Mathematika 59 (2013) 269–301 © University College London
doi:10.1112/S0025579312001118

DISSOLVING OF CUSP FORMS: HIGHER-ORDER FERMI'S GOLDEN RULES

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Abstract. For a hyperbolic surface, embedded eigenvalues of the Laplace operator are unstable and tend to dissolve into scattering poles i.e. become resonances. A sufficient dissolving condition was identified by Phillips–Sarnak and is elegantly expressed in Fermi's golden rule. We prove formulas for higher approximations and obtain necessary and sufficient conditions for dissolving a cusp form with eigenfunction u_j into a resonance. In the framework of perturbations in character varieties, we relate the result to the special values of the L-series $L(u_j \otimes F^n, s)$. This is the Rankin–Selberg convolution of u_j with $F(z)^n$, where $F(z)$ is the antiderivative of a weight two cusp form. In an example we show that the above-mentioned conditions force the embedded eigenvalue to become a resonance in a punctured neighborhood of the deformation space.

§1. *Introduction.* For a hyperbolic surface with cusps the embedded eigenvalues of the Laplace operator Δ in the continuous spectrum are unstable. This is manifested by Fermi's golden rule developed in [35]. We describe the result in the simplest case of a surface with one cusp and an eigenvalue of multiplicity one. Let $\lambda_j = 1/4 + r_j^2$ be an embedded eigenvalue with $r_j \in \mathbb{R} \setminus \{0\}$ and the corresponding L^2-normalized eigenfunction (Maaß cusp form) $u_j(z)$. Let $E(z, s)$ be the Eisenstein series, which on the critical line $\Re(s) = 1/2$ is a generalized eigenfunction for Δ, so that $E(z, 1/2 + \mathrm{i}r_j)$ corresponds in the same eigenvalue as the Maaß cusp form. We set $s_j = 1/2 + \mathrm{i}r_j$. In [34] Phillips and Sarnak identified a condition that turns λ_j into a resonance in Teichmüller space, i.e. dissolving λ_j into a resonance. In [38] Sarnak identified a similar condition for character varieties. Let $\Delta^{(1)}$ denote the infinitesimal variation of the family of Laplacians in either perturbation. Then the dissolving condition—usually called the Phillips–Sarnak condition—is

$$\langle \Delta^{(1)} u_j, E(z, 1/2 + \mathrm{i}r_j) \rangle \neq 0. \tag{1.1}$$

In [35] Phillips and Sarnak identified the dissolving condition in terms of the speed that the cuspidal eigenvalue leaves the line $\Re(s) = 1/2$ to become a resonance to the left half-plane. If $s_j(\epsilon)$ denotes the position of the resonance or embedded cusp form, with perturbation series

$$s_j(\epsilon) = s_j + s_j^{(1)}(0)\epsilon + \frac{s_j^{(2)}(0)}{2!}\epsilon^2 + \cdots, \tag{1.2}$$

Received 16 January 2012, published online 21 January 2013.
MSC (2010): 11F72 (primary), 58J50 (secondary).

https://doi.org/10.1112/S0025579312001118 Published online by Cambridge University Press

then

$$\Re s_j^{(2)}(0) = -\frac{1}{4r_j^2}|\langle \Delta^{(1)} u_j,\, E(z,\, 1/2 + \mathrm{i}r_j)\rangle|^2. \tag{1.3}$$

Our aim in this paper is to investigate what happens when the expression (1.3) vanishes, or equivalently: what happens if the Phillips–Sarnak condition is *not* satisfied.

The proof of (1.3) in [**35**] uses the Lax–Phillips scattering theory as developed for automorphic functions, see [**22**]. The crucial ingredient is provided by the cut-off wave operator B. Its spectrum (on appropriate spaces) coincides with the singular set (counting multiplicities). It includes the embedded eigenvalues and the resonances. The motion of an embedded eigenvalue depending on the perturbation parameter ϵ on the complex place $\mathbb{C}$ can be identified as the motion of an eigenvalue of B. Given that Phillips and Sarnak proved that regular perturbation theory applies to this setting, it follows that an embedded eigenvalue moves (with at most algebraic singularities) as function of ϵ, either remaining a cuspidal eigenvalue or becoming a resonance. Equation (1.3) follows using standard perturbation theory techniques. Balslev provided a different proof of equation (1.3) in [**2**] by introducing the technique of analytic dilations and imitating the setting of Fermi's golden rule for the helium atom, see [**40**]. A slightly modified version of the application of perturbation theory is provided in [**30**], using the formulas in [**21**, p. 79].

Once the dissolving condition had been identified, Phillips and Sarnak [**34**] expressed it as a special value of a Rankin–Selberg convolution of u_j with the holomorphic cusp form f generating the deformation. These special values have been subsequently studied [**10, 11, 23**] with the aim of showing that a generic surface with cusps has "few" embedded eigenvalues in the sense of Weyl's law.

A different line of approach has been to develop alternate perturbation settings, where the condition to check is easier to understand. Wolpert, Phillips and Sarnak, and Balslev and Venkov succeeded in investigating Weyl's law this way, see [**3, 4, 36, 42**].

Note 1. Whenever we say that an embedded eigenvalue becomes a resonance, we mean that the point $s_j(0)$ of the singular set, corresponding to an embedded eigenvalue, moves under perturbation ($\epsilon \neq 0$) to the left-hand plane, i.e. leaves the critical line $\Re(s) = 1/2$, and becomes a scattering pole for the perturbed spectral problem, i.e. a pole of the resolvent $R(s, \epsilon)$. The corresponding Maaß cusp form u_j dissolves.

A more recent development came through the numerical investigation of the poles of Eisenstein series by Avelin [**1**]. Working with the Teichmüller space of $\Gamma_0(5)$, she found a fourth-order contact of $s_j(\epsilon)$ with the unitary axis $\Re(s) = 1/2$. It is easy to explain why certain directions in the moduli space will not satisfy the Phillips–Sarnak condition (1.1): if the dimension of the moduli space is at least 2, then the map $f \to \langle \Delta^{(1)} u_j,\, E(z,\, 1/2 + \mathrm{i}r_j)\rangle$ is linear, therefore, it has non-trivial kernel. Avelin also identified numerically the most suitable curve that the singular point follows in the left half-plane. This work

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(along with the work of Farmer and Lemurell [14]) motivated us to investigate whether one can identify higher-order Fermi-type conditions that will explain what happens in this case. We answer affirmatively: we find conditions that guarantee that an embedded eigenvalue becomes a resonance.

For this purpose we introduce the perturbation series of the generalized eigenfunctions $D(z, s, \epsilon)$, with $D(z, s, 0) = E(z, s)$:

$$D(z, s, \epsilon) = D(z, s, 0) + D^{(1)}(z, s)\epsilon + \frac{D^{(2)}(z, s)}{2!}\epsilon^2 + \cdots . \tag{1.4}$$

Let $s_j = s_j(0)$ be a singular point of multiplicity m. Let $\hat{s}_j(\epsilon)$ be the weighted mean of the branches of the singular points generated by splitting $s_j(0)$ under perturbation, i.e.

$$\hat{s}_j(\epsilon) = \frac{1}{m}\sum_{l=1}^{m} s_{j,l}(\epsilon).$$

The following is the main theorem of this work.

THEOREM 1.1. *Let M be an admissible surface with one cusp. We consider a real analytic, compactly supported perturbation of metrics. Assume that for $k = 0, 1, \ldots, n-1$ the functions $D^{(k)}(z, s)$ are regular at a cuspidal eigenvalue $s_j = 1/2 + \mathrm{i}r_j$ of multiplicity m. Then $D^{(n)}(z, s)$ has at most a first-order pole at s_j.*

(i) *If $D^{(n)}(z, s)$ has a pole at s_j, then the embedded eigenvalue becomes a resonance.*

(ii) *Moreover, with $\|\cdot\|$ the standard L^2-norm,*

$$\Re \hat{s}_j^{(2n)}(0) = -\frac{1}{2m}\binom{2n}{n}\left\| \operatorname*{res}_{s=s_j} D^{(n)}(z, s)\right\|^2. \tag{1.5}$$

COROLLARY 1.2. *At least one of the cusp forms with given s_j becomes a resonance if and only if for some $n \in \mathbb{N}$ the function $D^{(n)}(z, s)$ has a pole at s_j. Therefore, a simple eigenvalue s_j becomes a resonance if and only if for some $n \in \mathbb{N}$ the function $D^{(n)}(z, s)$ has a pole at s_j.*

Remark 1. For $n = 1$ the condition in the theorem is the classical Fermi's golden rule, see (3.7), with $n = 1$. Our method provides a new proof of this well-known result without using energy inner products, see [35], but assuming Theorem 2.2.

Remark 2. The assumptions of the theorem may equivalently be stated as $\Re(s^{(j)}(0)) = 0$ for $j = 1, \ldots, 2n-1$. So in the theorem we are really assuming that the embedded eigenvalue does not become a resonance to order *less* than $2n$. Notice that $s_j^{(m)}(0)$ has to be imaginary for m odd and $m < 2n$, as an odd leading term of $\Re s_j(0)$ would force the singular point to move both to the left and the right of $\Re(s) = 1/2$, which is impossible.

Remark 3. At first glance it may seem that the condition identifies one perturbation object with another, equally unknown. However, the condition

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can surprisingly also be expressed as the non-vanishing at a special point of a Dirichlet series. The relevant series is more complicated than the standard Rankin–Selberg convolution. In the case of character varieties and $n = 2$ this Dirichlet series is

$$\sum_{n=1}^{\infty}\Big(\sum_{k_1+k_2=n}\frac{a_{k_1}}{k_1}\frac{a_{k_2}}{k_2}b_{-n}\Big)\frac{1}{n^s}, \tag{1.6}$$

where a_n are the Fourier coefficients of f, and b_n are the coefficients of u_j.

Moreover, $D^{(n)}(z, s)$ has been the object of intense investigation by Goldfeld, O'Sullivan, Chinta, Diamantis, the authors, Jorgenson *et al* [**6, 12, 15, 16, 20, 29, 31, 32**]. It can be defined for $\Re(s) > 1$ as

$$D^{(n)}(z, s) = \sum_{\gamma\in\Gamma_\infty\backslash\Gamma}\Big(2\pi\mathrm{i}\int_{\mathrm{i}\infty}^{\gamma z}\Re f(w)\,dw\Big)^n\Im(\gamma z)^s, \tag{1.7}$$

where Γ_∞ is the stabilizer of the cusp $\mathrm{i}\infty$. In fact, in [**28, 31**] it was proved that

$$\operatorname*{res}_{s=s_j} D^{(1)}(z, s) = \langle\Delta^{(1)}u_j,\, E(z, 1/2 + \mathrm{i}r_j)\rangle u_j(z),$$

which gives the Phillips–Sarnak condition when one takes the L^2-norm. This motivated us to investigate the residues of $D^{(n)}(z, s)$ and derive Theorem 1.1. The character perturbation setup is analyzed in §4.

Remark 4. This theorem gives an algorithmic method of checking whether in a particular direction of moduli space an embedded eigenvalue becomes a resonance. If $D^{(1)}(z, s)$ is regular at s_j, which is equivalent to the vanishing of the Phillips–Sarnak condition, then the embedded eigenvalue stays an eigenvalue to second order and we need to check the higher-order condition $D^{(2)}(z, s)$. If this is regular, one looks at the next term in the perturbation series of $D(z, s, \epsilon)$ etc.

Remark 5. There is an easy argument that explains why a pole of $D^{(n)}(z, s)$ at s_j forces the embedded eigenvalue to become a resonance. The argument is sketched in §3.1.

Remark 6. We stated Theorem 1.1 only for surfaces with one cusp. While one can easily state it for the case of many cusps, the proof involves intricate linear algebra questions relating to the scattering matrix and the space of Eisenstein series. For simplicity we do not present these here.

In the last section we investigate whether cusp forms could be stable under perturbations along certain paths in the deformation space. Our main result is for groups with certain symmetries e.g. (extensions of) $\Gamma_0(p)$. Even–odd considerations guarantee the existence of Maaß cusp forms for the unperturbed problem. In Theorem 5.6 we give sufficient conditions to ensure that there is *no* path along which a cusp form remains. As a result the cusp form is isolated in the deformation space. If the conditions are not satisfied, the cusp form indeed remains in a specific determined line in the deformation space.

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In this article (§4) we investigate the case of character varieties. The application of Theorem 1.1 to the analysis of Teichmüller deformations will appear in [33].

§2. *Background and preliminaries.* An admissible surface, see [25, 26], is a two dimensional non-compact Riemannian manifold M of finite area with hyperbolic ends, i.e. there is a compact set M_0 such that M has a decomposition

$$M = M_0 \cup \bigcup_{\mathfrak{a}=1}^{\mathfrak{k}} Z_{\mathfrak{a}}$$

and

$$Z_{\mathfrak{a}} \cong S^1 \times [c_{\mathfrak{a}}, \infty), \quad c_{\mathfrak{a}} > 0$$

carries coordinates $(x_{\mathfrak{a}}, y_{\mathfrak{a}}) \in S^1 \times [c_{\mathfrak{a}}, \infty)$ and is equipped with the hyperbolic metric

$$\frac{dx_{\mathfrak{a}}^2 + dy_{\mathfrak{a}}^2}{y_{\mathfrak{a}}^2}.$$

The end $Z_{\mathfrak{a}}$ is called a cusp. Müller [25, 26] has worked out the spectral theory of admissible surfaces. The Laplace operator Δ, defined originally on compactly supported smooth functions, has a unique self-adjoint extension on $L^2(M)$, which we denote by L. The spectrum of L consists of discrete spectrum (eigenvalues $\lambda_j = s_j(1 - s_j)$) with

$$0 = \lambda_0 < \lambda_1 \leqslant \lambda_2 \leqslant \cdots$$

(a finite or infinite set accumulating at ∞) and continuous spectrum $[1/4, \infty)$ of multiplicity $\mathfrak{k}$, provided by generalized eigenfunctions $E_{\mathfrak{a}}(z, s)$. These can be constructed as in [9, 26] and, only in the special case of hyperbolic surfaces, are given by series of the type

$$E(z, s) = \sum_{\gamma \in \Gamma_\infty \backslash \Gamma} \Im(\gamma z)^s.$$

We will call the generalized eigenfunctions Eisenstein series.

Each $E_{\mathfrak{a}}(z, s)$:

(1) admits meromorphic continuation to $\mathbb{C}$ with poles in $\Re(s) < 1/2$ or on the interval $(1/2, 1]$;

(2) satisfies the eigenvalue equation

$$\Delta E_{\mathfrak{a}}(z, s) + s(1 - s)E_{\mathfrak{a}}(z, s) = 0; \quad \text{and}$$

(3) satisfies the functional equation

$$E_{\mathfrak{a}}(z, s) = \sum_{\mathfrak{b}=1}^{\mathfrak{k}} \phi_{\mathfrak{a}\mathfrak{b}}(s) E_{\mathfrak{b}}(z, 1 - s)$$

for some functions $\phi_{\mathfrak{a}\mathfrak{b}}(s)$. As usual the determinant of the scattering matrix $\Phi(s) = (\phi_{\mathfrak{a}\mathfrak{b}}(s))_{\mathfrak{a},\mathfrak{b}=1}^{\mathfrak{k}}$ is denoted $\phi(s)$. The poles of $\phi(s)$ are called resonances.

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The scattering matrix satisfies a functional equation $\Phi(s)\Phi(1-s) = I_{\mathfrak{k}\times\mathfrak{k}}$, and, moreover,

$$\Phi(\bar{s}) = \overline{\Phi(s)}, \qquad \Phi(s)^* = \Phi(\bar{s}). \tag{2.1}$$

The resolvent of the Laplace operator $R(s) = (\Delta + s(1-s))^{-1}$ defined on $L^2(M)$ for $\Re(s) > 1/2$, $s \notin \mathrm{spec}(L)$, admits a meromorphic continuation to $\mathbb{C}$, if we restrict the domain to a smaller space, e.g. $C_c^\infty(M)$, compactly supported functions on M, and enlarge the target as well; see [27, Theorem 1] for a more precise statement. The limiting absorption principle holds: i.e. the resolvent kernel (Green's function) $r(z, z', s)$ satisfies

$$r(z, z', s) - r(z, z', 1-s) = \frac{1}{1-2s} \sum_{\mathfrak{a}=1}^{\mathfrak{k}} E_{\mathfrak{a}}(z, s) E_{\mathfrak{a}}(z', 1-s). \tag{2.2}$$

At a spectral point s_j with eigenvalue $s_j(1-s_j) > 1/4$ the resolvent kernel has a pole described by the Laurent expansion

$$r(z, z', s) = \frac{P}{s(1-s) - s_j(1-s_j)} + \cdots, \tag{2.3}$$

where P is the spectral projection to the eigenspace with eigenvalue $s_j(1-s_j)$.

An admissible surface has generically finitely many discrete eigenvalues, a result due to Colin de Verdière [9], and a consequence of the infinite dimensionality of the admissible metrics i.e. arbitrary metrics on M_0. Determining the number of eigenvalues is much trickier if we demand that M is hyperbolic, as the Teichmüller space is finite dimensional. However, the perturbation setup works in the case of admissible surfaces and they appear as a technical device in Teichmüller perturbations.

We are interested in perturbations of the Laplace operator L on M. The simplest kind of such arises from a perturbation of the Riemannian metric inside M_0 (compact perturbations). Let $\epsilon \in (-\epsilon_0, \epsilon_0)$. Let $g(\epsilon)$ be a real analytic family of metrics on M, with $g(\epsilon) = g(0)$ on $M \setminus M_0$. The Laplacian then admits a real analytic expansion

$$L(\epsilon) = L(0) + \epsilon L^{(1)} + \frac{\epsilon^2}{2} L^{(2)} + \cdots.$$

As the family of metrics agrees with $g(0)$ up in the cusps, the Laplacian does not change up in the cusps and the operators $L^{(i)}$ are compactly supported operators, since $L^{(i)} f$ has support in M_0 for every (smooth) function f. We denote by $D(z, s, \epsilon)$ any of the generalized eigenfunctions $E_{\mathfrak{a}}(z, s, \epsilon)$ of $L(\epsilon)$.

THEOREM 2.1. *For a real analytic, compactly supported perturbation of metrics on an admissible surface the family of generalized eigenfunctions $D(z, s, \epsilon)$ is real analytic in ϵ for $\epsilon \in (-\epsilon_0, \epsilon_0)$ and meromorphic in $s \in \mathbb{C} \setminus \{1/2\}$. The nth derivative in ϵ is given by*

$$D^{(n)}(z, s) = -R(s) \sum_{i=1}^{n} \binom{n}{i} L^{(i)} D^{(n-i)}(z, s). \tag{2.4}$$

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Proof (Sketch). The real analyticity follows from the construction of the generalized eigenfunctions $D(z, s, \epsilon)$ using pseudo-Laplacians and the fact that the construction can be differentiated at every step in ϵ. This is explained (for the first derivative at least) in [**31**], see also [**5**]. The formula for $D^{(n)}(z, s)$ can be proved by differentiating $(L(\epsilon) + s(1-s))D(z, s, \epsilon) = 0$ to obtain

$$\sum_{i=0}^{n} \binom{n}{i} \frac{d^i}{d\epsilon^i}(L(\epsilon) + s(1-s))\Big|_{\epsilon=0} D^{(n-i)}(z, s) = 0.$$

Then we isolate the term $D^{(n)}(z, s)$ using $R(s)$ for $\Re(s) > 1/2$. Since the operators $L^{(i)}$ are compactly supported, the resolvent is applied to a compactly supported function and the right-hand side of (2.4) can be meromorphically continued to $\mathbb{C}$. The identity (2.4) holds on $\mathbb{C}$ by the principle of analytic continuation. □

If we expand $E_{\mathfrak{a}}(z, s)$ in the cusp $Z_{\mathfrak{b}}$, the zero Fourier coefficient takes the form

$$\delta_{\mathfrak{a}\mathfrak{b}} y_{\mathfrak{b}}^{s} + \phi_{\mathfrak{a}\mathfrak{b}}(s) y_{\mathfrak{b}}^{1-s}.$$

It follows from Theorem 2.1 that, for $s \neq 1/2$, $\phi_{\mathfrak{a}\mathfrak{b}}(s, \epsilon)$ is also real analytic in ϵ, since

$$\phi_{\mathfrak{a}\mathfrak{b}}(s, \epsilon) = \frac{1}{y_{\mathfrak{b}}^{1-s}} \left(\int_0^1 E_{\mathfrak{a}}(z_{\mathfrak{b}}, s, \epsilon)\, dx_{\mathfrak{b}} - \delta_{\mathfrak{a}\mathfrak{b}} y_{\mathfrak{b}}^{s} \right).$$

The singular set σ includes the embedded eigenvalues and resonances at the same time. For a complete definition, look at [**26**, Definition 5.16, p. 287], where it is called a resonance set. The only points in the singular set σ off the real axis are:

(1) s_j with $s_j(1-s_j)$ an embedded eigenvalue counted with its multiplicity; and
(2) resonances s_j counted with multiplicity the order of the pole of the scattering determinant at s_j.

For the points in $[0, 1] \cap \sigma$, see [**26, 35**]. Note that in [**35**] the singular set is defined as $i(1/2 - \sigma)$. A point in the singular set is called singular.

The important theorem about the singular set needed is the following theorem.

THEOREM 2.2 [**35**, Corollary 5.2]. *If $s_j(0)$ is in the singular set $\sigma(0)$ for $\epsilon = 0$ and has multiplicity one, then it moves real analytically in ϵ for $|\epsilon|$ sufficiently small. If the multiplicity is greater than one, then the singular points decompose into a finite system of real analytic functions having at most algebraic singularities.*

In the setting of compact perturbations of admissible surfaces, Müller [**26**] proved the same statement. These results use the family of cut-off wave operators $B(\epsilon)$ and follow from standard perturbation theory, once it is proved that the resolvent $R_{B(\epsilon)}(s)$ is real analytic for $|\epsilon|$ sufficiently small. The technically

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difficult aspect of [35] is the identification of the spectrum of $B(\epsilon)$ with the singular set $\sigma(\epsilon)$.

§3. *Dissolving conditions of higher order.*

3.1. *Poles of $D^{(n)}(z, s)$, and dissolving cusp forms.*

Note 2. From this section onwards we restrict ourselves to the case that M has one cusp, i.e. $\mathfrak{k} = 1$.

Before we prove Theorem 1.1, we indicate an argument that explains why a singularity of $D^{(n)}(z, s)$ at s_j is connected to dissolving cusp forms. For simplicity we consider hyperbolic surfaces so that the generalized eigenfunction $D(z, s, \epsilon)$ is an Eisenstein series. Let us assume that $D^{(k)}(z, s)$ is regular at s_j for $k = 1, \dots, n-1$. Assume u_j is a simple cusp form and that $u_j(\epsilon)$ remains a cusp form with $u_j(0) = u_j$. It is known that cusp forms are perpendicular to the Eisenstein series $D(z, s, \epsilon)$ for all s. This gives

$$\langle u_j(\epsilon),\, D(z, s, \epsilon)\rangle = 0. \tag{3.1}$$

Phillips and Sarnak [35] proved the real analyticity of $u_j(\epsilon)$. We differentiate (3.1) to obtain

$$\sum_{k=0}^{n} \binom{n}{k} \langle u_j^{(n-k)},\, D^{(k)}(z, s)\rangle = 0$$

for s close to s_j. By the assumptions, the term with $k = n$ should be a regular function at s_j. Under the same assumptions, using (2.4) and (2.3) we see that $D^{(n)}(z, s)$ has at most a first-order pole at s_j with residue a multiple of $u_j(0)$. By regularity of $\langle u_j, D^{(n)}(z, s)\rangle$ this residue has to vanish. This approach does not prove Corollary 1.2 but shows the sufficiency of the condition that some $D^{(n)}(z, s)$ has a pole to conclude that s_j becomes a resonance. Corollary 1.2 shows that this is also necessary.

3.2. *Polar structure of the Taylor coefficients of $\phi(s, \epsilon)$.* Since the singular set is defined partly though the poles of $\phi(s)$, we now investigate the perturbation series of $\phi(s, \epsilon)$, in order to track the singular points as ϵ varies.

The functional equation for $D(z, s, \epsilon)$ is

$$D(z, s, \epsilon) = \phi(s, \epsilon) D(z, 1-s, \epsilon). \tag{3.2}$$

Since $D(z, s, \epsilon)$ is real analytic in ϵ the same is true for $\phi(s, \epsilon)$ and we may introduce the perturbation series of the scattering matrix $\phi(s, \epsilon)$:

$$\phi(s, \epsilon) = \phi(s, 0) + \phi^{(1)}(s)\epsilon + \frac{\phi^{(2)}(s)}{2!}\epsilon^2 + \cdots .$$

We differentiate (3.2) to identify the perturbation coefficients of $\phi(s, \epsilon)$:

$$D^{(n)}(z, s) = \sum_{i=0}^{n} \binom{n}{i} \phi^{(i)}(s) D^{(n-i)}(z, 1-s). \tag{3.3}$$

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PROPOSITION 3.1. *The perturbation coefficients of the scattering matrix are given by*

$$\phi^{(n)}(s) = \frac{1}{2s-1}\int_M E(z,s)\sum_{i=1}^{n}\binom{n}{i}L^{(i)}D^{(n-i)}(z,s)\,d\mu(z), \quad n \geqslant 1.$$

Proof. The proof is already in [**37**]. We include the argument here. We proceed by induction. By using first (2.4) and then (2.2) we find that

$$\begin{aligned}
D^{(1)}(z,s) &= -R(s)L^{(1)}E(z,s)\\
&= -R(1-s)L^{(1)}E(z,s)\\
&\quad + \frac{1}{2s-1}\int_M E(z',s)L^{(1)}E(z',s)\,d\mu(z')E(z,1-s)\\
&= \phi(s)(-R(1-s)L^{(1)}E(z,1-s))\\
&\quad + \frac{1}{2s-1}\int_M E(z',s)L^{(1)}E(z',s)\,d\mu(z')E(z,1-s)\\
&= \phi(s)D^{(1)}(z,1-s)\\
&\quad + \frac{1}{2s-1}\int_M E(z',s)L^{(1)}E(z',s)\,d\mu(z')E(z,1-s).
\end{aligned}$$

From (3.3) we know that

$$D^{(1)}(z,s) = \phi^{(1)}(s)E(z,1-s) + \phi(s)D^{(1)}(z,1-s),$$

and since $E(z,1-s)$ does not vanish identically, we obtain the result for $n=1$.

Assume the formula has been proved for $m<n$. Using (2.4) and (2.2) we obtain

$$\begin{aligned}
D^{(n)}(z,s) &= -R(1-s)\sum_{i=1}^{n}\binom{n}{i}L^{(i)}D^{(n-i)}(z,s)\\
&\quad + \frac{1}{2s-1}\left(\int_M E(z,s)\sum_{i=1}^{n}\binom{n}{i}L^{(i)}D^{(n-i)}(z,s)\,d\mu(z)\right)\\
&\quad \times E(z,1-s)\\
&= -R(1-s)\sum_{i=1}^{n}\binom{n}{i}L^{(i)}\sum_{k=0}^{n-i}\binom{n-i}{k}\phi^{(k)}(s)D^{(n-i-k)}(z,1-s)\\
&\quad + Q(z,s)\\
&= -R(1-s)\sum_{k=0}^{n-1}\phi^{(k)}(s)\sum_{i=1}^{n-k}\binom{n}{k}\binom{n-k}{i}L^{(i)}D^{(n-i-k)}(z,1-s)\\
&\quad + Q(z,s)\\
&= \sum_{k=0}^{n-1}\binom{n}{k}\phi^{(k)}(s)\left(-R(1-s)\sum_{i=1}^{n-k}\binom{n-k}{i}L^{(i)}D^{(n-k-i)}(z,1-s)\right)\\
&\quad + Q(z,s)\\
&= \sum_{k=0}^{n-1}\binom{n}{k}\phi^{(k)}(s)D^{(n-k)}(z,1-s) + Q(z,s),
\end{aligned}$$

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where

$$Q(z,s)=\frac{1}{2s-1}\int_M E(z',s)\sum_{i=1}^{n}\binom{n}{i}L^{(i)}D^{(n-i)}(z',s)\,d\mu(z')E(z,1-s).$$

Comparing with (3.3), we obtain that

$$\phi^{(n)}(s)=\frac{1}{2s-1}\int_M E(z,s)\sum_{i=1}^{n}\binom{n}{i}L^{(i)}D^{(n-i)}(z,s)\,d\mu(z),$$

which finishes the proof. □

Proposition 3.1 allows us to recover all the scattering terms in terms of the perturbed Eisenstein series. However, for $\phi^{(n)}(s)$ one uses information for $D^{(j)}(z,s)$ with j up to n. For our purposes this is *not* good enough. The following technical yet important proposition allows us to use fewer $D^{(j)}(z,s)$.

PROPOSITION 3.2. *The perturbed terms of the scattering function* $\phi^{(n)}(s)$ *are given for* $i=1,2,\dots,n-1$ *by*

$$\begin{aligned}(2s-1)\phi^{(n)}(s)=&\binom{n}{i}\left\langle\sum_{k=1}^{n-i}\binom{n-i}{k}L^{(k)}D^{(n-i-k)}(z,s),\,D^{(i)}(z,\bar{s})\right\rangle\\&+\sum_{k=i+1}^{n}\binom{n}{k}\left\langle D^{(n-k)}(z,s),\sum_{m=0}^{i-1}\binom{k}{m}L^{(k-m)}D^{(m)}(z,\bar{s})\right\rangle.\end{aligned}\tag{3.4}$$

Proof. To simplify the notation we suppress z and s and $\bar{s}$ in the inner products. It will be understood that the terms on the left of $\langle\cdot,\cdot\rangle$ should carry s and the one on the right should have $\bar{s}$. Note that for any functions f,g we have $\langle R(s)f,g\rangle=\langle f,R(\bar{s})g\rangle$, since $R(s)^*=R(\bar{s})$. Moreover, since $L(\epsilon)$ are self-adjoint, the same applies to $L^{(j)}$. Even if the Eisenstein series are not in L^2, since $L^{(j)}$ are compactly supported, we can easily justify the integration by parts in the following calculation. We have from Proposition 3.1 and equation (2.4)

$$\begin{aligned}(2s-1)\phi^{(n)}(s)&=\left\langle\sum_{i=1}^{n}\binom{n}{i}L^{(i)}D^{(n-i)},\,E\right\rangle\\&=\binom{n}{1}\langle D^{(n-1)},L^{(1)}E\rangle+\sum_{i=2}^{n}\binom{n}{i}\langle D^{(n-i)},L^{(i)}E\rangle\\&=\binom{n}{1}\left\langle\sum_{k=1}^{n-1}\binom{n-1}{k}(-R)L^{(k)}D^{(n-1-k)},\,L^{(1)}E\right\rangle\\&\quad+\sum_{i=2}^{n}\binom{n}{i}\langle D^{(n-i)},L^{(i)}E\rangle\end{aligned}$$

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$$
\begin{aligned}
&= \binom{n}{1}\left\langle \sum_{k=1}^{n-1} \binom{n-1}{k} L^{(k)} D^{(n-1-k)},\, -RL^{(1)}E \right\rangle \\
&\quad + \sum_{i=2}^{n} \binom{n}{i} \langle D^{(n-i)},\, L^{(i)}E \rangle \\
&= \binom{n}{1}\left\langle \sum_{k=1}^{n-1} \binom{n-1}{k} L^{(k)} D^{(n-1-k)},\, D^{(1)} \right\rangle \\
&\quad + \sum_{k=2}^{n} \binom{n}{k} \langle D^{(n-k)},\, L^{(k)}E \rangle .
\end{aligned}
$$

This shows (3.4) for $i = 1$. Assume now that we proved it for a given i. We separate the terms with $k = 1$ and $k = i + 1$ in (3.4) and group them together to obtain

$$
\begin{aligned}
(2s-1)\phi^{(n)}(s) &= \binom{n}{i}\left\langle \binom{n-i}{1} L^{(1)} D^{(n-i-1)},\, D^{(i)} \right\rangle \\
&\quad + \binom{n}{i+1}\left\langle D^{(n-(i+1))},\, \sum_{m=0}^{i-1} \binom{i+1}{m} L^{(i+1-m)} D^{(m)} \right\rangle \\
&\quad + \binom{n}{i}\left\langle \sum_{k=2}^{n-i} \binom{n-i}{k} L^{(k)} D^{(n-i-k)},\, D^{(i)} \right\rangle \\
&\quad + \sum_{k=i+2}^{n} \binom{n}{k}\left\langle D^{(n-k)},\, \sum_{m=0}^{i-1} \binom{k}{m} L^{(k-m)} D^{(m)} \right\rangle .
\end{aligned}
$$

We use the obvious identity for binomial coefficients

$$
\binom{n}{i}\binom{n-i}{1} = \binom{n}{i+1} \cdot (i+1)
$$

and bump the summation variable by i in the third sum to obtain

$$
\begin{aligned}
&\binom{n}{i+1}\left\langle D^{(n-(i+1))},\, (i+1)L^{(1)}D^{(i)} + \sum_{m=0}^{i-1} \binom{i+1}{m} L^{(i+1-m)} D^{(m)} \right\rangle \\
&\quad + \sum_{k=i+2}^{n} \binom{n}{i}\binom{n-i}{k-i} \langle L^{(k-i)} D^{(n-k)},\, D^{(i)} \rangle \\
&\quad + \sum_{k=i+2}^{n} \binom{n}{k}\left\langle D^{(n-k)},\, \sum_{m=0}^{i-1} \binom{k}{m} L^{(k-m)} D^{(m)} \right\rangle .
\end{aligned}
$$

We use

$$
\binom{n}{i}\binom{n-i}{k-i} = \binom{n}{k}\binom{k}{i}
$$

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and (2.4) to see that the expression is now

$$\begin{aligned}\binom{n}{i+1}\Big\langle \sum_{k=1}^{n-i-1}\binom{n-i-1}{k}L^{(k)}D^{(n-i-1-k)},\\ -R\Big(\sum_{m=0}^{i}\binom{i+1}{m}L^{(i+1-m)}D^{(m)}\Big)\Big\rangle\\ +\sum_{k=i+2}^{n}\binom{n}{k}\binom{k}{i}\langle L^{(k-i)}D^{(n-k)},\, D^{(i)}\rangle\\ +\sum_{k=i+2}^{n}\binom{n}{k}\Big\langle D^{(n-k)},\sum_{m=0}^{i-1}\binom{k}{m}L^{(k-m)}D^{(m)}\Big\rangle.\end{aligned}$$

We use (2.4) again to obtain

$$\begin{aligned}\binom{n}{i+1}\Big\langle \sum_{k=1}^{n-i-1}\binom{n-i-1}{k}L^{(k)}D^{(n-i-1-k)},\, D^{(i+1)}\Big\rangle\\ +\sum_{k=i+2}^{n}\binom{n}{k}\Big(\binom{k}{i}\langle L^{(k-i)}D^{(n-k)},\, D^{(i)}\rangle\\ +\Big\langle D^{(n-k)},\sum_{m=0}^{i-1}\binom{k}{m}L^{(k-m)}D^{(m)}\Big\rangle\Big).\end{aligned}$$

Finally we obtain

$$\begin{aligned}(2s-1)\phi^{(n)}(s)=\binom{n}{i+1}\Big\langle \sum_{k=1}^{n-i-1}\binom{n-i-1}{k}L^{(k)}D^{(n-i-1-k)},\, D^{(i+1)}\Big\rangle\\ +\sum_{k=i+2}^{n}\binom{n}{k}\Big\langle D^{(n-k)},\sum_{m=0}^{i}\binom{k}{m}L^{(k-m)}D^{(m)}\Big\rangle.\end{aligned}$$

□

We can now use Proposition 3.4 to translate information about $D^{(i)}(z,s)$ at s_j into information about $\phi^{(k)}(s)$ at s_j.

THEOREM 3.3. *In the setup of Theorem 1.1 assume that $D^{(q)}(z,s)$ is regular at $s_j=1/2+\mathrm{i}r_j$ for $q=0,1,\dots,n-1$. Then:*

(i) *the function $\phi^{(l)}(s)$ is regular at s_j for $l=0,1,\dots,2n-1$;*

(ii) *the function $\phi^{(2n)}(s)$ has at most a simple pole at s_j. Furthermore the residue at s_j is given by*

$$\operatorname*{res}_{s=s_j}\phi^{(2n)}(s)=-\phi(s_j)\binom{2n}{n}\Big\|\operatorname*{res}_{s=s_j}D^{(n)}(z,s)\Big\|^2.$$

Proof. We take $n=l$ for the various values of $l\leqslant 2n$ in Proposition 3.2. Assume first that $l<2n$ and let in Proposition 3.2 the integer i be the integral

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part of $l/2$. Then $i < n$ and $l - i - 1 < n$ and therefore, by the assumption on $D^{(q)}(z, s)$ for $q = 0, 1, \ldots, n-1$, we see immediately—using the expression on the right of (3.4)—that $\phi^{(l)}(s)$ is regular at s_j.

To prove the claim about $\phi^{(2n)}(s)$ we choose the integer i in Proposition 3.2 to equal n. By (3.4) and the assumptions on $D^{(q)}(z, s)$ we see that $\phi^{(2n)}(s)$ has at most a simple pole at s_j and that the residue is given by

$$\frac{1}{2s_j - 1}\binom{2n}{n}\int_M \sum_{k=1}^{n}\binom{n}{k} L^{(k)} D^{(n-k)}(z, s_j) \operatorname*{res}_{s=s_j} D^{(n)}(z, s)\, d\mu(z). \tag{3.5}$$

From (3.3) and the assumptions on $D^{(q)}(z, s)$ we obtain that

$$\operatorname*{res}_{s=s_j} D^{(n)}(z, s_j) = \phi(s_j) \operatorname*{res}_{s=s_j} D^{(n)}(z, 1 - s).$$

Since $D^{(n)}(z, s) = \overline{D^{(n)}(z, \bar{s})}$ we have also, since $1 - \overline{s_j} = s_j$, that

$$\operatorname*{res}_{s=s_j} D^{(n)}(z, 1 - s) = -\overline{\operatorname*{res}_{s=s_j} D^{(n)}(z, s)},$$

and therefore

$$\operatorname*{res}_{s=s_j} D^{(n)}(z, s) = -\phi(s_j)\overline{\operatorname*{res}_{s=s_j} D^{(n)}(z, s)}. \tag{3.6}$$

From (2.4) and (2.3) we see that

$$\operatorname*{res}_{s=s_j} D^{(n)}(z, s) = \frac{1}{2s_j - 1}\sum_{i=1}^{m}\left\langle \sum_{k=1}^{n}\binom{n}{k} L^{(k)} D^{(n-k)}(z, s_j), u_{j,i}\right\rangle u_{j,i}, \tag{3.7}$$

where $\{u_{j,i}\}$ is an orthonormal basis for the eigenspace for the eigenvalue $s_j(1 - s_j)$. Inserting this in (3.5) (after first using (3.6)) we find that $\operatorname{res}_{s=s_j} \phi^{(2n)}(s)$ is given by

$$-\frac{\phi(s_j)}{|2s_j - 1|^2}\binom{2n}{n}\sum_{i=1}^{m}\left|\left\langle \sum_{k=1}^{n}\binom{n}{k} L^{(k)} D^{(n-k)}(z, s_j), u_{j,i}\right\rangle\right|^2 \tag{3.8}$$

which is easily seen to be the claimed result comparing (3.7). □

Remark 7. We need one more ingredient about $\phi(s, \epsilon)$ before proving Theorem 1.1. Since $\phi(s, \epsilon) = \overline{\phi(\bar{s}, \epsilon)}$ we deduce that

$$\frac{\phi'(s, \epsilon)}{\phi(s, \epsilon)} = \overline{\left(\frac{\phi'(\bar{s}, \epsilon)}{\phi(\bar{s}, \epsilon)}\right)}, \tag{3.9}$$

where $'$ denotes derivative in the s variable, as is standard in the Selberg theory of the trace formula. This follows from the fact that for an analytic function f we have

$$\frac{d}{ds}\overline{f(\bar{s})} = \overline{f'(\bar{s})}.$$

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We now prove Theorem 1.1 and Corollary 1.2.

Proof of Theorem 1.1. We want to track the movement of the embedded eigenvalue/resonance in the left half-plane. We define Γ to be the semicircular contour $\gamma_1(t) = ue^{it} + s_j$, $\pi/2 \leqslant t \leqslant 3\pi/2$ followed by the vertical segment $\gamma_2(t) = s_j + it$, $-u \leqslant t \leqslant u$. Here u is chosen small enough, so that the only singular point for $\epsilon = 0$ inside the ball $B(s_j, u)$ is s_j with multiplicity $m = m(s_j)$. This contour is traversed counterclockwise. For small enough ϵ the total multiplicities of the singular points $s_j(\epsilon)$ inside $B(s_j, u)$ is $m(s_j)$. Perturbation theory allows us to study the weighted mean $\hat{s}(\epsilon)$ of the branches of eigenvalues of $B(\epsilon)$. We have

$$m(\hat{s}(\epsilon) - s_j) = -\frac{1}{2\pi i}\int_\Gamma (s - s_j)\frac{\phi'(s,\epsilon)}{\phi(s,\epsilon)}\,ds + \sum_{j\in C}(s_j(\epsilon) - s_j), \tag{3.10}$$

where C is indexing the cusp forms eigenbranches inside $B(s_j, u)$, i.e. the cusp forms that remain cusp forms. Let the last sum be denoted by $p(\epsilon)$. The reason for using Γ and not the whole $\partial B(s_j, u)$ is that on the right half-disc $\phi(\epsilon)$ has zeros, which we do not want to count. Notice that $\overline{\int_\gamma f(s)\,ds} = \int_{\bar\gamma} \bar f(\bar s)\,ds$ and, therefore, by (3.9)

$$\begin{aligned} m(\overline{\hat{s}(\epsilon) - s_j}) &= \frac{1}{2\pi i}\int_{\bar\Gamma}(s - \bar s_j)\overline{\left(\frac{\phi'(\bar s,\epsilon)}{\phi(\bar s,\epsilon)}\right)}\,ds + \overline{p(\epsilon)} \\ &= \frac{1}{2\pi i}\int_{\bar\Gamma}(s - \bar s_j)\frac{\phi'(s,\epsilon)}{\phi(s,\epsilon)}\,ds + \overline{p(\epsilon)}. \end{aligned}$$

Denoting by $-\gamma$ the contour γ traversed in the opposite direction, we obtain

$$\begin{aligned} m(\overline{\hat{s}(\epsilon) - s_j}) &= -\frac{1}{2\pi i}\int_{-\bar\Gamma}(s - \bar s_j)\frac{\phi'(s,\epsilon)}{\phi(s,\epsilon)}\,ds + \overline{p(\epsilon)} \\ &= -\frac{1}{2\pi i}\int_{T^{-1}(-\bar\Gamma)}(1 - w - \bar s_j)\frac{\phi'(1-w,\epsilon)}{\phi(1-w,\epsilon)}\,(-dw) + \overline{p(\epsilon)}, \end{aligned}$$

where $s = T(w) = 1 - w$ is a conformal map. By the functional equation $\phi(s,\epsilon)\phi(1-s,\epsilon) = 1$, see (2.1), we obtain

$$\phi'(s,\epsilon)\phi(s,\epsilon) - \phi(s,\epsilon)\phi'(1-s,\epsilon) = 0,$$

which implies

$$\frac{\phi'(s,\epsilon)}{\phi(s,\epsilon)} = \frac{\phi'(1-s,\epsilon)}{\phi(1-s,\epsilon)}.$$

We plug this into the expression for $m(\overline{\hat{s}(\epsilon) - s_j})$ to obtain

$$m(\overline{\hat{s}(\epsilon) - s_j}) = -\frac{1}{2\pi i}\int_{T^{-1}(-\bar\Gamma)}(w - s_j)\frac{\phi'(w,\epsilon)}{\phi(w,\epsilon)}\,dw + \overline{p(\epsilon)}. \tag{3.11}$$

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We sum (3.10) and (3.11) and notice that the cuspidal branch contributions cancel, because for a cuspidal branch $s_{j,l}(\epsilon)$ the function $s_{j,l}(\epsilon) - s_j$ is purely imaginary. We deduce that

$$\begin{aligned} 2m\Re(\hat{s}(\epsilon) - s_j) &= -\frac{1}{2\pi \mathrm{i}} \int_{\Gamma + T^{-1}(-\bar{\Gamma})} (s - s_j) \frac{\phi'(s,\epsilon)}{\phi(s,\epsilon)}\, ds \\ &= -\frac{1}{2\pi \mathrm{i}} \int_{\partial B(s_j,u)} (s - s_j) \frac{\phi'(s,\epsilon)}{\phi(s,\epsilon)}\, ds, \end{aligned} \tag{3.12}$$

since the contribution from the line segment on $\Re(s) = 1/2$ from Γ and $T^{-1}(-\bar{\Gamma})$ cancel. By uniform convergence we can differentiate the last formula in ϵ. We obtain

$$\begin{aligned} 2m \frac{d^{2n}}{d\epsilon^{2n}} \Re(\hat{s}(\epsilon)) \Big|_{\epsilon=0} &= -\frac{1}{2\pi \mathrm{i}} \int_{\partial B(s_j,u)} (s - s_j) \frac{d^{2n}}{d\epsilon^{2n}} \left(\frac{\phi'(s,\epsilon)}{\phi(s,\epsilon)} \right) \Big|_{\epsilon=0} ds \\ &= -\frac{1}{2\pi \mathrm{i}} \int_{\partial B(s_j,u)} (s - s_j) \sum_{k=0}^{2n} \binom{2n}{k} \frac{d^k \phi'(s,\epsilon)}{d\epsilon^k} \Big|_{\epsilon=0} \\ &\qquad \times \frac{d^{2n-k}(\phi(s,\epsilon)^{-1})}{d\epsilon^{2n-k}} \Big|_{\epsilon=0} ds. \end{aligned} \tag{3.13}$$

We can interchange the order of differentiation

$$\frac{d^k}{d\epsilon^k} \phi'(s,\epsilon) = \frac{d}{ds} \phi^{(k)}(s)$$

and see that this is regular at s_j for $k < 2n$ by Theorem 3.3. On the other hand, for $k = 2n$ it has a double pole at s_j by the same theorem. Concerning

$$\frac{d^{2n-k}}{d\epsilon^{2n-k}} \phi(s,\epsilon)^{-1},$$

we argue as follows. We differentiate m times $\phi(s,\epsilon)^{-1}\phi(s,\epsilon) = 1$ to obtain

$$\sum_{k=0}^{m} \binom{m}{k} \frac{d^k}{d\epsilon^k} \phi(s,\epsilon)^{-1} \Big|_{\epsilon=0} \phi^{(m-k)}(s,0) = 0.$$

Let m be less than $2n$. By Theorem 3.3, the fact that $\phi(s)$ is unitary on $\Re(s) = 1/2$, and by solving for $(d^m/d\epsilon^m)\phi(s,\epsilon)^{-1}|_{\epsilon=0}$, we see that $(d^m/d\epsilon^m)\phi(s,\epsilon)^{-1}|_{\epsilon=0}$ is regular at s_j. For $m = 2n$ we see, by the same argument, that $(d^{2n}/d\epsilon^{2n})\phi(s,\epsilon)^{-1}|_{\epsilon=0}$ has at most a simple pole at s_j.

We can now determine the order of the pole of the integrand of the right-hand side in (3.13): by the above considerations we see that the only non-regular term occurs for $k = 2n$. This is the term

$$(s - s_j) \frac{d\phi^{(2n)}(s,0)}{ds} \phi^{-1}(s),$$

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which has at most a simple pole. By the residue theorem the expression in (3.13) equals minus the residue of

$$(s-s_j)\frac{d\phi^{(2n)}(s,0)}{ds}\phi^{-1}(s).$$

Since the leading term in the Laurent expansion of the derivative in s of $\phi^{(2n)}(s,0)$ equals $-(\mathrm{res}_{s=s_j}\phi^{(2n)}(s,0))/(s-s_j)^2$ we conclude that

$$\begin{aligned}2m\frac{d^{2n}}{d\epsilon^{2n}}\Re(\hat{s}(\epsilon))\Big|_{\epsilon=0} &= \frac{\mathrm{res}_{s=s_j}\phi^{(2n)}(s,0)}{\phi(s_j,0)}\\ &= -\binom{2n}{n}\Big\|\operatorname*{res}_{s=s_j}D^{(n)}(z,s)\Big\|^2,\end{aligned}$$

where, in the last equality, we used Theorem 3.3 again. This completes the proof of the theorem. □

Proof of Corollary 1.2. The direction that a pole of some $D^{(m)}(z,s)$ at s_j implies that at least one embedded eigenvalue becomes a resonance is proved as follows: if n is the smallest number such that $D^{(n)}(z,s)$ has a pole at s_j, then from Theorem 1.1 we have that $\Re\hat{s}_j^{(2n)}\neq 0$, while $\Re\hat{s}_j^{(2m)}=0$ for $m<n$. If k is the smallest integer with $\Re\hat{s}_j^{(k)}\neq 0$, then $k=2n$, since an odd leading term in the Taylor series of $\Re\hat{s}_j(\epsilon)$ will force $\Re\hat{s}_j(\epsilon)$ to take values larger and smaller than $1/2$. This is impossible, since a singular point cannot move to the right half-plane. Therefore $\hat{s}_j(\epsilon)$ does not have real part equal to $1/2$ for all small ϵ and one of the cuspidal eigenvalues has to dissolve. The opposite direction is obvious: If all embedded eigenvalues remain embedded eigenvalues, then $\Re\hat{s}_j(\epsilon)=1/2$. This implies that $\Re\hat{s}_j^{(2n)}=0$ for all $n\in\mathbb{N}$. □

§4. *Character varieties.*

4.1. *Higher-order dissolving for character varieties.* We now describe how the above theory can be modified for the twisted spectral problem related to character varieties. Let Γ be a discrete cofinite subgroup of $\mathrm{PSL}_2(\mathbb{R})$ with quotient $M=\Gamma\backslash\mathbb{H}$, where $\mathbb{H}$ is the upper half-plane. For simplicity, we still assume that Γ has precisely one cusp, which we assume is at infinity. Let $f(z)\in S_2(\Gamma)$ be a holomorphic cusp form of weight two. Then $\omega=\Re(f(z)\,dz)$ and $\omega=\Im(f(z)\,dz)$ are harmonic cuspidal 1-forms. Let α be a compactly supported 1-form in the same cohomology class as one of them. For the exact construction see e.g. [**32**, Proposition 2.1]. We fix $z_0\in\mathbb{H}$. Define a family of characters

$$\begin{aligned}\chi(\cdot,\epsilon):\Gamma &\to S^1\\ \gamma &\mapsto \exp\left(-2\pi i\epsilon\int_{z_0}^{\gamma z_0}\alpha\right).\end{aligned}$$

We consider the space

$$\mathrm{L}^2(\Gamma\backslash\mathbb{H},\overline{\chi}(\cdot,\epsilon))$$

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of $(\Gamma, \overline{\chi}(\cdot, \epsilon))$-automorphic functions, i.e. functions $f : \mathbb{H} \to \mathbb{C}$ where

$$f(\gamma z) = \overline{\chi}(\gamma, \epsilon) f(z),$$

and

$$\int_{\Gamma\backslash\mathbb{H}} |f(z)|^2 \, d\mu(z) < \infty.$$

The automorphic Laplacian $\tilde{L}(\epsilon)$ is the closure of the operator acting on smooth functions in $\mathrm{L}^2(\Gamma\backslash\mathbb{H}, \overline{\chi}(\cdot, \epsilon))$ by Δf. We denote its resolvent by $\tilde{R}(s, \epsilon) = (\tilde{L}(\epsilon) + s(1-s))^{-1}$. We introduce unitary operators

$$\begin{aligned} U(\epsilon) : \mathrm{L}^2(\Gamma\backslash\mathbb{H}) &\to \mathrm{L}^2(\Gamma\backslash\mathbb{H}, \overline{\chi}(\cdot, \epsilon)) \\ f &\mapsto \exp\left(2\pi \mathrm{i}\epsilon \int_{z_0}^{z} \alpha\right) f(z). \end{aligned} \tag{4.1}$$

We then define

$$L(\epsilon) = U^{-1}(\epsilon)\tilde{L}(\epsilon)U(\epsilon) \tag{4.2}$$

$$R(s, \epsilon) = U^{-1}(\epsilon)\tilde{R}(s, \epsilon)U(\epsilon). \tag{4.3}$$

The operators $L(\epsilon)$ on $\mathrm{L}^2(\Gamma\backslash\mathbb{H})$ and $\tilde{L}(\epsilon)$ on $\mathrm{L}^2(\Gamma\backslash\mathbb{H}, \overline{\chi}(\cdot, \epsilon))$ are unitarily equivalent. Notice that $L(\epsilon)$ and $R(s, \epsilon)$ act on the fixed space $\mathrm{L}^2(\Gamma\backslash\mathbb{H})$, which allows us to apply perturbation theory. It is easy to verify that

$$L(\epsilon)h = \Delta h + 4\pi \mathrm{i}\epsilon\langle dh, \alpha\rangle - 2\pi \mathrm{i}\epsilon\delta(\alpha)h - 4\pi^2\epsilon^2\langle\alpha, \alpha\rangle h \tag{4.4}$$

$$(L(\epsilon) + s(1-s))R(s, \epsilon) = R(s, \epsilon)(L(\epsilon) + s(1-s)) = I. \tag{4.5}$$

Here

$$\begin{aligned} \langle f_1 dz + f_2 d\overline{z}, g_1 dz + g_2 d\overline{z}\rangle &= 2y^2(f_1\overline{g_1} + f_2\overline{g_2}) \\ \delta(p dx + q dy) &= -y^2(p_x + q_y). \end{aligned}$$

We notice also that

$$L^{(1)}(\epsilon)h = 4\pi \mathrm{i}\langle dh, \alpha\rangle - 2\pi \mathrm{i}\delta(\alpha)h - 8\pi^2\epsilon\langle\alpha, \alpha\rangle h, \tag{4.6}$$

$$L^{(2)}(\epsilon)h = -8\pi^2\langle\alpha, \alpha\rangle h, \tag{4.7}$$

$$L^{(i)}(\epsilon)h = 0, \quad \text{when } i \geqslant 3. \tag{4.8}$$

We notice that $L^{(i)}$ are compactly supported operators and that $\delta(\omega) = 0$ for a harmonic form ω.

We let $E(z, s, \epsilon)$ be the usual Eisenstein series for the system $(\Gamma, \overline{\chi}(\cdot, \epsilon))$ and define the Γ-invariant function

$$D(z, s, \epsilon) = U^{-1}(\epsilon)E(z, s, \epsilon).$$

The Phillips–Sarnak condition for dissolving cusp forms in this setting is:

$$\langle L^{(1)}u_j, E(z, s_j)\rangle \neq 0. \tag{4.9}$$

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The family of operators $L(\epsilon)$ does not arise from an admissible metric, but all the properties described in §2 are well-known, and the proof of the dissolving theorem carries over almost verbatim, so in this case the higher-order analogue of Fermi's golden rule also holds.

THEOREM 4.1. *In the setting of perturbation in character varieties, i.e. a real analytic family of flat line bundles over a hyperbolic surface with one cusp, assume that for $k = 0, 1, \dots, n-1$ the functions $D^{(k)}(z, s)$ are regular close to a cuspidal eigenvalue $s_j = 1/2 + \mathrm{i}r_j$. Then $D^{(n)}(z, s)$ has at most a first-order pole at s_j.*

(i) *If $D^{(n)}(z, s)$ has a pole at s_j, then the embedded eigenvalue becomes a resonance.*

(ii) *Moreover, with $\|\cdot\|$ the standard L^2-norm,*

$$\Re \hat{s}_j^{(2n)}(0) = -\frac{1}{2m}\binom{2n}{n}\left\| \operatorname*{res}_{s=s_j} D^{(n)}(z, s)\right\|^2. \tag{4.10}$$

4.2. *Multiparameter perturbations.* To analyze the higher-order dissolving condition and relate it to a Dirichlet series it is useful to work with families of characters depending on several parameters. To do this we introduce the following notation. Given α_l, $l = 1, \dots, k$ harmonic, compactly supported 1-forms on M, we let $\underline{\alpha} = (\alpha_1, \dots, \alpha_k)$, $\underline{\epsilon} = (\epsilon_1, \dots, \epsilon_k)$ and define

$$D(z, s, \underline{\alpha}) = \sum_{\gamma \in \Gamma_\infty \backslash \Gamma} \prod_{l=1}^{k} \left(\int_{\mathrm{i}\infty}^{\gamma z} \alpha_l \right) \Im(\gamma z)^s. \tag{4.11}$$

Such series have been studied in [**32**, Lemma 2.4], and we give a quick review of some of their properties.

Let

$$\chi(\gamma, \underline{\epsilon}) = \prod_{l=1}^{k} \exp\left(-2\pi \mathrm{i}\epsilon_l \int_{z_0}^{\gamma z_0} \alpha_l \right) \tag{4.12}$$

be the multiparameter character induced from $\underline{\alpha}$. We know from the theory of Eisenstein series (see e.g. [**18**, **19**, **39**]) that

$$E(z, s, \underline{\epsilon}) = \sum_{\gamma \in \Gamma_\infty \backslash \Gamma} \chi(\gamma, \underline{\epsilon}) \Im(\gamma z)^s, \quad \Re(s) > 1$$

admits meromorphic continuation to $\mathbb{C}$ and that it satisfies a functional equation

$$E(z, s, \underline{\epsilon}) = \phi(s, \underline{\epsilon}) E(z, 1-s, \underline{\epsilon}). \tag{4.13}$$

If we let

$$U(\underline{\epsilon}) f = \prod_{l=1}^{k} \exp\left(2\pi \mathrm{i}\epsilon_l \int_{z_0}^{z} \alpha_l \right) f(z) \tag{4.14}$$

we see that when $\Re(s) > 1$

$$D(z, s, \underline{\alpha}) = \frac{\partial^k}{\partial \epsilon_1 \cdots \partial \epsilon_k} U(-\underline{\epsilon}) E(z, s, \underline{\epsilon}) \Big|_{\underline{\epsilon}=\underline{0}}. \tag{4.15}$$

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We have—analogous to the 1-parameter situation described in the beginning of this section—that if $L(\underline{\epsilon}) = U^{-1}(\underline{\epsilon})\tilde{L}(\underline{\epsilon})U(\underline{\epsilon})$ then

$$\begin{aligned} L(\underline{\epsilon})h = \Delta h + 4\pi \mathrm{i} \sum_{l=1}^{k} \epsilon_l \langle dh, \alpha_k \rangle - 2\pi \mathrm{i} \Big(\sum_{l=1}^{k} \epsilon_l \delta(\alpha_k) \Big) h \\ -4\pi^2 \Big(\sum_{l,m=1}^{k} \epsilon_l \epsilon_m \langle \alpha_l, \alpha_m \rangle \Big) h. \end{aligned} \tag{4.16}$$

Using this we arrive at the following theorem.

THEOREM 4.2. *The function $D(z, s, \underline{\alpha})$ admits meromorphic continuation to $\mathbb{C}$. Furthermore it satisfies the following.*

(i) *The poles of $D(z, s, \underline{\alpha})$ are included in the singular set for the surface M, and the pole order at a singular point is at most k.*

(ii) *For $\Re(s) > 1/2$, and s not in the singular set, the function $D(z, s, \underline{\alpha})$ is square integrable and satisfies*

$$\begin{aligned} D(z, s, \underline{\alpha}) = -R(s) \Big(\sum_{l=1}^{k} \partial_{\epsilon_l} L(\underline{\epsilon}) \Big|_{\underline{\epsilon}=\underline{0}} D(z, s, \underline{\alpha}_l) \\ + \sum_{1 \leqslant m < l \leqslant k} \partial_{\epsilon_l, \epsilon_m} L(\underline{\epsilon}) \Big|_{\underline{\epsilon}=\underline{0}} D(z, s, \underline{\alpha}_{l,m}) \Big), \end{aligned}$$

where $\underline{\alpha}_l$ is $\underline{\alpha}$ with the lth component removed. This equation provides the analytic continuation of $D(z, s, \underline{\alpha})$ using the meromorphic continuation of the Green's function. The analytically continued function grows at most polynomially as z tends to a cusp.

(iii) *For $1/2 < \sigma_0 < \Re(s) < \sigma_1$, and s not in the singular set, the function $D(z, s, \underline{\alpha})$ grows at most polynomially as $|\Im(s)| \to \infty$, and z is in a compact set.*

(iv) *The function $D(z, s, \underline{\alpha})$ satisfies a functional equation. This is derived by multiplying (4.13) by $U(-\underline{\epsilon})$ and differentiating both sides, using (4.15).*

Proof. Statements (i), (ii), and (iii) can be found in [**32**], and (iv) follows from differentiation (4.13). See also [**37**]. □

Remark 8. An example of the functional equation in Theorem 4.2(iv) is

$$\begin{aligned} D(z, s, \alpha_1, \alpha_2) = \phi(s, \underline{0}) D(z, 1-s, \alpha_1, \alpha_2) \\ + \partial_{\epsilon_1} \phi(s, \underline{\epsilon})|_{\underline{\epsilon}=\underline{0}} D(z, 1-s, \alpha_2) \\ + \partial_{\epsilon_2} \phi(s, \underline{\epsilon})|_{\underline{\epsilon}=\underline{0}} D(z, 1-s, \alpha_1) \\ + \partial_{\epsilon_2, \epsilon_1} \phi(s, \underline{\epsilon})|_{\underline{\epsilon}=\underline{0}} E(z, 1-s). \end{aligned}$$

Remark 9. We notice that, although Theorem 4.2 concerns $D(z, s, \underline{\alpha})$, where $\alpha = (\alpha_1, \ldots, \alpha_l)$ with α_l compactly supported, we can also handle non-compact but cuspidal cohomology in the following way: since Theorem 4.2 immediately

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gives—through (4.15)—the properties of $(\partial^k/\partial\epsilon_1 \cdots \partial\epsilon_k)E(z, s, \underline{\epsilon})|_{\underline{\epsilon}=\underline{0}}$, which is invariant under shift of α_l within its cohomology class. Since for every $f(z) \in S_2(\Gamma)$ the harmonic 1-form $\Re(f(z)\,dz)$ has a compactly supported form in its cohomology class, we see that Theorem 4.2 provides the analytic properties of the series

$$D^{n_1,\dots,n_k}(z, s, \omega_1, \dots, \omega_k) = \sum_{\gamma\in\Gamma_\infty\backslash\Gamma} \prod_{l=1}^{k} \left(\int_{i\infty}^{\gamma z} \omega_l \right)^{n_l} \Im(\gamma z)^s, \tag{4.17}$$

where ω_i, $i = 1, \dots, k$, are complex or real harmonic cuspidal 1-forms.

We note also that the "differentiated scattering matrices"

$$\partial_{\epsilon_k,\dots,\epsilon_1}\phi(s, \underline{\epsilon})|_{\underline{\epsilon}=\underline{0}}$$

are invariant under shift of α_l within its cohomology class. We shall freely use these connections below.

Remark 10. It is well-known (see e.g. [**18**, p. 218, Remark 61]) that in the one-cusp case the scattering matrix is *even* in the character (i.e. $\phi(s, \chi) = \phi(s, \overline{\chi})$). It follows that

$$\partial_{\epsilon_k,\dots,\epsilon_1}\phi(s, \underline{\epsilon})|_{\underline{\epsilon}=\underline{0}} = 0$$

whenever k is odd.

4.3. *Dissolving and special values of Dirichlet series.* By Theorem 4.1 the Phillips–Sarnak condition for the perturbation induced by ω is equivalent to

$$\operatorname*{res}_{s=s_j} D^1(z, s, \omega) \neq 0.$$

The following lemma identifies situations where the Phillips–Sarnak condition is not satisfied. This is seen as follows.

LEMMA 4.3. *Let M be a finite volume hyperbolic surface of genus g. Let λ be an eigenvalue $>1/4$ of multiplicity m. If $g > 2m$, there exists a holomorphic cusp form $f(z)$ of weight two such that for both perturbations induced by the harmonic 1-forms $\omega_1 = \Re(f(z)\,dz)$ and $\omega_2 = \Im(f(z)\,dz)$ as in (4.4) the Phillips–Sarnak condition for dissolving the eigenvalue λ is* not *satisfied, i.e.*

$$\operatorname*{res}_{s=s_j} D^1(z, s, \omega_i) = 0, \quad i = 1, 2. \tag{4.18}$$

Note that the condition (4.18) implies that $\operatorname{res}_{s=s_j} D^1(z, s, \omega) = 0$ for all ω in the linear complex span of ω_1, ω_2, in particular for $f(z)\,dz$.

Proof. We have $M = \Gamma\backslash\mathbb{H}$ for some discrete cofinite subgroup Γ. Let $(u_l)_{l=1}^m$ be a basis for the eigenspace corresponding to the eigenvalue λ. Consider the linear real map $\Lambda : S_2(\Gamma) \to \mathbb{R}^{4m}$ sending $f \in S_2(\Gamma)$ to

$$\left(\Re\left\langle \operatorname*{res}_{s=s_j} D^{(1)}(z, s, \omega_i), u_l \right\rangle, \Im\left\langle \operatorname*{res}_{s=s_j} D^{(1)}(z, s, \omega_i), u_l \right\rangle \right)_{\substack{i=1,2 \\ l=1,\dots,m}},$$

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which is well-defined by Theorem 4.2(ii). Since $\dim_{\mathbb{R}} S_2(\Gamma) = 2g$, the dimension formula implies that Λ has non-trivial kernel when $2g - 4m > 0$, which gives the required result. □

Remark 11. There are numerically many known examples of surfaces of genus $g > 2$ that have simple eigenvalues. For these Theorem 4.3 can be applied. Moreover, the proof of the lemma shows that the real dimension of the relevant cusp forms f is at least $2g - 4m$. Note however that the kernel of Λ is in fact a complex space since $\omega_1(\mathrm{i}f) = -\omega_2(f)$ and $\omega_2(\mathrm{i}f) = \omega_1(f)$. Hence this space must have complex dimension at least $g - 2m$.

We now introduce a Dirichlet series that plays a major role in investigating the movement of an embedded eigenvalue if the Phillips–Sarnak condition is not satisfied. Let $f(z) = \sum_{n=1}^{\infty} a_n \mathrm{e}^{2\pi \mathrm{i}nz}$ be the Fourier expansion of $f(z)$ at the cusp $\mathrm{i}\infty$. Let

$$u_j(z) = \sum_{n \neq 0} b_n \sqrt{y} K_{s_j - 1/2}(2\pi |n| y) \mathrm{e}^{2\pi \mathrm{i}nx}$$

be the Fourier expansion of u_j, which for simplicity we may assume to be real-valued. We introduce the antiderivative of $f(z)$ as

$$F(z) = \int_{\mathrm{i}\infty}^{z} f(w)\, dw = \sum_{n=1}^{\infty} \frac{a_n}{2\pi \mathrm{i}n} \mathrm{e}^{2\pi \mathrm{i}nz}.$$

We define the Dirichlet series

$$L(u_j \otimes F^2, s) = \sum_{n=1}^{\infty} \left(\sum_{k_1 + k_2 = n} \frac{a_{k_1}}{k_1} \frac{a_{k_2}}{k_2} b_{-n} \right) \frac{1}{n^{s-1/2}}. \tag{4.19}$$

Since a_n, b_n grow at most polynomially in n we easily see that the above series converges absolutely for $\Re(s)$ sufficiently large.

By unfolding and inserting the relevant Fourier expansions we have, for $\Re(s)$ sufficiently large,

$$\begin{aligned}
\langle D^2(z, s, f(z)dz), u_j \rangle &= \int_0^{\infty} \int_0^1 \left(\int_{\mathrm{i}\infty}^{z} f(w)\, dw \right)^2 y^s u_j(z)\, dx \frac{dy}{y^2} \\
&= \int_0^{\infty} \int_0^1 \left(\sum_{n=1}^{\infty} \frac{a_n}{2\pi \mathrm{i}n} \mathrm{e}(nz) \right)^2 y^s \sum_{n \neq 0} b_n \sqrt{y} K_{s_j - 1/2}(2\pi |n| y) \mathrm{e}(nx)\, dx \frac{dy}{y^2} \\
&= \frac{-1}{4\pi^2} \sum_{n=1}^{\infty} \int_0^{\infty} \left(\sum_{k_1 + k_2 = n} \frac{a_{k_1}}{k_1} \frac{a_{k_2}}{k_2} \right) b_{-n} \mathrm{e}^{-2\pi n y} y^{s - 1/2} K_{s_j - 1/2}(2\pi n y) \frac{dy}{y} \\
&= \frac{-1}{4\pi^2} \sum_{n=1}^{\infty} \left(\sum_{k_1 + k_2 = n} \frac{a_{k_1}}{k_1} \frac{a_{k_2}}{k_2} \right) b_{-n} \frac{1}{(2\pi n)^{s-1/2}} \int_0^{\infty} \mathrm{e}^{-t} t^{s - 1/2} K_{s_j - 1/2}(t) \frac{dt}{t} \\
&= \frac{-1}{2^{2s+1} \pi^{s+1}} L(u_j \otimes F^2, s) \frac{\Gamma(s + s_j - 1) \Gamma(s - s_j)}{\Gamma(s)},
\end{aligned} \tag{4.20}$$

where we have used [**17**, 6.621 3]. Using this we can now prove the basic properties of $L(u_j \otimes F^2, s)$.

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PROPOSITION 4.4. *The series $L(u_j \otimes F^2, s)$ admits meromorphic continuation to $s \in \mathbb{C}$ with possible poles on the singular set. The poles are at most of first order. Furthermore we have the following functional equation: let*

$$\Lambda(u_j \otimes F^2, s) = \frac{1}{(4\pi)^s} \frac{\Gamma(s + s_j - 1)\Gamma(s - s_j)}{\Gamma(s)} L(u_j \otimes F^2, s).$$

Then

$$\Lambda(u_j \otimes F^2, s) = \phi(s)\Lambda(u_j \otimes F^2, 1 - s),$$

where $\phi(s)$ is the scattering matrix.

Proof. This follows from (4.20) and the properties of $D^2(z, s, f(z)dz)$ as recorded in Theorem 4.2: since the left-hand side of (4.20) is meromorphic for $s \in \mathbb{C}$ this immediately gives meromorphic continuation of $L(u_j \otimes F^2, s)$ to $s \in \mathbb{C}$. Since

$$D^2(z, s, f(z)dz) = D^2(z, s, \omega_1) - D^2(z, s, \omega_2) + 2\mathrm{i}D^{1,1}(z, s, \omega_1, \omega_2),$$

we have by Theorem 4.2 that $D^2(z, s, f(z)dz) = -R(s)(\psi(z, s))$ where $\psi(z, s)$ has at most a simple pole on the singular set. But then

$$\langle D^2(z, s, f(z)dz), u_j\rangle = \langle \psi(z, s), R(\overline{s})u_j\rangle = \frac{1}{s(1 - s) - \lambda_j}\langle \psi(z, s), u_j\rangle$$

which then holds for $s \in \mathbb{C}$ by meromorphic continuation. Comparing with (4.20) and noticing that $\Gamma(s - s_j)$ has a simple pole at $s = s_j$ we prove that the poles of $L(u_j \otimes F^2, s)$ are all at most simple.

The functional equation in Theorem 4.2(iv) reduces, in this case, to

$$D^2(z, s, f(z)dz) = \phi(s)D^2(z, 1 - s, f(z)dz) + \phi^{(2)}(s, f(z)dz)E(z, 1 - s).$$

The fact that $\langle E(z, s), u_j\rangle = 0$ and equation (4.20) give

$$\frac{1}{4^s\pi^s} L(u_j \otimes F^2, s) \frac{\Gamma(s + s_j - 1)\Gamma(s - s_j)}{\Gamma(s)} = \phi(s) \text{ [same expression evaluated at } 1 - s\text{]}. \tag{4.21}$$

□

We note that in the case of multiple cusps the above functional equation becomes more complicated since in general $\phi^{(1)}(s, f(z)dz)$ can be a non-zero matrix (with diagonal entries equal to zero).

LEMMA 4.5. *Assume (4.18), i.e. that the Phillips–Sarnak condition is not satisfied for the perturbations induced by both ω_i, $i = 1, 2$. Then $L(u_j \otimes F^2, s)$ is regular at $s = s_j$.*

Proof. As in the proof of Proposition 4.4 we have that $D^2(z, s, f(z)dz) = -R(s)(\psi(z, s))$ where $\psi(z, s)$ has at most a simple pole at s_j. But assuming (4.18), it follows easily from Theorem 4.2(ii), that $\psi(z, s)$ is in fact regular since

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the only potential poles would come from $D^1(z, s, \omega_i)$. Therefore, as in the proof of Proposition 4.4, we conclude that $\langle D^2(z, s, f(z)dz), u_j\rangle$ has at most a simple pole at s_j. Comparing with (4.20) and again using that $\Gamma(s - s_j)$ has a simple pole at $s = s_j$ gives the claim. □

THEOREM 4.6. *Assume (4.18), i.e. that the Phillips–Sarnak condition is not satisfied under perturbations induced by both ω_i, $i = 1, 2$, and that $L(u_j \otimes F^2, s_j) \neq 0$. For all directions ω in the real span of ω_1, ω_2 with at most two exceptions we have*

$$\Re\hat{s}_j^{(4)}(0, \omega) \neq 0.$$

In particular there exists a cusp form with eigenvalue $s_j(1 - s_j)$ that is dissolved in this direction.

Proof. The Phillips–Sarnak condition will not be satisfied in the whole span of ω_1, ω_2. Assume that $\Re\hat{s}_j^{(4)}(0, \omega) = 0$ for three distinct directions given by $\eta_k = a_k\omega_1 + b_k\omega_2$, $k = 1, 2, 3$, i.e. $(a_k b_l - a_l b_k) \neq 0$ for $k \neq l$. We have

$$D^2(z, s, \eta_k) = a_k^2 D^2(z, s, \omega_1) + b_k^2 D^2(z, s, \omega_2) + 2a_k b_k D^{1,1}(z, s, \omega_1, \omega_2).$$

We can solve for $D^2(z, s, \omega_1)$, $D^2(z, s, \omega_2)$, and $D^{1,1}(z, s, \omega_1, \omega_2)$ as long as the following determinant is non-zero:

$$\begin{vmatrix} a_1^2 & b_1^2 & 2a_1b_1 \\ a_2^2 & b_2^2 & 2a_2b_2 \\ a_3^2 & b_3^2 & 2a_3b_3 \end{vmatrix} = -2a_1^2a_2^2a_3^2 \begin{vmatrix} 1 & b_1/a_1 & (b_1/a_1)^2 \\ 1 & b_2/a_2 & (b_2/a_2)^2 \\ 1 & b_3/a_3 & (b_3/a_3)^2 \end{vmatrix}$$
$$= 2\prod_{k>l}(a_k b_l - a_l b_k) \neq 0,$$

where we have used the fact that the last matrix is Vandermonde. Since $\Re\hat{s}_j^{(4)}(0, \eta_k) = 0$, it follows from Theorem 1.1 that $D^2(z, s, \eta_k)$ is regular at s_j, and by solving the above system that $D^2(z, s, \omega_1)$, $D^2(z, s, \omega_2)$, and $D^{1,1}(z, s, \omega_1, \omega_2)$ are regular. This implies that

$$D^2(z, s, f(z)dz) = D^2(z, s, \omega_1) - D^2(z, s, \omega_2) + 2iD^{1,1}(z, s, \omega_1, \omega_2)$$

is regular also at $s = s_j$. By (4.20) it follows that $L(u_j \otimes F^2, s)$ must have a zero at s_j, since the Gamma factor $\Gamma(s - s_j)$ has a pole at that point. This contradicts the assumption of the theorem. □

Remark 12. We note that the Dirichlet series

$$D(s) = \sum_{n=1}^{\infty}\sum_{j=1}^{n-1} \frac{a_{n-j}a_j}{j}\frac{1}{n^s} = \frac{1}{2}\sum_{n=1}^{\infty}\sum_{j=1}^{n-1} \frac{a_{n-j}a_j}{(n-j)j}\frac{1}{n^{s-1}}$$

was recently studied by Diamantis *et al* [**7, 8**]. The series $L(u_j \otimes F^2, s)$ (see 4.19) has the structure of a Rankin–Selberg convolution between $D(s)$ and the L-function for u_j.

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Remark 13. The special value in Theorem 4.6 is on the critical line and at the same height as the trivial zeros of $L(u_j \otimes F^2, s)$. It is of interest to study the non-vanishing of these special values theoretically and/or numerically.

There is a generalization of Theorem 4.6 which we describe briefly: define

$$L(u_j \otimes F^l, s) = \sum_{n=1}^{\infty} \Big(\sum_{k_1+\cdots+k_l=n} \frac{a_{k_1}}{k_1} \cdots \frac{a_{k_l}}{k_l} b_{-n} \Big) \frac{1}{n^{s-1/2}}. \tag{4.22}$$

By essentially the same computation as in (4.20) we have

$$\langle D^n(z, s, f(z)dz), u_j \rangle = \frac{-1}{2^{2s+1}\pi^{s+1}} L(u_j \otimes F^n, s) \frac{\Gamma(s+s_j-1)\Gamma(s-s_j)}{\Gamma(s)}. \tag{4.23}$$

Notice that this computation also proves the meromorphic continuation of $L(u_j \otimes F^n, s)$ to $s \in \mathbb{C}$, and that at s_j the function $L(u_j \otimes F^n, s)$ has a pole of order at most $n-1$.

THEOREM 4.7. *Assume that $L(u_j \otimes F^n, s)$ does not have a zero at s_j. For all directions ω in the real span of ω_1, ω_2 with at most n exceptions we have*

$$\Re \hat{s}_j^{(2r)}(0, \omega) \neq 0,$$

for some $r \leqslant n$. In particular there exists a cusp form with eigenvalue $s_j(1-s_j)$ that is dissolved in this direction.

Proof. Assume that $\Re \hat{s}_j^{(2r)}(0, \eta_k) = 0$, $r = 1, \ldots, n$ for $n+1$ distinct directions given by $\eta_k = a_k\omega_1 + b_k\omega_2$, $k = 0, \ldots, n$, i.e. $(a_k b_l - a_l b_k) \neq 0$ for $k \neq l$. We have

$$D^n(z, s, \eta_k) = \sum_{l=0}^{n} \binom{n}{l} a_k^l b_k^{n-l} D^{l,n-l}(z, s, \omega_1, \omega_2).$$

We can solve for all $D^{l,n-l}(z, s, \omega_1, \omega_2)$ as long as the following determinant is non-zero:

$$\left| \binom{n}{l} a_k^{n-l} b_k^l \right|_{k,l=0}^{n} = \Big(\prod_{l=0}^{n} \binom{n}{l} \Big) |a_k^{n-l} b_k^l|_{k,l=0}^{n} = \Big(\prod_{l=0}^{n} \binom{n}{l} a_l^n \Big) |(b_k/a_k)^l|_{k,l=0}^{n}$$
$$= \Big(\prod_{l=0}^{n} \binom{n}{l} \Big) \prod_{k<l} (a_k b_l - a_l b_k) \neq 0,$$

where again we have used the fact that the last matrix is Vandermonde. It follows that all $D^{n-l,l}(z, s)$ are linear combinations of $D^n(z, s, \eta_k)$, $k = 0, \ldots, n$.

Since

$$\Re \hat{s}_j^{(2r)}(0, \eta_k) = 0,$$

Theorem 4.1 allows us to conclude that $D^n(z, s, \eta_k)$ is regular at s_j, and therefore also that $D^{n-l,l}(z, s)$ is regular at s_j. Since

$$D^n(z, s, f(z)dz) = \sum_{j=0}^{n} \binom{n}{j} i^{n-j} D^{j,n-j}(z, s, \omega_1, \omega_2),$$

it follows also that $D^n(z, s, f(z)dz)$ is regular at s_0.

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Therefore the left of (4.23) is regular. It follows that the right-hand side of (4.23) is regular, which proves—since $\Gamma(s-s_j)$ has a pole at s_j—that $L(u_j \otimes F^n, s)$ has a zero at s_j. But this contradicts the assumption of the theorem. □

§5. *Are Maaß cusp forms isolated in deformation space?* In this section we investigate the question of whether cuspidal eigenvalues are isolated in deformation spaces. As before, we assume that M has one cusp only.

Let $\omega_1, \dots, \omega_{2g}$ be a basis for the space $\mathrm{Harm}^1_{\mathbb{R}}(M)$ of real harmonic cuspidal 1-forms on M, and let α_i be compact differentials in the same cohomology classes. We consider the corresponding multiparameter perturbations as in §4.2.

Since we know that $\Re(s_j(\underline{\epsilon}))$ has a maximum at $\underline{\epsilon}=\underline{0}$, we know that its Hessian must be negative semi-definite. If the Hessian were negative definite, the maximum would be strict, i.e. the cuspidal eigenvalue would be isolated. We show below that in most cases the Hessian is not strictly negative definite, so we do not know apriori that the eigenvalue should be isolated.

We start by finding an expression for the Hessian as follows.

PROPOSITION 5.1. *Let M be a finite volume hyperbolic surface of genus g with $\omega_1, \dots, \omega_{2g}$ a basis for the space $\mathrm{Harm}^1_{\mathbb{R}}(M)$ of real harmonic cuspidal 1-forms on M, and let α_i be compact differentials in the same cohomology classes. Let $s_j = s_j(0) \neq 1/2$ be an embedded eigenvalue of multiplicity m. Then the Hessian matrix $H = (h_{kl})_{k,l=1,\dots,2g}$ of $\hat{s}_j(\underline{\epsilon})$ at $\underline{\epsilon}=\underline{0}$ is given by*

$$h_{kl} = -\frac{1}{m}\Re\left\langle \operatorname*{res}_{s=s_j} D(z, s, \alpha_k), \operatorname*{res}_{s=s_j} D(z, s, \alpha_l)\right\rangle.$$

Proof. The diagonal terms h_{kk} have already been computed in Theorem 4.1(ii), and the off-diagonal terms can be found similarly: we note that by (3.7) we have

$$\operatorname*{res}_{s=s_j} D(z, s, \alpha_k) = \frac{1}{2s_j - 1}\sum_{i=1}^{m}\langle \partial_{\epsilon_k} L(\underline{\epsilon})|_{\underline{\epsilon}=\underline{0}} E(z, s), u_{j,i}\rangle u_{j,i}. \tag{5.1}$$

We repeat equation (3.12)

$$2m\Re(\hat{s}(\underline{\epsilon}) - s_j) = -\frac{1}{2\pi \mathrm{i}}\int_{\partial B(s_j,u)} (s-s_j)\frac{\phi'(s, \underline{\epsilon})}{\phi(s, \underline{\epsilon})}\, ds. \tag{5.2}$$

An analysis similar to the one in the proof of Theorem 1.1 gives

$$\partial_{\epsilon_k,\epsilon_l} 2m\Re(\hat{s}(\underline{\epsilon}) - s_j)|_{\underline{\epsilon}=\underline{0}} = \frac{\mathrm{res}_{s=s_j}\, \partial_{\epsilon_k,\epsilon_l}\phi(s, \underline{\epsilon})|_{\underline{\epsilon}=\underline{0}}}{\phi(s_j, 0)}. \tag{5.3}$$

To compute $\mathrm{res}_{s=s_j}\, \partial_{\epsilon_k,\epsilon_l}\phi(s, \underline{\epsilon})|_{\underline{\epsilon}=\underline{0}}$ we use Remark 8 and the same technique as in the proof of Proposition 3.1. and find that $(2s-1)\partial_{\epsilon_k,\epsilon_l}\phi(s, \underline{\epsilon})|_{\underline{\epsilon}=\underline{0}}$ equals

$$\int_M E(z, s)(\partial_{\epsilon_l} L(\underline{\epsilon})|_{\underline{\epsilon}=\underline{0}} D(z, s, \alpha_k) + \partial_{\epsilon_k} L(\underline{\epsilon})|_{\underline{\epsilon}=\underline{0}} D(z, s, \alpha_l) + \partial_{\epsilon_k,\epsilon_l} L(\underline{\epsilon})|_{\underline{\epsilon}=\underline{0}} D(z, s))\, d\mu(z).$$

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We note that the term involving $\partial_{\epsilon_k,\epsilon_l} L(\underline{\epsilon})|_{\underline{\epsilon}=\underline{0}} D(z,s)$ is regular so it does not contribute to the residue in (5.3). The rest equals

$$\int_M D(z,s,\alpha_l)\partial_{\epsilon_k} L(\underline{\epsilon})|_{\underline{\epsilon}=\underline{0}} E(z,s) + D(z,s,\alpha_k)\partial_{\epsilon_l} L(\underline{\epsilon})|_{\underline{\epsilon}=\underline{0}} E(z,s)\, d\mu(z).$$

Taking residues and using (3.6) we find that $\operatorname{res}_{s=s_j} \partial_{\epsilon_k,\epsilon_l}\phi(s,\underline{\epsilon})|_{\underline{\epsilon}=\underline{0}}$ equals

$$\frac{-\phi(s_j)}{2s_j-1}\Big(\langle \partial_{\epsilon_k} L(\underline{\epsilon})|_{\underline{\epsilon}=\underline{0}} E(z,s), \operatorname*{res}_{s=s_j} D(z,s,\alpha_l)\rangle + \langle \partial_{\epsilon_l} L(\underline{\epsilon})|_{\underline{\epsilon}=\underline{0}} E(z,s), \operatorname*{res}_{s=s_j} D(z,s,\alpha_k)\rangle\Big).$$

We insert (5.1). This makes the above expression equal to

$$\begin{aligned}&\frac{-\phi(s_j)}{|2s_j-1|^2}\Big(\sum_{i=1}^m \langle \partial_{\epsilon_k} L(\underline{\epsilon})|_{\underline{\epsilon}=\underline{0}} E(z,s), u_{j,i}\rangle\langle u_{j,i}, \partial_{\epsilon_l} L(\underline{\epsilon})|_{\underline{\epsilon}=\underline{0}} E(z,s)\rangle \\ &\quad + \langle \partial_{\epsilon_l} L(\underline{\epsilon})|_{\underline{\epsilon}=\underline{0}} E(z,s), u_{j,i}\rangle\langle u_{j,i}, \partial_{\epsilon_k} L(\underline{\epsilon})|_{\underline{\epsilon}=\underline{0}} E(z,s)\rangle\Big) \\ &= -\phi(s_j) 2\Re \frac{1}{|2s_j-1|^2} \\ &\quad \times \sum_{i=1}^m \langle \partial_{\epsilon_k} L(\underline{\epsilon})|_{\underline{\epsilon}=\underline{0}} E(z,s), u_{j,i}\rangle\langle u_{j,i}, \partial_{\epsilon_l} L(\underline{\epsilon})|_{\underline{\epsilon}=\underline{0}} E(z,s)\rangle,\end{aligned}$$

which gives the desired result. □

Remark 14. We note that since the Hessian is $-m^{-1}$ times the real part of a Gram matrix we see that it is indeed negative semi-definite, as is should be.

Remark 15. It is not hard to see that the Hessian in many cases fails to be strictly negative definite. For $g > m$, where m is the multiplicity of the cuspidal eigenvalue, the null space of the Hessian is at least of dimension $2(g-m)$. This is seen as follows: consider the map between real vector spaces $M : \operatorname{Harm}^1_{\mathbb{R}}(M) \to \mathbb{R}^{2m}$ defined by

$$\omega \mapsto \left(\Re\left\langle \operatorname*{res}_{s=s_j} D(z,s,\omega), u_l\right\rangle, \Im\left\langle \operatorname*{res}_{s=s_j} D(z,s,\omega), u_l\right\rangle\right)_{l=1,\dots,m},$$

which must have a kernel of dimension $\geqslant 2g-2m$. We note that for elements ω in this kernel we have $\operatorname{res}_{s=s_j} D(z,s,\omega) = 0$. By choosing a basis for this kernel and extending it to a basis for $\operatorname{Harm}^1_{\mathbb{R}}(M)$ we see from the expression in Proposition 5.1 that in this basis the Hessian is expressed by a matrix which has all zeroes outside a block of size $2m \times 2m$.

5.1. *Applications to surfaces with symmetries.* We now want to consider surfaces with certain symmetries.

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Define $T(z) = -\overline{z}$. Clearly $T^2 = I$. An (eigen)function h is even (has parity 1), respectively odd (has parity -1), if $h(Tz) = \pm h(z)$. A 1-form $\alpha = p(x, y)\,dx + q(x, y)\,dy$ is even, respectively odd, if $\alpha \circ T = \pm\alpha$. This means that p is odd, respectively even, while q is even, respectively odd. If $\alpha = \Re(f(z)dz)$ then α is even, respectively odd, if f has strictly imaginary, respectively real Fourier coefficients. A Fuchsian group Γ has fundamental domain symmetric with respect to the imaginary axis if $\Gamma = T\Gamma T$. In the rest of this section we assume this to be case.

With these assumptions we easily see that the Eisenstein series is even for all s. By (4.6) we see that, if α is even, respectively odd, then $L^{(1)}(0)$ preserves the parity of h, respectively changes the parity of h.

The Phillips–Sarnak integral $\langle L^{(1)}(0)u_j, E(z, s_j)\rangle$ clearly vanishes if the inner product $\langle L^{(1)}(0)u_j, E(z, s)\rangle = 0$ for *all* s. This happens automatically if α is even and u_j is odd, or α is odd and u_j is even.

LEMMA 5.2. *Let the* 1*-forms* ω_l *have parity* $\eta_l \in \{1, -1\}$ *for* $l = 1, \dots, k$. *Then the parity of* $D^{n_1,\dots,n_k}(z, s, \omega_1, \dots, \omega_k)$ *in (4.17) is* $\prod_{l=1}^{k} \eta_l^{n_l}$.

Proof. For $\gamma \in \Gamma$ we write $\gamma' = T\gamma T$, which varies over all of Γ. Since $i\infty$ is fixed by T, we have that $\Gamma_\infty = T\Gamma_\infty T$. We have

$$
\begin{aligned}
&D(Tz, s, \omega_1, \dots, \omega_k)\\
&= \sum_{\gamma\in\Gamma_\infty\backslash\Gamma} \prod_{l=1}^{k} \left(\int_{i\infty}^{\gamma Tz} \omega_l\right)^{n_l} \Im(\gamma Tz)^s\\
&= \sum_{\gamma'\in\Gamma_\infty\backslash\Gamma} \prod_{l=1}^{k} \left(\int_{Ti\infty}^{T\gamma' z} \omega_l\right)^{n_l} \Im(T\gamma' z)^s = \sum_{\gamma'\in\Gamma_\infty\backslash\Gamma} \prod_{l=1}^{k} \left(\int_{i\infty}^{\gamma' z} \omega_l \circ T\right)^{n_l} \Im(\gamma' z)^s\\
&= \prod_{l=1}^{k} \eta_l^{n_l} \sum_{\gamma'\in\Gamma_\infty\backslash\Gamma} \prod_{l=1}^{k} \left(\int_{i\infty}^{\gamma' z} \omega_l\right)^{n_l} \Im(\gamma' z)^s = \prod_{l=1}^{k} \eta_l^{n_l} D(z, s, \omega_1, \dots, \omega_k). \quad \square
\end{aligned}
$$

Recall that for surfaces with one cusp the scattering function is given by (compare (4.12))

$$
\phi(s, \underline{\epsilon}) = \sqrt{\pi}\,\frac{\Gamma(s - 1/2)}{\Gamma(s)} \sum_{\gamma\in\Gamma_\infty\backslash\Gamma/\Gamma_\infty} \frac{\chi(\gamma, \underline{\epsilon})}{|c|^{2s}} \tag{5.4}
$$

when $\Re(s) > 1$. We assume that all α_i are eigenfunctions of T with parity η_i.

LEMMA 5.3. *Let* α_i *have parity* $\eta_i \in \{+1, -1\}$. *The scattering function satisfies*

$$
\phi(s, (\eta_i\epsilon_i)_{i=1}^n) = \phi(s, (\epsilon_i)_{i=1}^n).
$$

Proof. We have $c(T\gamma T) = -c(\gamma)$ and $\int_{z_0}^{T\gamma Tz_0} \alpha_i = \eta_i \int_{Tz_0}^{\gamma Tz_0} \alpha_i$. Now the result follows easily using (5.4). $\square$

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COROLLARY 5.4. *Let* $\sum_{\substack{i=1\\ \alpha_i \text{odd}}}^{n} n_i$ *or* $\sum_{i=1}^{n} n_i$ *be odd. Then*

$$\partial_{\epsilon_1}^{n_1} \cdots \partial_{\epsilon_{2g}}^{n_n} \phi(s, \epsilon)|_{\underline{\epsilon}=\underline{0}} = 0.$$

Proof. If $\sum_{\substack{i=1\\ \alpha_i \text{odd}}}^{n} n_i$ is odd, differentiate the equality in Lemma 5.3 and plug in $\underline{\epsilon} = \underline{0}$. If $\sum_{i=1}^{n} n_i$ is odd, use instead Remark 10. □

We choose α_i as follows: let $f_1, \ldots, f_g$ be a basis for $S_2(\Gamma)$, where f_j has real Fourier coefficients. (If Γ is congruence there is even a basis with integer coefficients.) Then we let

$$\alpha_j = \Re(f_j(z)dz) \quad \text{and} \quad \alpha_{j+g} = \Re(\mathrm{i} f_j(z)\, dz), \qquad j = 1, \ldots, g,$$

so that α_j is odd when $j = 1, \ldots, g$, and even when $j = g+1, \ldots, 2g$. With these choices the Hessian has the following form for u_j even (an analogous result holds for odd ones).

PROPOSITION 5.5. *Assume that* $s_j = s_j(0)$ *correspond to a simple even embedded eigenvalue. Then outside the lower-right* $g \times g$ *corner of the Hessian of* $s_j(\underline{\epsilon})$ *at* $\underline{\epsilon} = 0$ *all entries are zero.*

Proof. Outside the lower-right $g \times g$ corner of the Hessian we see from Proposition 5.1 that all entries involve an inner product with one entry being $\operatorname{res}_{s=s_j} D(z, s, \alpha_l)$ where α_l is odd. It follows from Lemma 5.2 that $D(z, s, \alpha_l)$ is odd for such an α_l. Its residue at s_j is, therefore, also odd, while it is a multiple of u_j, which is even. As a result $D(z, s, \alpha_l)$ must be regular at s_j. We conclude that $\operatorname{res}_{s=s_j} D(z, s, \alpha_l) = 0$ and that the relevant inner product is zero. □

Remark 16. Note that many derivatives—e.g. all odd ones—of $\Re(s_j)(\underline{\epsilon})$ are zero when evaluated at $\underline{\epsilon} = \underline{0}$. This follows from combining (5.2) and Corollary 5.4. Hence in the Taylor expansion of $\Re(s_j)(\underline{\epsilon})$ there are many terms which are automatically zero.

We now restrict ourselves for simplicity to the case genus $g = 1$. Choosing $f(z)$ to be the unique cusp form with real coefficients and first non-zero Fourier coefficient equal to 1 we have that $\alpha_1 = \Re(f(z)dz)$ is odd and $\alpha_2 = \Im(f(z)dz) = \Re(\mathrm{i} f(z)\, dz)$ is even.

Subgroups of $SL_2(\mathbb{Z})$ with one cusp at infinity are called cycloidal groups. Examples of cycloidal groups with genus one can be found in [**41**]. We explain another classical construction of a group with the desired properties.

Example 1. Let p be a prime. Consider $\Gamma_0(p)$, which has two cusps. Let

$$W_p := \begin{pmatrix} 0 & -1/\sqrt{p} \\ \sqrt{p} & 0 \end{pmatrix}$$

be the Fricke involution. The corresponding Fricke group $\Gamma_*(p) := \Gamma_0(p) \cup \Gamma_0(p)W_p$ is a Fuchsian group with finite covolume. It has only one cusp as it identifies the two cusps of $\Gamma_0(p)$. It is clear that an $f \in S_2(\Gamma_0(p))$ lifts

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to $S_2(\Gamma_*(p))$ if and only if $f|_2 W_p(z) = f(z)$, i.e. if f is an eigenfunction of the Fricke involution with eigenvalue $+1$. The smallest prime for which $S_2(\Gamma_*(p)) \neq \emptyset$ is $p = 37$, in which case it is 1-dimensional, i.e. the genus is one. A non-zero element of $S_2(\Gamma_*(37))$ can be constructed as follows, see [13, p. 57 ff.], [24, §5] for additional details: let θ_B and θ_C be the theta functions associated to the positive definite quadratic forms with matrices

$$B = \begin{pmatrix} 2 & 1 & 0 & 1 \\ 1 & 8 & 1 & -3 \\ 0 & 1 & 10 & 2 \\ 1 & -3 & 2 & 12 \end{pmatrix}, \qquad C = \begin{pmatrix} 4 & 1 & 2 & 1 \\ 1 & 4 & 1 & 0 \\ 2 & 1 & 6 & -2 \\ 1 & 0 & -2 & 20 \end{pmatrix}.$$

Then $\phi = \frac{1}{2}(\theta_B - \theta_C)$ is a newform of $S_2(\Gamma_0(37))$ with $\phi|_2 W_{37}(z) = \phi(z)$, i.e. $\phi \in S_2(\Gamma_*(37))$. It has Fourier expansion at infinity

$$\phi(z) = q - 2q^2 - 3q^3 + 2q^4 - 2q^5 + 6q^6 - q^7 + 6q^9 + 4q^{10} + \cdots,$$
$$q = \mathrm{e}^{2\pi \mathrm{i} z}.$$

The L-function of ϕ equals the zeta-function of the elliptic curve $y^2 + y = x^3 - x$, which is 37-A1 in Cremona's table.

Consider an embedded even eigenvalue s_j for the Laplacian for $\Gamma_*(37)$. We assume for simplicity that the dimension of the eigenspace is one. In this case Proposition 5.5 says that the Hessian is given by

$$\begin{pmatrix} 0 & 0 \\ 0 & -\left\| \operatorname*{res}_{s=s_j} D(z, s, \alpha_2) \right\|^2 \end{pmatrix},$$

where $\alpha_2 = \Im(\phi(z)\, dz)$.

By parity considerations $\operatorname{res}_{s=s_j} D(z, s, \alpha_1) = 0$, where $\alpha_1 = \Re(\phi(z)\, dz)$. It follows that $\|\operatorname{res}_{s=s_j} D(z, s, \alpha_2)\| \neq 0$ if and only if $\operatorname{res}_{s=s_j} D(z, s, \phi(z)\, dz) \neq 0$. But this happens precisely if the Rankin–Selberg L-function between the corresponding eigenfunction u_j and the weight two cusp form ϕ does not vanish at the central point $s_j + 1/2$, i.e. if

$$L(u \otimes \phi, s_j + 1/2) \neq 0.$$

Hence the lower-right corner of the Hessian—and therefore $(d^2/d\epsilon_2^2)\Re(s_j(\epsilon_1, \epsilon_2))|_{\epsilon=0}$—is non-zero if and only if $L(u \otimes \phi, s_j + 1/2) \neq 0$.

Remark 17. The value $L(u \otimes \phi, s_j + 1/2)$ is expected to be non-zero for many even cusp forms u_j. In fact Luo proved [23] that the central value of the Rankin–Selberg L-function of a weight four cusp form with a Maaß eigenfunction is non-zero for a positive proportion of the eigenfunctions.

In this setup of Example 1 we search for conditions that ensure that the cuspidal eigenvalue dissolves in a (punctured) neighborhood of the deformation space $\mathrm{Harm}^1_{\mathbb{R}}(M)$. We have the following theorem.

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THEOREM 5.6. *Let s_j be a simple, embedded, even eigenvalue for the Fricke group $\Gamma_*(37)$. Assume that $(d^2/d\epsilon_2^2)\Re(s_j(\epsilon_1,\epsilon_2))|_{\underline{\epsilon}=\underline{0}} \neq 0$ and that $(d^l/d\epsilon_1^l)\Re(s_j(\epsilon_1,\epsilon_2))|_{\underline{\epsilon}=\underline{0}} \neq 0$ for some l. Then*

$$\Re(s_j(\epsilon_1,\epsilon_2)) < 1/2$$

in a punctured neighborhood of $(0,0)$, i.e. the cuspidal eigenvalue becomes a resonance in this punctured neighborhood.

Remark 18. While the assumption $(d^2/d\epsilon_2^2)\Re(s_j(\epsilon_1,\epsilon_2))|_{\underline{\epsilon}=\underline{0}} \neq 0$ is the (standard) Fermi's golden rule and is related to Rankin–Selberg convolutions, see Remark 17, the conditions $(d^l/d\epsilon_1^l)\Re(s_j(\epsilon_1,\epsilon_2))|_{\underline{\epsilon}=\underline{0}}$ are given exactly by the higher-order Fermi's golden rules, see Theorem 1.1.

Proof. This proof is motivated by [**1**, p. 366–367]. Choose l minimal such that $(d^l/d\epsilon_1^l)\Re(s_j(\epsilon_1,\epsilon_2))|_{\underline{\epsilon}=\underline{0}} \neq 0$. Since $\Re(s_j(\epsilon_1,\epsilon_2)) \leqslant 1/2$ we have that l must be even, say equal to $2l_0$.

Consider the Taylor expansion

$$\Re(s_j(\epsilon_1,\epsilon_2) - 1/2) = \sum_{k=2}^{\infty} \sum_{\substack{n_1+n_2=k \\ n_i \geqslant 0}} c_{n_1,n_2}\epsilon_1^{n_1}\epsilon_2^{n_2}. \tag{5.5}$$

By arguing as in Remark 16 we see that c_{n_1,n_2} is zero if n_1+n_2 is odd or if n_1 is odd. Hence it can only be non-zero if both n_1 and n_2 are even.

We split $\mathbb{R}^2$ in three disjoint sets in the following way. Let

$$\begin{aligned} A_1 &= \{(\epsilon_1,\epsilon_2) | \epsilon_1 = 0 \text{ or } \epsilon_2 = 0\}, \\ A_2 &= \{(\epsilon_1,\epsilon_2) | b_1|\epsilon_1|^{l_0} < |\epsilon_2| < b_2\} \backslash A_1, \\ A_3 &= \mathbb{R}^2 \backslash (A_1 \cup A_2). \end{aligned}$$

Here b_1 is some appropriate large positive number and b_2 is some appropriate small positive number to be determined below. We need to show that for $0 \neq (\epsilon_1,\epsilon_1) \in A_i$ with sufficiently small norm $\Re(s_j(\epsilon_1,\epsilon_2) - 1/2) < 0$.

Assume first $0 \neq (\epsilon_1,\epsilon_2) \in A_1$. Assume without loss of generality $\epsilon_2 = 0$. Then by considering the Taylor expansion of $\Re(s_j(\epsilon_1,0) - 1/2)$ we see using $(d^l/d\epsilon_1^l)\Re(s_j(\epsilon_1,\epsilon_2))|_{\underline{\epsilon}=\underline{0}} < 0$ that $\Re(s_j(\epsilon_1,\epsilon_2) - 1/2) < 0$ for ϵ_1 sufficiently small.

Assume next that $0 \neq (\epsilon_1,\epsilon_2) \in A_2$. Then $|\epsilon_2| \leqslant b_2$ and $|\epsilon_1| \leqslant (b_2/b_1)^{1/l_0}$. Consider a term with $n_1+n_2 \leqslant l$ in the expansion (5.5). If $(n_1,n_2) \neq (0,2)$ we show that for appropriate choices of b_1, b_2 we have

$$|c_{n_1,n_2}\epsilon_1^{n_1}\epsilon_2^{n_2}| < \frac{1}{2l^2}|c_{0,2}|\epsilon_2^2.$$

If $n_2 \geqslant 2$ this is clear. If $n_2 = 1$, $c_{n_1,n_2} = 0$. If $n_2 = 0$ the only non-zero term is $c_{l,0}\epsilon_1^l$ which can be bounded as

$$|c_{l,0}\epsilon_1^l| \leqslant |c_{l,0}||\epsilon_2|^2/b_1^2,$$

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which makes the claim clear also in this case. From

$$\Re(s_j(\epsilon_1, \epsilon_2) - 1/2) = \sum_{k=2}^{l} \sum_{\substack{n_1+n_2=k \\ n_i \geqslant 0}} c_{n_1,n_2} \epsilon_1^{n_1} \epsilon_2^{n_2} + O(\|(\epsilon_1, \epsilon_2)\|^{l+1})$$

it follows that for sufficiently small $(\epsilon_1, \epsilon_2) \in A_2$ we have, using $c_{0,2} < 0$, that

$$\Re(s_j(\epsilon_1, \epsilon_2) - 1/2) < \frac{c_{0,2}}{2} \epsilon_2^2 + C|\epsilon_2|^{l+1} < 0,$$

which proves the claim when $(\epsilon_1, \epsilon_2) \in A_2$.

Lastly we consider $(\epsilon_1, \epsilon_2) \in A_3$ and assume $\|(\epsilon_1, \epsilon_2)\| < b_2$. We now set $\epsilon_2 = u\epsilon_1^{l_0}$, and we have $|u| \leqslant b_1$. Then

$$\Re(s_j(\epsilon_1, u\epsilon_1^{l_0}) - 1/2) = \sum_{k=2}^{\infty} \sum_{\substack{n_1+n_2=k \\ n_i \geqslant 0}} c_{n_1,n_2} u^{n_2} \epsilon_1^{n_1+l_0 n_2}.$$

Consider now the terms in this expansion with $n_1 + l_0 n_2 \leqslant 2l_0$. We have $n_2 = 0, 1, 2$. If $n_2 = 0$ then $n_1 \leqslant 2l_0$. But by choice of l among these $c_{n_1,0}$ is only non-zero when $n_1 = 2l_0$. If $n_2 = 1$ we have $c_{n_1,n_2} = 0$. If $n_2 = 2$ then we must have $n_1 = 0$. It follows that

$$\Re(s_j(\epsilon_1, u\epsilon_1^{l_0}) - 1/2) = (c_{0,2}u^2 + c_{2l_0,0})\epsilon_1^{2l_0} + O(|\epsilon_1|^{2l_0+1}).$$

We note that by our assumptions $(c_{0,2}u^2 + c_{2l_0,0})$ is negative and bounded away from zero. Hence for ϵ_1 small enough

$$\Re(s_j(\epsilon_1, u\epsilon_1^{l_0}) - 1/2) < (c_{0,2}u^2 + c_{2l_0,0})\epsilon_1^{2l_0} + C(|\epsilon_1|^{2l_0+1}) < 0,$$

which finishes the proof. Here C is an absolute constant, since u is bounded. □

Remark 19. If a cusp form remains on a real analytic subvariety of the deformation space, as suggested in the Teichmüller case by Farmer and Lemurell [**14**], then all the conditions $(d^l/d\epsilon_1^l)\Re(s_j(\epsilon_1, \epsilon_2))|_{\epsilon=0} = 0$. But in this case we automatically see that the line spanned by α_1 is contained in such a subvariety. This determines the subvariety, because $\Re(s_j(\epsilon))$ is real analytic.

Remark 20. In the light of Theorem 5.6 it would be very interesting to investigate numerically $(d^4/d\epsilon_1^4)\Re(s_j(\epsilon_1, \epsilon_2))|_{\underline{\epsilon}=\underline{0}}$, or equivalently $\operatorname{res}_{s=s_j} D^2(z, s, \alpha_2)$, as the non-vanishing of these would imply that the cuspidal eigenvalue becomes a resonance in a punctured neighborhood. So the cuspidal eigenvalue would be isolated in the deformation space.

Acknowledgements. We would like to thank D. Hejhal, A. Strömbergsson, A. Venkov, E. Balslev, and D. Mayer for helpful discussions and encouragement.

https://doi.org/10.1112/S0025579312001118 Published online by Cambridge University Press

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https://doi.org/10.1112/S0025579312001118 Published online by Cambridge University Press