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Semigroups whose idempotents form a subsemigroup

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Abstract

We prove that every semigroup S in which the idempotents form a subsemigroup has an E-unitary cover with the same property. Furthermore, if S is E-dense or orthodox, then its cover can be chosen with the same property. Then we describe the structure of E-unitary dense semigroups. Our results generalize Fountain's results on semigroups in which the idempotents commute, and are analogous to those of Birget, Margolis and Rhodes, and of Jones and Szendrei on finite E-semigroups.

Introduction

This paper is part of a continuing investigation of aspects of the structure theory of semigroups whose first cornerstones were two theorems by McAlister on the theory of inverse semigroups [**11**, **12**]. The first of these theorems states that each inverse semigroup is covered by an E-unitary inverse semigroup, where the covering map is one-to-one on idempotents. These E-unitary inverse semigroups were later proved to be exactly the regular extensions of a group by a semilattice [**16**]. The second theorem is a representation theorem of the E-unitary inverse semigroups. McAlister [**11**, **12**] gave a first description of these semigroups in terms of P-semigroups. Another representation for these semigroups is due to O'Carroll [**15**] who proved that they are exactly the regular subsemigroups of the semidirect products of a group by a semilattice.

These seminal results were generalized in several directions. In particular, Margolis and Pin [**9**] showed that a natural non-regular analogue of the class of inverse semigroups is the class of semigroups in which the idempotents commute. They proved a representation theorem for the E-unitary semigroups in this class which extends McAlister's theorem. However this theorem was stated in a rather different way than the P-semigroup theorem. In the proof, Margolis and Pin made use of categories, considered as algebraic objects generalizing semigroups. In the same paper [**9**], they also proposed a conjecture to generalize McAlister's covering theorem to the non-regular case. This conjecture was first solved by Ash [**1**] for the finite case, and more recently by Fountain [**5**] for the general case, using totally different techniques.

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Generalizing further, a natural extension of the class of semigroups in which idempotents commute is given by E-semigroups, that is, semigroups in which the idempotents form a subsemigroup. Regular E-semigroups, also known as orthodox semigroups, were widely studied, in particular by Szendrei [**22**, **24**, **25**], Kaďourek and Szendrei [**7**], Takizawa [**26**, **27**], and finite E-semigroups were studied by Birget, Margolis and Rhodes [**3**] and Jones and Szendrei [**6**].

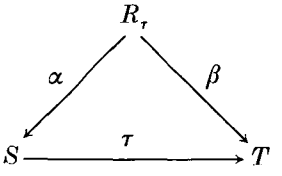
In this paper we complete this study by proving two results on arbitrary E-semigroups. The first one states that every E-semigroup S has an E-unitary cover, and that if S is E-dense or regular, then its E-unitary cover can be chosen with the same property. The second one is a representation theorem for E-unitary E-semigroups. Our proof for the first theorem is essentially similar to Fountain's [**5**], even though the presentation is different. The proof of our second theorem is inspired by the proof of the analogous result of Margolis and Pin [**9**] and makes essential use of the concept of category. Note that in order to complete the picture it would be interesting to generalize O'Carroll's representation theorem mentioned above to the case of E-unitary orthodox semigroups, and to extend Szendrei's [**23**, **24**] results in this direction.

The paper breaks up into four main sections. The first one deals with basic properties of E-dense and E-unitary E-semigroups. The covering theorem is stated and proved in Section 2, while the representation theorem is proved in the third section. The last section, included here for the sake of completeness, contains a survey of the analogous results on finite E-semigroups.

1. *Preliminaries*

Let S be a semigroup. Then S^1 denotes the monoid constructed as follows: $S^1 = S$ if S is a monoid, and, if S is not a monoid, $S^1 = S \cup \{1\}$ where 1 is an identity.

If S and T are semigroups, a *relational morphism* $\tau: S \to T$ from S into T is a mapping from S into the power set $\mathscr{P}(T)$ such that $s\tau \neq \varnothing$ and $(s\tau)(s'\tau) \subset (ss')\tau$ for all $s, s' \in S$. If S is a relational morphism from S into T, then the graph of τ, that is, the set $R_\tau = \{(s,t) \in S \times T \mid t \in s\tau\}$, is a subsemigroup of $S \times T$ whose projection into its first component is onto S (this constitutes an alternative definition of a relational morphism). Morphisms and inverses of onto morphisms are examples of relational morphisms. Relational morphisms are closed under composition. Let $\tau: S \to T$ be a relational morphism, and let α and β be the projections from the graph R_τ of τ into S and T respectively. It is easy to verify that $\tau = \alpha^{-1}\beta$. This factorization is called the *canonical factorization* of τ (see [**19**, **18**]).



Let X and Y be subsets of S. We let

$$X^{-1}Y = \{s \in S \mid \exists x \in X : xs \in Y\}, \quad XY^{-1} = \{s \in S \mid \exists y \in Y : sy \in Y\}.$$

A subsemigroup T of S is called *unitary* [**2**, **8**] if $T^{-1}T \cap TT^{-1} \subset T$, that is, if, for all $t, t' \in T$ and $s \in S$, $ts \in T$ and $st' \in T$ implies $s \in T$. The subsemigroup T is called *dense* if

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every element s of S can be completed on the right and on the left into an element of T, that is, if there exist s' and s'' in S such that $ss' \in T$ and $s''s \in T$.

As usual, $E(S)$ denotes the set of idempotents of S. An *E-semigroup* (respectively an *E-commutative semigroup*) is a semigroup such that $E(S)$ is a subsemigroup (respectively a commutative subsemigroup).†

An *E-unitary semigroup* (respectively *E-dense semigroup*, *E-unitary dense semigroup*) is a semigroup S such that $E(S)$ is a unitary subsemigroup (respectively a dense subsemigroup, a unitary and dense subsemigroup). An *orthodox* semigroup is a regular E-semigroup. The next propositions summarize some elementary properties of E-semigroups and have been rediscovered many times. More properties of E-dense semigroups can be found for instance in Mitsch [**14**].

PROPOSITION 1·1. *Let S be an E-semigroup and let $E = E(S)$. The following conditions are equivalent*:

(1) *S is E-dense*;

(2) *for every $s \in S$, there exists $s' \in S$ such that $ss' \in E$*;

(3) *for every $s \in S$, there exists $s'' \in S$ such that $s''s \in E$*;

(4) *for every $s \in S$, there exists $s' \in S$ such that $ss' \in E$ and $s's \in E$.*

Proof. Clearly (4) implies (1) and (1) implies (2) and (3). By symmetry, it suffices to show that (2) implies (4). Let $s \in S$ and let $s' \in S$ be such that $ss' \in E$. Then $s'ss's \in E$ since

$$s'ss'ss'ss' = s'(ss')(ss')(ss')s = s'(ss')s.$$

It follows that $(s'ss')s \in E$ and $s(s'ss') \in E$, proving (4). ∎

PROPOSITION 1·2. *Let S be an E-unitary semigroup, and let $E = E(S)$.*

(1) *For every $s, t \in S$, if $st \in E$, then $ts \in E$.*

(2) *For every $s, t \in S$ and $e \in E$, if $st \in E$, then $set \in E$.*

(3) *For every s, $t \in S$ and $e \in E$, if $set \in E$, then $st \in E$.*

Proof. (1) Since $st \in E$, $tsts$ and $tststs$ are idempotents. It follows that ts lies in $E^{-1}E \cap EE^{-1}$, whence $ts \in E$, since S is E-unitary.

(2) If $st \in E$, then $ts \in E$ by (1), and $ets \in E$ since S is an E-semigroup. Now $ets = (et)s \in E$, and, by (1), $set \in E$.

(3) If $set \in E$, then $t(se) \in E$ and $(et)s \in E$ by (1). It follows that ts lies in $E^{-1}E \cap EE^{-1}$, whence $ts \in E$. Finally, $st \in E$ by (1). ∎

PROPOSITION 1·3. *Let S be an E-semigroup and let $E = E(S)$. The following are equivalent*:

(1) *S is E-unitary*;

(2) *for every e, $s \in S$, the conditions e, $es \in E$ imply $s \in E$*;

(3) *for every e, $s \in S$, the conditions e, $se \in E$ imply $s \in E$.*

Proof. Clearly (2) or (3) implies (1). By symmetry, it suffices to show that (1) implies (2). Suppose that S is E-unitary, and let e, $es \in E$. Then, by Proposition 1·2, $se \in E$. It follows that $s \in E^{-1}E \cap EE^{-1}$ and $s \in E$ since E is unitary. ∎

PROPOSITION 1·4. *Let S be an E-unitary dense semigroup and let $E = E(S)$. Then, for all r, $t \in S^1$ and $s \in S$, $rst = rt$ implies $s \in E$.*

† This terminology is different from the one used in [**9**, **5**], but seems to be more appropriate in this more general context.

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Proof. Suppose $rst = rt$. Since E is dense, there exist $r', t' \in S$ such that $r'r,\ tt' \in E$. It follows that $(r'r)\,s(tt') = (r'r)\,(tt') \in E$. Since S is E-unitary, it follows that $(r'r)\,s \in E$ by Proposition 1·3 (3) and $s \in E$ by Proposition 1·3 (2). ▮

Given a semigroup S, we denote by $\leqslant_{\mathscr{R}}$ and $\leqslant_{\mathscr{L}}$ the quasi-orders on S defined by

$$s \leqslant_{\mathscr{R}} t \quad \text{if and only if there exists } u \in S^1 \text{ such that } s = tu,$$

$$s \leqslant_{\mathscr{L}} t \quad \text{if and only if there exists } u \in S^1 \text{ such that } s = ut.$$

Note that, if e is an idempotent and $s \in S$, then $s \leqslant_{\mathscr{R}} e$ if and only if $es = s$ and $s \leqslant_{\mathscr{L}} e$ if and only if $se = s$.

2. *The covering theorem*

Let S and T be semigroups. A surjective morphism $\phi : T \to S$ which is one-to-one on idempotents (or 'idempotent separating') is called a *covering*. In this case, T is said to be a *cover* of S. The aim of this section is to prove the following theorem.

THEOREM 2·1.
(1) *Every E-semigroup has an E-unitary cover.*
(2) *Every E-dense semigroup has an E-unitary dense cover.*
(3) *Every orthodox semigroup has an E-unitary orthodox cover.*

Our proof is basically the same as the proof given by Fountain [**5**] in the case of E-commutative dense semigroups, but our presentation is slightly different. We first give a sufficient condition to ensure that an E-semigroup has an E-unitary cover, extending a result of [**13**] on inverse semigroups. Then we show that every E-semigroup satisfies this condition.

PROPOSITION 2·2. *Let S be an E-semigroup. Suppose there exists a group G and a relational morphism $\tau : S \to G$ such that $1\tau^{-1} = E(S)$. Then S has an E-unitary cover. Furthermore, if S is E-dense and if τ satisfies the following condition*

(*) *for all $s \in S$ and $g \in s\tau$, there exists s' such that $ss' \in E(S)$ and $g^{-1} \in s'\tau$,*

then S has an E-unitary dense cover. Finally, if S is orthodox and if τ satisfies the following condition

(**) *for all $s \in S$ and $g \in s\tau$, there exists an inverse s' of s such that $g^{-1} \in s'\tau$,*

then S has an E-unitary orthodox cover.

Proof. Let $\tau = \alpha^{-1}\beta$ be the canonical factorization of τ. Thus, we let R be the graph of τ, $R = \{(s, g) \in S \times G \mid g \in s\tau\}$, $\alpha : R \to S$ is defined by $(s, g)\,\alpha = s$ and $\beta : R \to G$ is defined by $(s, g)\,\beta = g$. We claim that R is an E-unitary cover of S. The idempotents of R are of the form $(e, 1)$ where $e \in E(S)$. Therefore R is an E-semigroup and α is one-to-one on idempotents.

Let $(e, 1) \in E(R)$, $(s, g) \in R$ and suppose that $(e, 1)\,(s, g) \in E(R)$. Then $g = 1$ and, since $(s, g) \in R$, $s \in g\tau^{-1} = 1\tau^{-1} = E(S)$. Therefore $(s, g) \in E(R)$ and R is E-unitary.

Suppose now that S is E-dense and that τ satisfies (*). Let $(s, g) \in R$. Then, by (*), there exists s' such that $ss' \in E(S)$ and $(s', g^{-1}) \in R$. It follows that $(s, g)\,(s', g^{-1}) = (ss', 1) \in E(R)$. Thus R is E-dense by Proposition 1·1.

Finally, suppose that S is regular and that τ satisfies condition (**). For each $(s, g) \in R$, by (**), there exists an inverse s' of s such that $(s', g^{-1}) \in R$. Then (s', g^{-1}) is an inverse of (s, g), so R is orthodox. ▮

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THEOREM 2·3. *Let S be an E-semigroup, and let $E = E(S)$. Then there exist a group G and a relational morphism $\tau: ESE \to G$ such that $1\tau^{-1} = E$. Furthermore, if S is E-dense, then ESE is E-dense and τ satisfies (*), and if S is regular, then $ESE = S$ and τ satisfies (**).*

Proof. Let G be the free group with basis the set S. Let $\bar{S}$ be a copy of S and let $s \mapsto \bar{s}$ be a bijection from S onto $\bar{S}$. To avoid confusion with multiplication in S, we write elements of the free monoid $(S \cup \bar{S})^*$ as finite sequences; in particular, ( ) will denote the empty sequence. It is well known that G is the quotient of $(S \cup \bar{S})^*$ by the congruence generated by the relations $(s)(\bar{s}) = ( )$ and $(\bar{s})(s) = ( )$. We denote by $\pi: (S \cup \bar{S})^* \to G$ the morphism induced by this congruence.

The bijection $s \mapsto \bar{s}$ can be extended to an involution of $(S \cup \bar{S})^*$ by setting $\bar{\bar{s}} = s$ for every $s \in S$ and

$$\overline{(a_1, \ldots, a_n)} = (\bar{a}_n, \ldots, \bar{a}_1) \quad \text{for all } a_1, \ldots, a_n \in S \cup \bar{S}.$$

Define a map σ from $S \cup \bar{S}$ into the set of subsets of S by setting

$$s\sigma = EsE \quad \bar{s}\sigma = \bigcup \{e \in E \mid e \leqslant_{\mathscr{L}} s'\} s' \{e \in E \mid e \leqslant_{\mathscr{R}} ss'\},$$

where the union is over all s' such that $ss' \in E$ and $s's \in E$. Then we have the following lemma.

LEMMA 2·4. *For every $s \in S$,*

(a) $(s\sigma)E = E(s\sigma) = s\sigma$;

(b) $(\bar{s}\sigma)E = E(\bar{s}\sigma) = \bar{s}\sigma$;

(c) $(s\sigma)(\bar{s}\sigma) \subset E$ *and* $(\bar{s}\sigma)(s\sigma) \subset E$.

Proof. *(a)* is clear.

(b) Let $e \in E$ and $t \in \bar{s}\sigma$. Then $t = e_1 s' e_2$ for some $s' \in S$ such that $ss' \in E$ and $s's \in E$ and some $e_1, e_2 \in E$ such that $e_1 \leqslant_{\mathscr{L}} s's$ and $e_2 \leqslant_{\mathscr{R}} ss'$. It follows that $ee_1 \leqslant_{\mathscr{L}} s's$ and $e_2 e \leqslant_{\mathscr{R}} ss'$. Thus

$$et = (ee_1)s'e_2 \in \bar{s}\sigma \quad \text{and} \quad te = e_1 s'(e_2 e) \in \bar{s}\sigma.$$

Therefore $E(\bar{s}\sigma) \subset \bar{s}\sigma$ and $(\bar{s}\sigma)E \subset \bar{s}\sigma$. Conversely, if $t = e_1 s' e_2 \in \bar{s}\sigma$, then we have $e_1 t = t = te_2$, whence $t \in E(\bar{s}\sigma) \cap (\bar{s}\sigma)E$.

(c) By symmetry, it suffices to show that $(s\sigma)(\bar{s}\sigma) \subset E$. Let $t = e_1 s e_2 \in s\sigma$ (where $e_1, e_2 \in E$) and let $t' = e_3 s' e_4 \in \bar{s}\sigma$ (where $ss', s's, e_3, e_4 \in E$, $e_3 \leqslant_{\mathscr{L}} s's$ and $e_4 \leqslant_{\mathscr{R}} ss'$). Then $se_2 e_3 s' \in E$ since

$$\begin{aligned} se_2 e_3 s' se_2 e_3 s' &= se_2 e_3 e_2 e_3 s' \quad \text{(because } e_3 \leqslant_{\mathscr{L}} s's\text{)} \\ &= se_2 e_3 s' \quad \text{(because } e_2 e_3 \in E\text{)}. \end{aligned}$$

It follows that $tt' = e_1(se_2 e_3 s')e_4 \in E$. ∎

Let T be the set of all subsets of S of the form EXE, where $X \subset S$. Then T is a monoid with identity E under multiplication of subsets. Lemma 2·4 shows that σ is a map from $S \cup \bar{S}$ into T. Hence, it can be extended (in a unique way) into a monoid morphism $\sigma: (S \cup \bar{S})^* \to T$ by setting

$$( )\sigma = E$$

$$(a_1, \ldots, a_n)\sigma = (a_1\sigma) \cdots (a_n\sigma) \quad \text{for all } a_1, \ldots, a_n \in S \cup \bar{S}.$$

LEMMA 2·5. *For every $u \in (S \cup \bar{S})^*$ such that $u\pi = 1$, one has $u\sigma \subset E$.*

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Proof. It is well known that $1\pi^{-1}$ is the smallest submonoid D of $(S \cup \bar{S})^*$ such that, for all $u_1, u_2 \in (S \cup \bar{S})^*$ and for all $s \in S$,

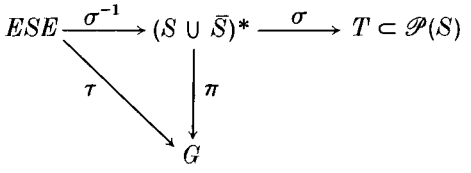
$$u_1 u_2 \in D \quad \text{implies} \quad u_1(s)(\bar{s}) u_2 \in D \quad \text{and} \quad u_1(\bar{s})(s) u_2 \in D.$$

Let D' be the set of all $u \in D$ such that $u\sigma \subset E$. Since $(\,)\sigma = E$ and $(uv)\sigma = (u\sigma)(v\sigma)$ for all $u, v \in (S \cup \bar{S})^*$, D' is a submonoid of $1\pi^{-1}$. Furthermore, if $u_1 u_2 \in D'$ and $s \in S$, then

$$(u_1(s)(\bar{s}) u_2)\sigma = (u_1\sigma)(s\sigma)(\bar{s}\sigma)(u_2\sigma) \subset (u_1\sigma) E (u_2\sigma) \subset (u_1\sigma)(u_2\sigma) = (u_1 u_2)\sigma \subset E,$$

and symmetrically, $(u_1(\bar{s})(s) u_2)\sigma \subset E$. Therefore $u_1(s)(\bar{s}) u_2$ and $u_1(\bar{s})(s) u_2$ lie in D', whence $D' = 1\pi^{-1}$. ∎

Since for every $s \in S$, $s\sigma = EsE$, the relation $\sigma^{-1} : ESE \to (S \cup \bar{S})^*$ defined by $s\sigma^{-1} = \{u \in (S \cup \bar{S})^* \mid s \in u\sigma\}$ is a relational morphism. Let $\tau = \sigma^{-1}\pi$. Then $\tau : ESE \to G$ is a relational morphism. The following diagram may help the reader to visualize the mappings and relations we are considering.



We claim that $1\tau^{-1} = E$. First, if $e \in E$, then $(\,) \in e\sigma^{-1}$ and $(\,)\pi = 1$. Therefore $1 \in e\tau$, and thus $E \subset 1\tau^{-1}$. Conversely, let $s \in 1\tau^{-1}$. Then there exists $u \in (S \cup \bar{S})^*$ such that $u\pi = 1$ and $s \in u\sigma$. By Lemma 2·5, $u\sigma \subset E$ and thus $s \in E$. This completes the proof of the first part of Theorem 2·3.

It remains to show that if S is E-dense (respectively regular), then τ satisfies (*) (respectively (**)). Let us first assume that S is E-dense. Let $s \in ESE$ and let $g \in s\tau$. Let $u \in (S \cup \bar{S})^*$ be such that $s \in u\sigma$ and $u\pi = g$. Then, by Lemma 2·5, $(u\sigma)(\bar{u}\sigma) \subset E$ and $(u\bar{u})\pi = 1$. Since S is E-dense, $\bar{u}\sigma$ is non-empty: indeed, for every $t \in S$, by Proposition 1·1, there exists an element t' such that $tt' \in E$ and $t't \in E$, and thus $\bar{t}\sigma \neq \varnothing$. Let $s' \in \bar{u}\sigma$. Then $ss' \in (u\sigma)(\bar{u}\sigma) \subset E$ and thus $ss' \in E$. On the other hand, $g^{-1} \in s'\tau$.

Now let us assume that S is regular, that is, S is orthodox. For each $s \in S$, let $V(s)$ be the set of inverses of s. It is well-known that in orthodox semigroups $V(s)V(t) \subset V(ts)$: see [**20**]. Again we may consider $u \in (S \cup \bar{S})^*$ such that $s \in u\sigma$ and $u\pi = g$, say $u = (a_1, \ldots, a_n)$ with $a_1, \ldots, a_n \in S \cup \bar{S}$. So $s = s_1 \ldots s_n$ for some $s_i \in (a_i)\sigma$ $(1 \leqslant i \leqslant n)$. Since $V(s_n) \ldots V(s_1) \subset V(s)$, it suffices to show that, for each $t \in S$, each element of $(t)\sigma$ (respectively $(\bar{t})\sigma$) has an inverse in $(\bar{t})\sigma$ (respectively $(t)\sigma$). Indeed this will imply that $\bar{u}\sigma$ contains an inverse s' of s. But $\bar{u}\pi = g^{-1}$, so τ satisfies (**).

So let $t \in S$ and let $x \in (t)\sigma$. Then $x = etf$ for some $e, f \in E$. Let $t' \in V(t)$. Then $x' = ft'e \in V(x)$ and $x' \in (\bar{t})\sigma$ since $x' = (ft't)t'(tt'e)$. Now let $y \in (\bar{t})\sigma$. Then $y = (et't)t'(tt'f)$ for some e, f and t' such that e, f, and $t'tt'$ and $t't$ are idempotents. Let $t'' \in V(t')$ and $y' = (ftt')t''(et't)$. Since $y' \in V(tt'f)V(t')V(et't)$, the element y' is an inverse of y, and since $y' = (f)t(t't''et't)$, we have $y' \in (t)\sigma$. ∎

We can now conclude the proof of Theorem 2·1. Let S be an E-semigroup. Then $M = S^1$ is an E-monoid. By Proposition 2·2 and Theorem 2·3, $EME = M$ has an

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E-unitary cover $\phi:\hat{M}\to M$. Since ϕ is one-to-one on idempotents the restriction of ϕ to $\hat{S}=S\phi^{-1}$ is also a covering $\phi:\hat{S}\to S$, and $\hat{S}$ is E-unitary. Furthermore, if S is E-dense (respectively regular), then so are M, $\hat{M}$ and $\hat{S}$. ∎

Since a covering is one-to-one on idempotents, Fountain's result follows immediately [**5**] (see McAlister [**11**, **12**] and O'Carroll [**15**], or [**9**] for the inverse case).

COROLLARY 2·6. (1) *Every E-commutative semigroup has an E-commutative unitary cover.*

(2) *Every E-commutative dense semigroup has an E-commutative unitary dense cover.*

(3) *Every inverse semigroup has an E-unitary inverse cover.*

We conclude this section by pointing out an interesting property of the above construction of an E-unitary cover. Let $\alpha_S:\hat{S}\to S$ be the covering constructed in the proof of Theorem 2·1. It has the following property.

PROPOSITION 2·7. *For every morphism $\phi:S\to T$, there exists a morphism $\hat{\phi}:\hat{S}\to\hat{T}$ such that $\hat{\phi}\alpha_T=\alpha_S\phi$.*
However, ϕ need not be onto even if ϕ is.

Proof. Let $\pi_S\colon (S\cup\bar{S})^*\to G_S$ and $\pi_T:(T\cup\bar{T})^*\to G_T$ be the canonical projections onto the free groups with bases, respectively, the sets S and T. It is straightforward to verify that the morphism $\phi:S\to T$ can be extended canonically to morphisms $\phi:\mathscr{P}(S)\to\mathscr{P}(T)$ and $\phi:(S\cup\bar{S})^*\to(T\cup\bar{T})^*$, and that it induces a morphism $\phi_1:G_S\to G_T$ such that $\pi_S\phi_1=\phi\pi_T$.

Now let σ_S (respectively σ_T) be the map from $(S\cup\bar{S})^*$ (respectively $(T\cup\bar{T})^*$) into the set of subsets of S (respectively T) defined in the proof of Theorem 2·3. For each $s\in S$, we have $(s)\sigma_S\phi\subset(s\phi)\sigma_T$ and $(\bar{s})\sigma_S\phi\subset(\overline{s\phi})\sigma_T$. Note that here equality does not necessarily hold since, in particular, $E(S)\phi$ may be strictly contained in $E(T)$. Then, for each $u\in(S\cup\bar{S})^*$, we have $u\sigma_S\phi\subset u\phi\sigma_T$. If $\tau_S=\sigma_S^{-1}\pi_S$ and $\tau_T=\sigma_T^{-1}\pi_T$, we have, for every s in S

$$s\tau_S\phi_1=s\sigma_S^{-1}\pi_S\phi_1=s\sigma_S^{-1}\phi\pi_T\subset s\phi\sigma_T^{-1}\pi_T=s\phi\tau_T.$$

Indeed, we need to verify that $s\sigma_S^{-1}\phi\subseteq s\phi\sigma_T^{-1}$. If $u\in(T\cup\bar{T})^*$ and $u\in s\sigma_S^{-1}\phi$, then $u=v\phi$ for some $v\in s\sigma_S^{-1}$. We have now $s\in v\sigma_S$, so that $s\phi\in v\sigma_S\phi$, and hence $s\phi\in v\phi\sigma_T=u\sigma_T$. It follows that $u\in s\phi\sigma_T^{-1}$.

But $\hat{S}$ and $\hat{T}$ are respectively the graphs of τ_S and τ_T, and α_S and α_T are the projections of these graphs onto their first components. So, letting $(s,g)\hat{\phi}=(s\phi,g\phi_1)$ for each $(s,g)\in\hat{S}$ defines a morphism $\hat{\phi}:\hat{S}\to\hat{T}$ that satisfies $\hat{\phi}\alpha_T=\alpha_S\phi$. ∎

3. *The structure of E-unitary dense semigroups*

According to [**9**], we define the fundamental group $\pi_1(S)$ of a semigroup S as the quotient of the free group $F(S)$ with basis S by the relations $(s)(t)=(st)$, for all $s,t\in S$. Let $\iota:S\to F(S)$ be the natural embedding and let $\pi:F(S)\to\pi_1(S)$ be the natural surjective group morphism. Then the map $\eta=\iota\pi:S\to\pi_1(S)$ is a morphism which is characterized by the following universal property. For every semigroup morphism $\gamma:S\to G$ into a group G, there exists a unique group morphism $\phi:\pi_1(S)\to G$ such that $\gamma=\eta\phi$. Moreover, if $S\gamma$ generates G as a group, then ϕ is surjective. It follows that, when S is E-dense, $\pi_1(S)$ is the maximal quotient group of S: see [**9**].

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We can now state and prove a theorem which generalizes an analogous result for E-commutative semigroups (Margolis and Pin [9]).

THEOREM 3·1. *Let S be a non-empty semigroup. The following conditions are equivalent*:

(1) *there exist a group G and a surjective morphism $\phi: S \to G$ such that $1\phi^{-1} = E(S)$*;

(2) *there exists a surjective morphism $\psi: S \to \pi_1(S)$ such that $1\psi^{-1} = E(S)$*;

(3) *the morphism $\eta: S \to \pi_1(S)$ is surjective and satisfies $1\eta^{-1} = E(S)$*;

(4) *S is an E-unitary dense semigroup.*

Proof. Let $E = E(S)$. Clearly (3) implies (2) and (2) implies (1). We prove that (1) implies (4). S is clearly an E-semigroup, since $E(S) = 1\phi^{-1}$. If e, $es \in E$ for some e, $s \in S$, then $1 = (es)\phi = (e\phi)(s\phi) = s\phi$, whence $s \in 1\phi^{-1} = E$. Therefore S is E-unitary. Moreover, for every $s \in S$, there exists $t \in S$ such that $t\phi = (s\phi)^{-1}$. Therefore $(st)\phi = 1$, whence $st \in E$. Thus S is E-dense.

It remains to show that (4) implies (3). Let S be an E-unitary dense semigroup. For every $s \in S$, let $X(s)$ be the smallest subset X of S^1 containing s and such that:

(*a*) $s_1 s_2 \in X$ implies $s_1 e s_2 \in X$ for all $s_1, s_2 \in S^1$ and $e \in E$,

(*b*) $s_1 e s_2 \in X$ implies $s_1 s_2 \in X$ for all $s_1, s_2 \in S^1$ and $e \in E$.

We define an equivalence relation $\sim$ on S by letting

$$s \sim t \quad \text{if and only if} \quad X(s) = X(t).$$

It is easy to verify that $s \sim t$ if and only if there exist three sequences $(u_0, \ldots, u_{2k+1})$, $(u'_0, \ldots, u'_{2k+1})$ and $(u''_0, \ldots, u''_{2k+1})$ of elements of S and a sequence $(e_1, \ldots, e_{2k+1})$ of elements of E such that

$$u_0 = s, \quad u_{2k+1} = t \quad \text{and}$$

$$u_{2i} = u'_{2i} u''_{2i}, \quad u_{2i+1} = u'_{2i} e_{2i} u''_{2i} \quad (0 \leqslant i \leqslant k),$$

$$u_{2i+1} = u'_{2i+1} e_{2i+1} u''_{2i+1}, \quad u_{2i+2} = u'_{2i+1} u''_{2i+1} \quad (0 \leqslant i \leqslant k-1).$$

It follows that $\sim$ is a congruence.

We claim that $X(e) = E$ for every $e \in E$. Indeed $1 \cdot e \cdot 1 = e \in X(e)$ and thus, by (*b*), $1 \in X(e)$. It follows by (*a*) that $E \subset X(e)$. Conversely, $e \in E$ and E satisfies (*a*) and (*b*) by Proposition 1·2. Thus $X(e) \subset E$. In particular, all idempotents of S are congruent modulo $\sim$. Conversely, if $s \sim e$ for some $e \in E$, then $s \in X(e) = E$ and s is idempotent.

Let $\pi: S \to S/\sim$ be the natural projection. We claim that $S/\sim = \pi_1(S)$ and $\pi = \eta$. First, since S is non-empty and E-dense, it contains at least one idempotent e. Since $es \sim s \sim se$, $e\pi = 1$ is an identity for $S/\sim$. Furthermore, since S is E-dense, there exists for each $s \in S$ an $s' \in S$ such that $ss' \in E$ and $s's \in E$. Therefore $(ss')\pi = (s's)\pi = 1$. Thus $S/\sim$ is a group. By the preceding paragraph, we have

$$1\pi^{-1} = \{s \in S \mid s \sim e\} = E.$$

Finally, let $\gamma: S \to G$ be a surjective semigroup morphism onto a group G. The constructive definition of $\sim$ shows that, if $s \sim t$, then $s\gamma = t\gamma$ (since for every $e \in E$ one has $e\gamma = 1$). Therefore there exists a unique surjective semigroup morphism $\phi: S/\sim \to G$ such that $\gamma = \pi\phi$. In fact ϕ is a group morphism and π satisfies the same universal property as η. So $S/\sim = \pi_1(S)$ and $\pi = \eta$. ∎

An important tool introduced in [9] and later extended in [28] is the use of categories as a generalization of monoids. In the case of semigroups, the natural

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extension is the notion of 'category without identity', also called 'semigroupoid' by Tilson. Since both terms seem to be unfortunate – the first one is too negative and the second one too technical – we take the risk of introducing the new terminology of *quiver* for these objects. 'Quiver' is the translation of the French word *carquois*, which was originally chosen by the authors. It is meant to be as descriptive as possible, in the sense that a quiver 'contains a bunch of arrows'.†

Formally, a quiver C is given by

(a) a set‡ $\mathrm{Ob}\,(C)$ of objects,

(b) for each pair (u, v) of objects, a set $C(u, v)$ of arrows,

(c) for each triple (u, v, w) of objects, a mapping from $C(u, v) \times C(v, w)$ into $C(u, w)$ which associates to each $p \in C(u, v)$ and $q \in C(v, w)$ the composition $p+q \in C(u, w)$.

The additive notation is convenient because it will allow us to write group actions multiplicatively, but it does not imply commutativity. Composition is assumed to be associative (when defined).

Note that a semigroup is simply a quiver with exactly one object. A quiver C is said to be *locally idempotent* (respectively *locally commutative*, etc.) if, for each object u of C, the semigroup $C(u, u)$ is idempotent (respectively commutative, etc.). It is *regular* if, for each arrow $p \in C(u, v)$ there exists an arrow $q \in C(v, u)$ such that $p+q+p = p$, and it is connected if $C(u, v) \neq \varnothing$ for each pair (u, v) of objects of C.

In the usual terminology of category theory, what we here call arrows are called morphisms. Since we want to view quivers as generalized semigroups, we prefer to reserve the term morphism for what are usually called functors. More precisely, a morphism $\phi : C \to D$ between two quivers C and D is given by:

(1) a function $\phi : \mathrm{Ob}(C) \to \mathrm{Ob}(D)$ and

(2) for every $u, v \in \mathrm{Ob}(C)$, a function $\phi_{u,v} : C(u, v) \to D(u\phi, v\phi)$ such that, for every u, $v, w \in \mathrm{Ob}\,(C)$ and for every $p \in C(u, v)$ and $q \in C(v, w)$,

$$p\phi_{u,v} + q\phi_{v,w} = (p+q)\,\phi_{u,w}.$$

Subscripts are usually omitted, and the last formula is written as

$$p\phi + q\phi = (p+q)\,\phi.$$

An automorphism ϕ of a quiver C is defined as usual. In particular, ϕ is then a permutation of $\mathrm{Ob}\,(C)$ and is a bijection between $C(u, v)$ and $C(u\phi, v\phi)$. We denote by $\mathrm{Aut}\,(C)$ the group of automorphisms of C. An action of a group G on C is given by a group morphism from G into $\mathrm{Aut}\,(C)$. In this case we write gu (respectively gp) for the result of the action of g on the object u (respectively the arrow p). Note the following identities:

(1) $g(p+q) = gp+gq$ for all $g \in G$, $p \in C(u, v)$ and $q \in C(v, w)$;

(2) $(gh)\,p = g(hp)$ for all $g, h \in G$ and $p \in C(u, v)$.

Whenever a group G acts on a quiver C, a quotient quiver C/G is defined, with object set $\mathrm{Ob}\,(C)/G$, that is, the set of disjoint subsets of $\mathrm{Ob}\,(C)$ of the form $Gu\,(u \in \mathrm{Ob}\,(C))$, and with arrow sets

$$C/G(Gu, Gv) = \{Gp \mid p \in C(u', v'), u' \in Gu, v' \in Gv\}.$$

† As is attested by the classical literature: 'I perceived it to be a human creature not six inches high, with a bow and arrows in his hands, and a *quiver* at his back.'[**21**]

‡ Since we consider quivers and categories as algebraic objects, there is no need to introduce the usual distinction between sets and classes. In the terminology of category theory, we consider only 'small' categories.

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Composition of consecutive arrows Gp and Gq (that is, $p \in C(u, v)$ and $q \in C(gv, w)$ for some objects u, v and w and some element g of G) is given by $Gp + Gq = G(p + g^{-1}q)$.

The following results are proved in [**9**] for categories, but their proofs can be readily adapted to the case of quivers.

If a group G acts transitively without fixed points on a quiver C, then the quiver C/G is a semigroup. Let u be any object of C and let

$$C_u = \{(p, g) \mid g \in G, p \in C(u, gu)\}.$$

Then C_u is a semigroup for the multiplication defined by $(p, g)(q, h) = (p + gq, gh)$, and we can state

PROPOSITION 3·2. *Let G be a group acting transitively without fixed points on a quiver C. Then, for all $u \in \mathrm{Ob}(C)$, the semigroup C_u is isomorphic to C/G.*

Let $\phi : S \to T$ be a semigroup morphism. Define a quiver C with $\mathrm{Ob}(C) = T$ and, for $u, v \in T$, $C(u, v) = \{(u, s, v) \in T \times S \times T \mid u(s\phi) = v\}$. Composition is given by

$$(u, s, v) + (v, t, w) = (u, st, w).$$

Clearly it is associative. So C is a quiver, which we call the *derived quiver* of ϕ. (Tilson's definition of the derived quiver of a semigroup morphism [**28**] is the quotient of C by a certain quiver congruence. But for our purposes this simplified definition will be sufficient.)

Now the *derived covering* of ϕ is the morphism $\Phi : C \to S$ defined by the following conditions:

(*a*) $u\Phi$ is the only object of S, for all $u \in \mathrm{Ob}(C)$;

(*b*) $(u, s, v)\Phi = s$, for all $(u, s, v) \in C(u, v)$.

PROPOSITION 3·3 (see [**9**]). *Let $\phi : S \to G$ be a morphism into a group and let $\Phi : C \to S$ be the derived covering of ϕ. Then G acts transitively without fixed points on C and S is isomorphic (as a semigroup) to C/G.*

THEOREM 3·4. *Let S be an E-semigroup. The following conditions are equivalent:*

(1) *S is E-unitary dense;*

(2) *S is isomorphic to C/G where G is a group acting transitively without fixed points on some connected locally idempotent quiver C.*

Proof. The proof essentially mimics the proofs of propositions 3·12 and 3·14 of [**9**].

(1) $\Rightarrow$ (2). By Theorem 3·1, there exists a morphism $\phi : S \to G$ onto a group such that $1\phi^{-1} = E(S)$. By Proposition 3·3, S is isomorphic to C/G where C is the derived quiver of ϕ. Since ϕ is onto, for all $g, h \in G$, there exists $s \in S$ such that $s \in (g^{-1}h)\phi^{-1}$. It follows that $(g, s, h) \in C(g, h)$ and C is connected. Next, for every $g \in G$,

$$C(g, g) = \{(g, s, g) \mid g(s\phi) = g\}$$

is a semigroup isomorphic to $1\phi^{-1} = E(S)$. Thus C is locally idempotent.

(2) $\Rightarrow$ (1). By Proposition 3·2, C/G is isomorphic to C_1. Now (p, g) is an idempotent in C_1 if and only if $p = p + gp$ and $g = g^2$, that is, if and only if $g = 1$ and $p \in C(1, 1)$ is idempotent. Since C is locally idempotent, it follows that $E(C_1) = C(1, 1) \times \{1\}$ and C_1 is an E-semigroup. Assume now that $(p, g)(q, 1) = (p + gq, g) \in E(C_1)$. Then $g = 1$ and $p \in C(1, 1)$. Thus C_1 is E-unitary.

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Finally let $(p, g) \in C_1$. Since C is connected, there exists a morphism q in $C(1, g^{-1})$. Then $(p, g)(q, g^{-1}) = (p + gq, 1) \in E(C_1)$. Thus C_1 is E-dense. ∎

Remark. Theorem 3·4 above was already formulated in the regular (orthodox) case by Szendrei [**25**].

4. *The finite case*

The class of finite E-semigroups was described in a paper by Jones and Szendrei [**6**]. This section is only a rewriting of their work, and it is included here in order to provide a complete presentation.

Let **ES** be the class of all finite E-semigroups. **ES** is the variety of finite semigroups which is defined by the pseudo-identity $(x^w y^w)^w = x^w y^w$ (see Eilenberg [**4**], or Pin [**17**]). For each variety of finite bands **V**, we let **ES**(**V**) be the variety of finite E-semigroups whose band of idempotents is in **V**.

Note that in a finite E-semigroup, the set of idempotents is always dense, since every element can be completed on the right and on the left into an idempotent of the minimal ideal. So the structure of the E-unitary elements of **ES** is described in Section 3 above.

The covering theorem (Theorem 2·1 above) can be applied to finite E-semigroups, but the E-unitary cover that is constructed in its proof is infinite. However, the statement of the theorem can be relativized to finite semigroups, thanks to the following result of Birget, Margolis and Rhodes [**3**]. Note that in the case of E-commutative monoids, the result is a consequence of Margolis and Pin [**10**] and Ash [**1**].

THEOREM 4·1. *Let $S \in \mathbf{ES}$. There exist a finite group G and a relational morphism $\tau : S \to G$ such that $1\tau^{-1} = E(S)$.*

Proof. The exact result proved in [**3**] is that, if $S \in \mathbf{ES}$, then $K(S) = E(S)$, where $K(S)$ (the 'kernel' of S, or the subsemigroup of 'type II' elements of S) is the intersection of the $1\sigma^{-1}$ for all relational morphisms σ from S into a finite group. This implies the announced result. Indeed (see [**18**]), since there are only finitely many subsemigroups of S, one can select finitely many such relational morphisms, $\sigma_1 : S \to G_1, \ldots, \sigma_k : S \to G_k$, in such a way that, for any relational morphism σ from S into a finite group G, $1\sigma^{-1} = 1\sigma_i^{-1}$ for some $1 \leqslant i \leqslant k$. The direct product $\tau = (\sigma_1, \ldots, \sigma_k) : S \to G_1 \times \ldots \times G_k$ is then a relational morphism and

$$1\tau^{-1} = \bigcap_{i=1}^{k} 1\sigma_i^{-1} = K(S)_{II} = E(S). \quad \blacksquare$$

COROLLARY 4·2. *Let $S \in \mathbf{ES}(\mathbf{V})$.*

(1) *S has a finite E-unitary cover R in* **ES**(**V**).

(2) *If S is regular (that is, S is orthodox), then R can be chosen to be regular too.*

Proof. Let $\tau : S \to G$ be a relational morphism into a finite group G such that $1\tau^{-1} = E(S)$. If R is the graph of τ, then R is finite and the same proof as for Proposition 2·2 shows that R is an E-unitary cover of S. Suppose next that S is regular, and let $(s, g) \in R$. Let s' be an inverse of s and let $g' \in s'\tau$. There exists an integer $k \geqslant 1$ such that $(gg')^k = 1$. Then

$$g^{-1} = g'(gg')^{k-1} \in s'\tau(s\tau s'\tau)^{k-1} \subset (s'(ss')^{k-1})\tau = s'\tau.$$

So $(s', g^{-1}) \in R$ and hence τ satisfies condition (**) of Proposition 2·2. ∎

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Theorem 4·1 above also implies the following characterization of the varieties $\mathbf{ES}(\mathbf{V})$, where $\mathbf{V}$ is a variety of finite idempotent semigroups, and $\mathbf{V}^{-1}\mathbf{G}$ is the class of all semigroups S for which there exists a relational morphism τ from S into a finite group such that $1\tau^{-1} \in \mathbf{V}$.

COROLLARY 4·3. *Let S be a finite semigroup and let $\mathbf{V}$ be a variety of finite bands. The following are equivalent*:

(1) $S \in \mathbf{ES}(\mathbf{V})$;
(2) $K(S) = E(S)$ *and* $K(S) \in \mathbf{V}$;
(3) $S \in \mathbf{V}^{-1}\mathbf{G}$;
(4) $S \in \mathbf{V} * \mathbf{G}$;
(5) S *divides some finite orthodox E-unitary semigroup in* $\mathbf{ES}(\mathbf{V})$;
(6) S *divides some finite orthodox semigroup in* $\mathbf{ES}(\mathbf{V})$.

Proof. (1) implies (2) and (2) implies (3), as was proved in Theorem 4·1 above. (4) implies (5), as one can readily verify that the semidirect product of an idempotent semigroup by a group is E-unitary and orthodox. (5) implies (6) and (6) implies (1), trivially.

The fact that (3) implies (4) is a consequence of a result of Jones and Szendrei [**6**] (which itself was a generalization of a theorem of Weiss and Thérien [**29**]), according to which the finite quivers that are locally in $\mathbf{V}$ divide a semigroup in $\mathbf{V}$, and of Tilson's derived category theorem [**28**]. Let $\tau : S \to G$ be a relational morphism into a group G such that $1\tau^{-1} \in \mathbf{V}$. Let R_τ be the graph of τ, and let $\tau = \alpha^{-1}\beta$ be its canonical factorization (see Section 1). It is easy to verify that $1\beta^{-1}$ is isomorphic to $1\tau^{-1}$ and hence lies in $\mathbf{V}$. So the derived quiver of β defined Section 3 is locally in $\mathbf{V}$. Jones and Szendrei's result and Tilson's theorem then show that R_τ lies in $\mathbf{V} * \mathbf{G}$, and hence so does S. ∎

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