dependency, 15, 16, 20, 30. *See also* aid-dependent democracies
  changes over time, 28
  defined, 79–80, 88
Aid effectiveness. *See* Foreign aid
Aid officials. *See* Donors
AidData, 15, 76, 81–83, 96, 166, 176
Aid organizations. *See* Donors
Aid-dependent democracies, 2, 4, 6, 49, 50, 123, 152, 155–158
  characteristics, 1–2, 15, 23, 57, 77, 102
  compared, 14–17, 27–28, 158–160
  defined, 11, 23
  governance in, 2–6, 156
Attribution, 55, 162
  of credit, 8–9, 19, 31, 50, 78, 97, 99, 100, 110, 114–116, 118, 121
  misattribution, 31, 50, 54, 96, 98, 114–115. *See also* uncertainty effect
  voter beliefs about, 31, 50, 52, 77, 95. *See also* Uncertainty effect, beliefs
Authoritarian governments, 17, 46, 48, 87, 88, 98

Baldwin, Kate, 3, 18, 30, 51, 57, 64, 99
Banda, Hastings, 15, 87, 123
Banda, Joyce, 124
Banerjee, Abhijit, 25
Bangladesh, 128
  aid dependency, 3
  NGOs in, 3
Bargaining, 24, 47, 53, 156
  between donors and politicians, 2–6, 24, 47, 74, 80, 87, 100, 155, 157, 158
  game theory models, 53
  multilateral, 5, 24, 35–39
  over aid allocation, 6, 35–39, 58
  power, 63, 158
Beliefs. *See also* biases; knowledge
  about foreign aid, 31–32, 49–50, 55, 78
  about government spending, 31, 47, 77, 78, 97, 181
  biases in, 9, 55, 64, 67, 78, 98, 113
  effects on policymaking, 78
  effects on voting, 18, 50, 53, 57, 62, 66, 77, 97, 100, 102, 115, 119
  of politicians, 50, 78, 110
  of voters, 8–9, 12, 19, 21, 31–32, 54, 66, 77, 78, 95, 99, 100, 103, 116, 118, 119, 121, 125, 156–159

https://doi.org/10.1017/9781009789127.013 Published online by Cambridge University Press

Bermeo, Sarah, 17, 47, 52, 74, 95, 98, 132, 163
Biases
  in aid spending, 39, 64, 73–76, 78–81, 85, 86, 88, 90, 92, 93
  in politician knowledge, 20, 31, 130, 139
  in voter beliefs, 9, 31–32, 55, 64, 75, 98, 100, 101, 113, 157
Blessing
  of foreign aid. *See* foreign aid
Brass, Jennifer, 3, 5, 13, 18, 39, 73
Bräutigam, Deborah, 3, 18, 48, 49, 52, 95
Briggs, Ryan, 3, 18, 34, 39, 52, 73, 79, 88, 89, 98
Budget support, 29, 47, 52, 82, 123, 124, 155, 159, 162, 163
  decline in, 5, 29, 47, 82, 88
  defined, 29
  in Malawi, 17, 29, 89, 112
  and politician influence, 58, 74, 82
Budgeting
  constraints, 2–4, 10, 16, 25–28, 52, 89, 157, 158
  and donor influence, 4, 6, 35, 157
  government budgets, 26, 102
  process of, 5, 26
Bueno de Mesquita, Bruce, 17, 18, 20, 51, 95, 98, 127
Bureaucrats, 3, 157, 173
  and aid delivery, 5, 34, 37, 65, 75, 80, 89
  interviews with. *See* interviews
  knowledge of donor activities. *See* knowledge
Bypass aid, 32–35, 67, 68, 159
  defined, 5, 32, 87
  effects on accountability, 29, 68, 93
  trends in, 17, 29

Choiceless democracy, 18, 155. *See also* democracy
Constituency Development Funds (CDF). *See* Malawi
Corruption, 46, 125, 126, 132, 148
  and accountability, 10, 32, 49, 89
  and aid, 18, 32, 46, 74, 82, 89, 161
  donor concerns, 17, 32, 68, 82, 89, 158
Credit claiming, 7, 9, 19, 24, 30–32, 55, 56, 64, 66, 95, 129, 156–158, 162. *See also* Attribution
  experimental evidence, 78, 103, 110, 121
  and information, 21, 64, 78, 101
  and voting behavior, 18, 65, 78, 93, 98, 117
Cruz, Cesi, 7, 10, 18, 19, 31, 51, 52, 64, 98, 159

Democracy, 46–48
  in aid-dependent countries, 2, 6, 46, 77, 155–158
  effects of foreign aid on, 10–11, 17–19, 21, 30–32, 47, 55, 93, 127, 129–130, 150, 155, 156, 163
  legitimacy of, 30, 52
  versus autocracy, 47, 98
Democratic Republic of the Congo (DRC), 16
Democratization, 18, 48, 56, 123
Department for International Development (DFID), 12, 162, 187
Deutche Gesellschaft fur Internationale Zusammenarbeit (GIZ), 187
Dietrich, Simone, 5, 29, 51, 159, 162
Dionne, Kim, 90
Discretionary spending, 52
  limited in aid-dependent countries, 16
  versus recurrent spending, 2, 28, 52
Distributive politics, 69, 130, 145
  electoral motivations, 21, 38, 64, 69, 99
  and foreign aid, 38, 64, 69, 73–78, 92
District Commissioners (DCs). *See* Malawi
Donor coordination. *See* donors
Donor fragmentation. *See* donors
Donor interviews with. *See* interviews
Donors, 2, 23, 47, 52, 122, 169, 173, 174, 187. *See also* foreign aid
  bargaining with politicians. *See* bargaining
  bilateral, 12, 35, 36, 57, 74, 76, 93, 166
  branding, 102
  budget support. *See* budget support
  coordination with, 8, 20, 33, 93, 129, 152, 162
  defined, 11
  delegation, 13, 36–39, 63, 75
  dependence on. *See* aid dependency
  effect on democracy. *See* democracy
  fragmentation, 20, 33, 68
  incentives of, 32, 161–162
  influence on policy, 2–4, 36, 73, 78, 87, 159

https://doi.org/10.1017/9781009789127.013 Published online by Cambridge University Press

interactions with politicians, 1–2, 32–36, 39–44, 56, 73, 80, 156, 165, 166
multilateral, 5, 12, 35, 36, 57, 76, 86, 87, 93, 166
oversight, 7, 16, 67, 74, 75, 82, 88, 99, 122–125, 132, 150–153, 155, 159, 173, 183, 188
preferences, 6, 32, 47, 65, 66, 75, 88, 89, 93, 130
relationships with politicians, 23–44
Dreher, Axel, 39, 73, 74, 78
Dunning, Thad, 19, 26, 98

Easterly, William, 127, 129, 162
Elections, 6, 7, 9, 14, 38, 46–69, 73, 76, 83, 177–179. *See also* accountability, Voters
and foreign aid, 4–6, 9, 17, 43, 46–67, 69, 73–93, 95–120, 156, 158, 163, 165, 176
in Kenya, 76, 83–84, 87, 90
in Malawi, 83–84
in Sierra Leone, 83–84
theory of, 43, 98–100, 156
Electoral politics. *See* elections
Elite capture. *See* corruption
Ethiopia
aid dependency, 16, 28, 39
aid misuse, 39
European Union (EU) aid, 73, 124, 187
Experiments, 14, 133–134
field experiments, 13, 53, 99, 122
information experiments, 9, 49, 101, 142–143
with politicians, 8, 20, 22, 50, 76, 165, 166, 183
SMS experiment, 14, 97, 104, 108, 116, 121, 180–181
with voters, 9, 95–98

Findley, Michael G., 29, 50, 74, 128, 175
Fiscal policy
constraints, 2, 3, 23, 25–28, 52, 79
dilemmas of, 1, 25–28, 158
Focus groups. *See also* interviews
details, 14, 32, 173
findings, 7, 32, 80
Food aid. *See* Foreign aid
Foreign aid, 2–5, 14, 35, 46–69, 168
allocation, 21, 27, 54, 73, 76, 85, 96, 103–105, 155, 174
blessing, 19, 52, 56, 67, 157
budget support. *See* budget support
bypass aid. *See* Bypass aid
channels of delivery, 5, 37, 58, 67, 93, 99, 158, 162–163
composition, 27
criticisms of, 18–19, 33–34, 46–49, 51–53, 67–68, 93
curse. *See* aid curse
data, 75, 79, 81–83, 175–177, 187–188
defined, 27
displacement of, 125–126, 131, 147
distribution of, 3, 38, 64, 73–93, 101, 120, 175
effect on democracy. *See* democracy
effectiveness, 20, 32–34, 129, 130
effects of foreign aid on, 155
and elections. *See* Elections
flypaper effects, 126, 131, 134
food aid, 39
fragmentation, 158
government ownership of, 20, 38, 158–159, 161–162
humanitarian aid, 39
information, 122
modalities, 63, 159
and politician behavior, 100, 122, 158
programmatic aid, 58
statistics, 16, 81–83
transparency. *See* transparency
and voter behavior, 62–66, 95–120, 156, 157, 165, 180
Fungibility, 17, 68, 125–126, 132, 153. *See also* aid curse
of foreign aid, 68, 98

Game theory, 18, 57–62, 90, 130
German Development Cooperation (KFW), 187
Ghana, 28

Human Rights Watch, 39

International development. *See* foreign aid
Interviews, 13, 170. *See also* focus groups
with aid officials, 170
with bureaucrats, 14, 25, 38, 170, 173
with donors, 14, 24, 74, 151, 170
with politicians, 1, 6, 7, 14, 24, 30, 80, 124, 126, 129, 149, 170, 173

https://doi.org/10.1017/9781009789127.013 Published online by Cambridge University Press

Japan International Cooperation Agency (JICA), 3, 30, 99, 187

Kenya, 3, 13, 39, 46, 69, 128, 160, 175
  2002 election, 21, 76, 87–92
  Agriculture Productivity Project (KAPP), 35
  aid dependency, 28, 79–80, 88
  aid distribution, 76, 79
  Constituency AIDS Control Committees (CACCs), 89
  Daniel arap Moi. *See* Moi, Daniel arap
  electoral politics, 21, 79, 85–87, 177–178
  HIV/AIDS Disaster Response Project, 89
  Kenya African National Union (KANU), 21, 35, 87, 90–92, 177
  Kibaki, Mwai. *See* Kibaki, Mwai
  National AIDS Control Council (NACC), 89
  National Rainbow Coalition (NARC), 21, 88, 91, 177
  NGOs, 3
  Odinga, Raila. *See* Raila Odinga
  voting data, 177
  World Bank and, 76, 81, 89
Kibaki, Mwai, 21, 87, 89, 177
Knack, Stephen, 20, 95
Knowledge, 50, 63–65. *See also* beliefs, biases
  about foreign aid, 31, 50, 57, 67, 96, 112
  about government spending, 31, 50, 94
  of bureaucrats, 34
  effects on policymaking, 8, 42, 65
  effects on voting, 63, 97, 104, 108, 116, 117
  of politicians, 8, 12, 14, 20, 22, 31, 75, 77, 122–123, 127–128, 132, 136–139, 152, 162, 166, 188
  of voters, 12, 21, 31–32, 53, 54, 57, 94, 96, 101, 112–115, 119, 155–159, 162

Learning. *See* Beliefs; Knowledge
Legislatures. *See* Parliaments
Levi, Margaret, 48
Lobbying, 8, 53, 156
  effects on elections, 30, 58, 73, 97, 101
  formal model, 21, 57–66, 68
  by politicians for aid, 3, 12, 30, 53, 62, 64, 73, 77, 78, 80, 84, 97, 99, 100, 117, 147

Malawi, 1–11, 13, 49, 51, 69, 122–124, 126, 128, 136, 152, 155–156, 158–160, 173, 175, 187
  aid dependency, 2, 15, 16, 28, 79–80, 102
  aid distribution, 73, 76, 79, 82, 86
  Aid Management Platform, 76, 82, 128, 175
  Area Development Committees (ADCs), 4, 5, 37, 38, 128, 136, 173
  budget constraints, 26
  budgeting, 15, 16, 26
  case study, 14–17, 102–103
  Cashgate, 17, 124
  compared to other countries, 14–17, 158–160
  Constituency Development Funds (CDF), 1, 136, 161
  Democratic Progressive Party (DPP), 43, 80, 167, 178
  District Commissioners (DCs), 34, 38, 80, 128, 129, 173
  donor spending, 15, 28, 74
  electoral politics, 14, 21, 79, 80, 103, 178
  government spending, 4, 15, 16, 28, 87
  Local Councillors (LCs), 1, 25, 39–44, 136, 165, 166, 183
  Local Councilors (LCs), 96, 103, 112, 122, 133, 135
  Local Development Fund (LDF), 4, 37, 112
  Members of Parliament (MPs), 3, 25, 39–44, 122, 126, 133, 135, 136, 166, 183
  NGO Regulatory Authority (NGORA), 15, 54
  NGOs, 3, 38, 54, 87, 128, 129
  poverty, 15, 16, 87, 104
  surveys in, 95, 103, 158, 165–167
  Village Development Committees, 37
  voting data, 178
Mali, 3, 16, 160
Marcos, Ferdinand, 46
Miguna, Miguna, 80
Mkandawire, Thandika, 18, 52, 125, 155
Moi, Daniel arap, 46, 177

https://doi.org/10.1017/9781009789127.013 Published online by Cambridge University Press

and foreign aid, 82, 86, 88, 90, 92, 93
Kenya under, 21, 87
Morrison, Kevin M., 17, 18, 48, 95, 98, 127, 163
Moyo, Dambisa, 48, 52, 127
Mozambique, 16, 160
Mutharika, Bingu wa, 178
Mutharika, Peter, 124

Negotiations. *See* Bargaining
Non-Governmental Organizations (NGOs), 1, 5, 54, 124–127, 157, 173, 174, 176
and accountability, 4, 24, 65, 155
complexity, 127
defined in study context, 12
geographic presence, 3, 66
in Malawi, 15, 87, 103, 128
politician interactions, 1–2, 13, 37, 56, 74, 156, 158
and politicians, 152
role in aid delivery, 2, 4, 24, 54, 75, 96, 158, 159
versus government control, 162
North, Douglas, 48

Odinga, Raila, 80, 178
Official Development Assistance (ODA). *See* Foreign aid
Oxfam, 187

Pakistan, 31, 39, 46
Paler, Laura, 20, 49
Paris Declaration, 34
Parliaments, 2–4, 6, 18, 42, 155, 157, 163
in aid-dependent countries, 83, 89
legislating, 3, 6, 12, 42, 156
Philippines, The, 31, 39, 46, 98
Policymaking, 4, 20, 35, 69, 152, 156. *See also* public spending
donor influence, 123–124
effects of aid on, 6–8, 12, 22, 35–39, 93, 162
Politician interviews. *See* interviews
Politicians, 1, 47, 68, 122, 166, 168, 169, 173, 174
and aid delivery, 157–159, 162
and donors, 124
bargaining with donors. *See* Bargaining
behavior of, 1–6, 35, 53–54, 68, 74, 97, 99, 156
campaigning, 7, 31, 77, 110, 116
and elections, 73, 75, 76, 89
fiscal dilemmas of. *See* Fiscal policy
and foreign aid, 79, 81, 85, 89, 92, 93
incentives of, 51, 64
influence on aid allocation, 73, 75, 90
interactions with donors, 2–3, 12, 23, 30–44, 68, 74, 75, 80, 86, 94, 96, 103, 117, 152, 155, 156, 165, 166, 173
interviews of. *See* interviews
knowledge of. *See* knowledge
knowledge of constituents, 77
legislating. *See* parliaments
lobbying donors. *See* lobbying
Local Councillors (LCs), 1, 39–44
Members of Parliament (MPs), 3, 39–44, 50, 73, 89
prioritization of effort, 3, 6, 12, 19, 31, 39–44, 56, 75, 77, 156, 157
relationships with donors, 23–35, 42–44, 173
spending decisions, 7–8, 12, 14, 22, 32, 50, 74, 76–78, 80, 85, 87–89, 123, 126, 140–142, 156, 162, 165
surveys of. *See* surveys
Programmatic aid. *See* foreign aid
Public policy. *See* policymaking
Public spending, 1, 6, 7, 12, 46. *See also* policymaking
composition, 5, 25–28, 51, 98
coordination, 129, 152
donor influence, 2–4, 56, 153
duplication of, 129, 153
education spending, 4, 26, 47, 96, 102, 114
health spending, 2, 15, 26, 47, 89
social services, 2, 3, 15, 23, 25–28, 52
voter knowledge, 56, 96, 112

Recurrent spending, 2, 26–28, 157
in government budgets, 16
versus discretionary spending, 2, 28
versus donor spending, 26
Reinikka, Ritva, 49
Research methods, 13–100
experiments, 76, 84–85, 90, 103–110
Resource curse, 49. *See also* aid curse
comparison to aid curse, 20
Retrospective voting, 6, 47, 53–56, 95, 120, 156. *See also* elections
defined, 19, 47

https://doi.org/10.1017/9781009789127.013 Published online by Cambridge University Press

Retrospective voting (cont.)
and foreign aid, 8–9, 31, 97, 157
models, 53, 81, 117, 118
theory, 18, 21, 77, 100–101
Ross, Michael, 20, 48, 49
Rwanda, 16, 46

Sampling. *See* surveys
Schneider, Christina, 7, 10, 18, 19, 31, 51, 64, 98
Seim, Brigitte, 3, 24, 52, 73, 75, 77–79, 96, 122, 165, 168
Sese Seko, Mobuto, 46
Sierra Leone, 28, 69, 95, 175
aid dependency, 3, 27, 79–80
aid distribution, 27, 76, 79, 80
All People's Congress (APC), 178
Development Assistance Database, 76, 82, 175
electoral politics, 178–179
Sierra Leone People's Party (SLPP), 178
voting data, 21, 178
Smith, Alastair, 17, 18, 20, 51, 95, 98, 127
State legitimacy, 18. *See also* democracy
Surveys, 1, 13, 155, 159–160
attrition, 166, 183
of citizens and voters, 14, 21, 25, 95–98, 103, 107, 112, 121, 167–169
details, 106–107
of politicians, 3, 6, 14, 20, 24, 39, 76, 122, 165–166
sampling, 168
of teachers, 14, 15, 96, 102, 103, 107, 112, 128, 144, 169
Svensson, Jakob, 49, 51
Swedlund, Haley, 33, 159

Tanzania, 28, 46
Taxation, 48–50, 158
and accountability, 18, 48–50, 53, 120
in aid-dependent countries, 16, 79
versus foreign aid, 16, 48. *See also* aid curse
Teachers, 96, 102, 106, 112, 144, 167, 169
surveys of. *See* surveys
Tearfund (NGO), 103, 133
Theory, 2, 14, 46–69, 158–159
of aid and elections, 17, 18, 46–69, 76–78, 81, 85, 100–101, 157
of aid and policymaking, 130–132
of aid and taxation, 48
of elite capture, 51
formal models, 21, 46, 57–66
game theory, 57–62
of policymaking, 77
of retrospective voting. *See* retrospective voting
of state legitimacy, 52
of voting, 66, 77, 81
Transparency, 20, 47, 67, 128, 155, 163, 183
in aid spending, 8, 10, 32, 47, 55, 74, 82, 102, 108, 115, 117, 156–157
unintended consequences, 68

Uganda, 3, 30, 31, 46, 49, 99
Uncertainty effect, 10, 19, 21, 55–56, 67, 97, 101–102, 112, 157, 158
United Nations Children's Fund (UNICEF), 37, 73, 126, 127, 187
United Nations Development Programme (UNDP), 187
United Nations Population Fund (UNFPA), 187
USAID, 73, 96, 103, 162, 166, 187

van de Walle, Nicolas, 5, 18
Visibility effect, 10, 19, 56, 67, 97, 157–158
Voter knowledge. *See* knowledge
Voters, 2, 6, 31, 66, 129, 167, 168
behavior of, 2, 4, 8, 9, 21, 31, 48, 57, 66, 73, 75–78, 81, 84, 95–120, 156–157
beliefs of. *See* beliefs
demands of, 1, 7, 25–26, 49, 77, 78, 80, 81, 88, 94, 103
intentions of, 21, 31, 52, 76, 97, 101, 103, 110, 115, 118, 120, 121, 181
knowledge of. *See* knowledge
pivotal, 73, 84, 92
surveys of. *See* surveys
theories of voting, 50, 57, 62–66
Voting. *See* Elections; Voters

Winters, Matthew, 3, 18, 20, 30, 51, 64, 99, 162
World Bank, 12, 31, 35, 76, 79, 81, 89, 98, 163, 175, 187. *See also* donors
World Food Programme (WFP), 187
World Vision, 73, 187
Wright, Joseph, 125

Zambia, 159, 160
Zimbabwe, 39

https://doi.org/10.1017/9781009789127.013 Published online by Cambridge University Press