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# *Decomposing non-redundant sharing by complementation*

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**Abstract**

Complementation, the inverse of the reduced product operation, is a technique for systematically finding minimal decompositions of abstract domains. Filé and Ranzato advanced the state of the art by introducing a simple method for computing a complement. As an application, they considered the extraction by complementation of the pair-sharing domain *PS* from the Jacobs and Langen's set-sharing domain *SH*. However, since the result of this operation was still *SH*, they concluded that *PS* was too abstract for this. Here, we show that the source of this result lies not with *PS* but with *SH* and, more precisely, with the redundant information contained in *SH* with respect to ground-dependencies and pair-sharing. In fact, a proper decomposition is obtained if the non-redundant version of *SH*, *PSD*, is substituted for *SH*. To establish the results for *PSD*, we define a general schema for subdomains of *SH* that includes *PSD* and *Def* as special cases. This sheds new light on the structure of *PSD* and exposes a natural though unexpected connection between *Def* and *PSD*. Moreover, we substantiate the claim that complementation *alone* is not sufficient to obtain *truly minimal* decompositions of domains. The right solution to this problem is to *first* remove redundancies by computing the quotient of the domain with respect to the observable behavior, and only *then* decompose it by complementation.

*KEYWORDS:* Abstract interpretation, domain decomposition, complementation, sharing analysis

## 1 Introduction

Complementation (Cortesi *et al.*, 1997), which is the inverse of the well-known reduced product operation (Cousot & Cousot, 1979), can systematically obtain minimal decompositions of complex abstract domains. It has been argued that these

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decompositions would be useful in finding space saving representations for domains and to simplify domain verification problems.

Filé & Ranzato (1996) presented a new method for computing the complement, which is simpler than the original proposal by Cortesi *et al.* (1995, 1997) because it has the advantage that, to compute the complement, only a relatively small number of elements (namely the *meet-irreducible* elements of the reference domain) need be considered. As an application of this method, the authors considered the Jacobs & Langen's (1992) sharing domain, $SH$, for representing properties of variables such as groundness and sharing. This domain captures the property of set-sharing. Filé and Ranzato illustrated their method by minimally decomposing $SH$ into three components; using the words of the authors (Filé & Ranzato, 1996, Section 1):

> "[...] each representing one of the elementary properties that coexist in the elements of *Sharing*, and that are as follows: (i) the ground-dependency information; (ii) the pair-sharing information, or equivalently variable independence; (iii) the set-sharing information, without variable independence and ground-dependency."

However, this decomposition did not use the usual domain $PS$ for pair-sharing. Filé and Ranzato observed that the complement of the pair-sharing domain $PS$ with respect to $SH$ is again $SH$ and concluded that $PS$ was too abstract to be extracted from $SH$ by means of complementation. Thus, in order to obtain their non-trivial decomposition of $SH$, they used a different (and somewhat unnatural) definition for an alternative pair-sharing domain, called $PS'$. The nature of $PS'$ and its connection with $PS$ is examined more carefully in Section 6.

We noticed that the reason why Filé and Ranzato obtained this result was not to be found in the definition of $PS$, which accurately represents the property of pair-sharing, but in the use of the domain $SH$ to capture the property of pair-sharing. Bagnara *et al.* (1997, 2001) observed that, for most (if not all) applications, the property of interest is not set-sharing but pair-sharing. Moreover, it was shown that, for groundness and pair-sharing, $SH$ includes redundant elements. By defining an upper closure operator $\rho$ that removed this redundancy, a much smaller domain $PSD$, which was denoted $SH^{\rho}$ in Bagnara *et al.* (1997), was found that captured pair-sharing and groundness with the same precision as $SH$. We show here that using the method given in Filé & Ranzato (1996), but with this domain instead of $SH$ as the reference domain, a proper decomposition can be obtained even when considering the natural definition of the pair-sharing domain $PS$. Moreover, we show that $PS$ is *exactly* one of the components obtained by complementation of $PSD$. Thus the problem exposed by Filé and Ranzato was, in fact, due to the 'information preserving' property of complementation, as any factorization obtained in this way is such that the reduced product of the factors gives back the original domain. In particular, any factorization of $SH$ has to encode the redundant information identified in Bagnara *et al.* (1997, 2001). We will show that such a problem disappears when $PSD$ is used as the reference domain.

Although the primary purpose of this work is to clarify the decomposition of the domain $PSD$, the formulation is sufficiently general to apply to other properties that are captured by $SH$. The domain $Pos$ of positive Boolean functions and its subdomain $Def$, the domain of *definite* Boolean functions, are normally used for

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capturing groundness (Armstrong *et al.*, 1998). Each Boolean variable has the value *true* if the program variable it corresponds to is definitely bound to a ground term. However, the domain *Pos* is isomorphic to *SH* via the mapping from formulas in *Pos* to the set of complements of their models (Codish & Søndergaard, 1998). This means that any general result regarding the structure of *SH* is equally applicable to *Pos* and its subdomains.

To establish the results for *PSD*, we define a general schema for subdomains of *SH* that includes *PSD* and *Def* as special cases. This sheds new light on the structure of the domain *PSD*, which is smaller but significantly more involved than *SH*.[1] Of course, as we have used the more general schematic approach, we can immediately derive (where applicable) corresponding results for *Def* and *Pos*. Moreover, an interesting consequence of this work is the discovery of a natural connection between the abstract domains *Def* and *PSD*. The results confirm that *PSD* is, in fact, the 'appropriate' abstraction of the set-sharing domain *SH* that has to be considered when groundness and pair-sharing are the properties of interest.

The paper, which is an extended version of Zaffanella *et al.* (1999), is structured as follows. In Section 2 we briefly recall the required notions and notations, even though we assume general acquaintance with the topics of lattice theory, abstract interpretation, sharing analysis and groundness analysis. Section 3 introduces the *SH* domain and several abstractions of it. The meet-irreducible elements of an important family of abstractions of *SH* are identified in Section 4. This is required in order to apply, in Section 5, the method of Filé and Ranzato to this family. In Section 6 we present some final remarks and we explain what is, in our opinion, the lesson to be learned from this and other related work. Section 7 concludes.

## 2 Preliminaries

For any set $S$, $\wp(S)$ denotes the power set of $S$ and $\# S$ is the cardinality of $S$.

A *preorder* '$\preceq$' over a set $P$ is a binary relation that is reflexive and transitive. If '$\preceq$' is also antisymmetric, then it is called *partial order*. A set $P$ equipped with a partial order '$\preceq$' is said to be *partially ordered* and sometimes written $\langle P, \preceq \rangle$. Partially ordered sets are also called *posets*.

A poset $\langle P, \preceq \rangle$ is *totally ordered* with respect to '$\preceq$' if, for each $x, y \in P$, either $x \preceq y$ or $y \preceq x$. A subset $S$ of a poset $\langle P, \preceq \rangle$ is a *chain* if it is totally ordered with respect to '$\preceq$'.

Given a poset $\langle P, \preceq \rangle$ and $S \subseteq P$, $y \in P$ is an *upper bound* for $S$ if and only if $x \preceq y$ for each $x \in S$. An upper bound $y$ for $S$ is a *least upper bound* (or lub) of $S$ if and only if, for every upper bound $y'$ for $S$, $y \preceq y'$. The lub, when it exists, is unique. In this case we write $y = \operatorname{lub} S$. *Lower bounds* and *greatest lower bounds* (or glb) are defined dually.

A poset $\langle L, \preceq \rangle$ such that, for each $x, y \in L$, both $\operatorname{lub}\{x, y\}$ and $\operatorname{glb}\{x, y\}$ exist, is called a *lattice*. In this case, lub and glb are also called, respectively, the *join*

[1] For the well acquainted with the matter: *SH* is a powerset and hence it is dual-atomistic; this is not the case for *PSD*.

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and the *meet* operations of the lattice. A *complete lattice* is a lattice $\langle L, \preceq \rangle$ such that every subset of $L$ has both a least upper bound and a greatest lower bound. The *top* element of a complete lattice $L$, denoted by $\top$, is such that $\top \in L$ and $\forall x \in L : x \preceq \top$. The *bottom* element of $L$, denoted by $\bot$, is defined dually.

As an alternative definition, a lattice is an algebra $\langle L, \wedge, \vee \rangle$ such that $\wedge$ and $\vee$ are two binary operations over $L$ that are commutative, associative, idempotent, and satisfy the following *absorption laws*, for each $x, y \in L$: $x \wedge (x \vee y) = x$ and $x \vee (x \wedge y) = x$.

The two definitions of lattice are equivalent. This can be seen by defining:

$$x \preceq y \quad \stackrel{\text{def}}{\iff} \quad x \wedge y = x \quad \stackrel{\text{def}}{\iff} \quad x \vee y = y$$

and

$$\text{glb}\{x, y\} \stackrel{\text{def}}{=} x \wedge y,$$
$$\text{lub}\{x, y\} \stackrel{\text{def}}{=} x \vee y.$$

The existence of an isomorphism between the two lattices $L_1$ and $L_2$ is denoted by $L_1 \equiv L_2$.

A monotone and idempotent self-map $\rho : P \to P$ over a poset $\langle P, \preceq \rangle$ is called a *closure operator* (or *upper closure operator*) if it is also *extensive*, namely

$$\forall x \in P \ : x \preceq \rho(x).$$

Each upper closure operator $\rho$ over a complete lattice $C$ is uniquely determined by the set of its fixpoints, i.e. by its image

$$\rho(C) \stackrel{\text{def}}{=} \{\, \rho(x) \mid x \in C \,\}.$$

We will often denote upper closure operators by their images. The set of all upper closure operators over a complete lattice $C$, denoted by $\text{uco}(C)$, forms a complete lattice ordered as follows: if $\rho_1, \rho_2 \in \text{uco}(P)$, $\rho_1 \sqsubseteq \rho_2$ if and only if $\rho_2(C) \subseteq \rho_1(C)$. The *reduced product* of two elements $\rho_1$ and $\rho_2$ of $\text{uco}(C)$ is denoted by $\rho_1 \sqcap \rho_2$ and defined as

$$\rho_1 \sqcap \rho_2 \stackrel{\text{def}}{=} \text{glb}\{\rho_1, \rho_2\}.$$

For a more detailed introduction to closure operators, the reader is referred elsewhere (Gierz *et al.*, 1980).

A complete lattice $C$ is *meet-continuous* if for any chain $Y \subseteq C$ and each $x \in C$,

$$x \wedge \left( \bigvee Y \right) = \bigvee_{y \in Y} (x \wedge y).$$

Most domains for abstract interpretation (Cortesi *et al.*, 1997) and, in particular, all the domains considered in this paper are meet-continuous.

Assume that $C$ is a meet-continuous lattice. Then the inverse of the reduced product operation, called *weak relative pseudo-complement*, is well defined and given as follows. Let $\rho, \rho_1 \in \text{uco}(C)$ be such that $\rho \sqsubseteq \rho_1$. Then

$$\rho \sim \rho_1 \stackrel{\text{def}}{=} \text{lub}\{\, \rho_2 \in \text{uco}(C) \mid \rho_1 \sqcap \rho_2 = \rho \,\}.$$

Given $\rho \in \text{uco}(C)$, the *weak pseudo-complement* (or, by an abuse of terminology now

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customary in the field of Abstract Interpretation, simply *complement*) of $\rho$ is denoted by $id_C \sim \rho$, where $id_C$ is the identity over $C$. Let $D_i \stackrel{\text{def}}{=} \rho_{D_i}(C)$ with $\rho_{D_i} \in \text{uco}(C)$ for $i = 1, \ldots, n$. Then $\{ D_i \mid 1 \leqslant i \leqslant n \}$ is a *decomposition for* $C$ if $C = D_1 \sqcap \cdots \sqcap D_n$. The decomposition is also called *minimal* if, for each $k \in \mathbb{N}$ with $1 \leqslant k \leqslant n$ and each $E_k \in \text{uco}(C)$, $D_k \sqsubset E_k$ implies

$$C \sqsubset D_1 \sqcap \cdots \sqcap D_{k-1} \sqcap E_k \sqcap D_{k+1} \sqcap \cdots \sqcap D_n.$$

Assume now that $C$ is a complete lattice. If $X \subseteq C$, then $\text{Moore}(X)$ denotes the *Moore completion of* $X$, namely,

$$\text{Moore}(X) \stackrel{\text{def}}{=} \{ \bigwedge Y \mid Y \subseteq X \}.$$

We say that $C$ *is meet-generated by* $X$ if $C = \text{Moore}(X)$. An element $x \in C$ is *meet-irreducible* if

$$\forall y, z \in C : \big((x = y \wedge z) \Longrightarrow (x = y \text{ or } x = z)\big).$$

The set of meet-irreducible elements of a complete lattice $C$ is denoted by $\text{MI}(C)$. Note that $\top \in \text{MI}(C)$. An element $x \in C$ is a *dual-atom* if $x \neq \top$ and, for each $y \in C$, $x \leqslant y < \top$ implies $x = y$. The set of dual-atoms is denoted by $\text{dAtoms}(C)$. Note that $\text{dAtoms}(C) \subset \text{MI}(C)$. The domain $C$ is *dual-atomistic* if $C = \text{Moore}\big(\text{dAtoms}(C)\big)$. Thus, if $C$ is dual-atomistic, $\text{MI}(C) = \{\top\} \cup \text{dAtoms}(C)$. The following result holds (Filé and Ranzato 1996, Theorem 4.1).

*Theorem 1*
If $C$ is meet-generated by $\text{MI}(C)$ then $\text{uco}(C)$ is pseudo-complemented and for any $\rho \in \text{uco}(C)$

$$id_C \sim \rho = \text{Moore}\big(\text{MI}(C) \setminus \rho(C)\big).$$

Another interesting result is the following (Filé and Ranzato 1996, Corollary 4.5).

*Theorem 2*
If $C$ is dual-atomistic then $\text{uco}(C)$ is pseudo-complemented and for any $\rho \in \text{uco}(C)$

$$id_C \sim \rho = \text{Moore}\big(\text{dAtoms}(C) \setminus \rho(C)\big).$$

Let *Vars* be a denumerable set of variables. For any syntactic object $o$, $vars(o)$ denotes the set of variables occurring in $o$. Let $\mathscr{T}_{Vars}$ be the set of first-order terms over *Vars*. If $x \in Vars$ and $t \in \mathscr{T}_{Vars} \setminus \{x\}$, then $x \mapsto t$ is called a *binding*. A *substitution* is a total function $\sigma : Vars \to \mathscr{T}_{Vars}$ that is the identity almost everywhere. Substitutions are denoted by the set of their bindings, thus a substitution $\sigma$ is identified with the (finite) set

$$\{ x \mapsto \sigma(x) \mid x \neq \sigma(x) \}.$$

If $t \in \mathscr{T}_{Vars}$, we write $t\sigma$ to denote $\sigma(t)$. A substitution $\sigma$ is *idempotent* if, for all $t \in \mathscr{T}_{Vars}$, we have $t\sigma\sigma = t\sigma$. The set of all idempotent substitutions is denoted by *Subst*.

It should be stressed that this restriction to idempotent substitutions is provided for presentation purposes only. In particular, it allows for a straight comparison of

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our work with respect to other works appeared in the literature. However, the results proved in this paper do not rely on the idempotency of substitutions and are therefore applicable also when considering substitutions in *rational solved form* (Colmerauer, 1982, 1984). Indeed, we have proved (Hill, Bagnara & Zaffanella, 1998) that the usual abstract operations defined on the domain $SH$, approximating concrete unification over finite trees, also provide a correct approximation of concrete unification over a domain of rational trees.

## 3 The Sharing domains

In order to provide a concrete meaning to the elements of the set-sharing domain of Jacobs and Langen (Jacobs & Langen, 1989, 1992; Langen, 1990), a knowledge of the finite set $VI \subset Vars$ of variables of interest is required. For example, in the PhD thesis of Langen (Langen, 1990) this set is implicitly defined, for each clause being analyzed, as the finite set of variables occurring in that clause. A clearer approach has been introduced (Cortesi *et al.*, 1994, 1998) and also adopted (Bagnara *et al.*, 1997, 2001; Cortesi & Filé, 1999), where the set of variables of interest is given explicitly as a component of the abstract domain. During the analysis process, this set is *elastic*. That is, it expands (e.g. when solving clause's bodies) and contracts (e.g. when abstract descriptions are projected onto the variables occurring in clause's heads). This technique has two advantages: first, a clear and unambiguous description of those semantic operators that modify the set of variables of interest is provided; second, the definition of the abstract domain is completely independent from the particular program being analyzed. However, since at any given time the set of variables of interest is fixed, we can simplify the presentation by consistently denoting this set by $VI$. Therefore, in this paper all the abstract domains defined are restricted to a fixed set of variables of interest $VI$ of finite cardinality $n$; this set is not included explicitly in the representation of the domain elements; also, when considering abstract semantic operators having some arguments in $Subst$, such as the abstract mgu, the considered substitutions are always taken to have variables in $VI$. We would like to emphasize that this is done for ease of presentation only: the complete definition of both the domains and the semantic operators can be immediately derived from those given, for instance, in Bagnara *et al.* (1997, 2001). Note that other solutions are possible; we refer the interested reader elsewhere (Cortesi, Filé & Winsborough, 1996, Section 7) (Scozzari, 2001, Section 10), where this problem is discussed in the context of groundness analysis.

### 3.1 The set-sharing domain $SH$

*Definition 1*
**(The *set-sharing* domain $SH$.)** The domain $SH$ is given by

$$SH \stackrel{\text{def}}{=} \wp(SG),$$

where the set of *sharing-groups* $SG$ is given by

$$SG \stackrel{\text{def}}{=} \wp(VI) \setminus \{\emptyset\}.$$

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$SH$ is partially ordered by set inclusion so that the lub is given by set union and the glb by set intersection.

Note that, as we are adopting the upper closure operator approach to abstract interpretation, all the domains we define here are ordered by subset inclusion. As usual in the field of abstract interpretation, this ordering provides a formalization of precision where the less precise domain elements are those occurring higher in the partial order. Thus, more precise elements contain less sharing groups.

Since $SH$ is a power set, $SH$ is dual-atomistic and

$$\mathrm{dAtoms}(SH) = \{\, SG \setminus \{S\} \mid S \in SG \,\}.$$

In all the examples in this paper, the elements of $SH$ are written in a simplified notation, omitting the inner braces. For instance, the set

$$\{\{x\}, \{x, y\}, \{x, z\}, \{x, y, z\}\}$$

would be written simply as

$$\{x, xy, xz, xyz\}.$$

*Example 1*
Suppose $VI = \{x, y, z\}$. Then the seven dual-atoms of $SH$ are:

$$\left.\begin{array}{ll} s_1 & = \{\quad y, z, xy, xz, yz, xyz\}, \\ s_2 & = \{x, \quad z, xy, xz, yz, xyz\}, \\ s_3 & = \{x, y, \quad xy, xz, yz, xyz\}, \end{array}\right\} \text{ these lack a singleton;}$$

$$\left.\begin{array}{ll} s_4 & = \{x, y, z, \quad xz, yz, xyz\}, \\ s_5 & = \{x, y, z, xy, \quad yz, xyz\}, \\ s_6 & = \{x, y, z, xy, xz, \quad xyz\}, \end{array}\right\} \text{ these lack a pair;}$$

$$s_7 = \{x, y, z, xy, xz, yz \quad\}, \quad \text{this lacks } VI.$$

The meet-irreducible elements of $SH$ are $s_1, \ldots, s_7$, and the top element $SG$.

*Definition 2*
**(Operations over $SH$.)** The function $\mathrm{bin} : SH \times SH \to SH$, called *binary union*, is given, for each $sh_1, sh_2 \in SH$, by

$$\mathrm{bin}(sh_1, sh_2) \stackrel{\mathrm{def}}{=} \{S_1 \cup S_2 \mid S_1 \in sh_1, S_2 \in sh_2\}.$$

The *star-union* function $(\cdot)^\star : SH \to SH$ is given, for each $sh \in SH$, by

$$sh^\star \stackrel{\mathrm{def}}{=} \left\{ S \in SG \;\middle|\; \exists sh' \subseteq sh \,.\, S = \bigcup sh' \right\}.$$

The *j-self-union* function $(\cdot)^j : SH \to SH$ is given, for each $j \geqslant 1$ and $sh \in SH$, by

$$sh^j \stackrel{\mathrm{def}}{=} \left\{ S \in SG \;\middle|\; \exists sh' \subseteq sh \,.\, \left( \# sh' \leqslant j, S = \bigcup sh' \right) \right\}.$$

The extraction of the *relevant component* of an element of $SH$ with respect to a subset of $VI$ is encoded by the function $\mathrm{rel} : \wp(VI) \times SH \to SH$ given, for each $V \subseteq VI$ and each $sh \in SH$, by

$$\mathrm{rel}(V, sh) \stackrel{\mathrm{def}}{=} \{S \in sh \mid S \cap V \neq \emptyset\}.$$

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The function amgu captures the effects of a binding $x \mapsto t$ on an element of $SH$. Let $sh \in SH$, $v_x = \{x\}$, $v_t = vars(t)$, and $v_{xt} = v_x \cup v_t$. Then

$$\mathrm{amgu}(sh, x \mapsto t) \stackrel{\mathrm{def}}{=} \big(sh \setminus (\mathrm{rel}(v_{xt}, sh)\big) \cup \mathrm{bin}\big(\mathrm{rel}(v_x, sh)^\star, \mathrm{rel}(v_t, sh)^\star\big).$$

We also define the extension $\mathrm{amgu} : SH \times Subst \to SH$ by

$$\begin{aligned} \mathrm{amgu}(sh, \emptyset) &\stackrel{\mathrm{def}}{=} sh, \\ \mathrm{amgu}\big(sh, \{x \mapsto t\} \cup \sigma\big) &\stackrel{\mathrm{def}}{=} \mathrm{amgu}\big(\mathrm{amgu}(sh, x \mapsto t), \sigma \setminus \{x \mapsto t\}\big). \end{aligned}$$

The function $\mathrm{proj} : SH \times \wp(VI) \to SH$ that *projects* an element of $SH$ onto a subset $V \subseteq VI$ of the variables of interest is given, for each $sh \in SH$, by

$$\mathrm{proj}(sh, V) \stackrel{\mathrm{def}}{=} \{S \cap V \mid S \in sh, S \cap V \neq \emptyset\} \cup \{\{x\} \mid x \in VI \setminus V\}.$$

Together with lub, the functions proj and amgu are the key operations that make the abstract domain $SH$ suitable for computing static approximations of the substitutions generated by the execution of logic programs. These operators can be combined with simpler ones (e.g. consistent renaming of variables) so as to provide a complete definition of the abstract semantics. Also note that these three operators have been proved to be the *optimal approximations* of the corresponding concrete operators (Cortesi & Filé, 1999). The $j$-self-union operator defined above is new. We show later when it may safely replace the star-union operator. Note that, letting $j = 1, 2$, and $n$, we have $sh^1 = sh$, $sh^2 = \mathrm{bin}(sh, sh)$, and, as $\# VI = n$, $sh^n = sh^\star$.

### 3.2 The tuple-sharing domains

To provide a general characterization of domains such as the groundness and pair-sharing domains contained in $SH$, we first identify the sets of elements that have the same cardinality.

*Definition 3*
**(Tuples of cardinality $k$.)** For each $k \in \mathbb{N}$ with $1 \leqslant k \leqslant n$, the overloaded functions $\mathrm{tuples}_k : SG \to SH$ and $\mathrm{tuples}_k : SH \to SH$ are defined as

$$\begin{aligned} \mathrm{tuples}_k(S) &\stackrel{\mathrm{def}}{=} \{T \in \wp(S) \mid \# T = k\}, \\ \mathrm{tuples}_k(sh) &\stackrel{\mathrm{def}}{=} \bigcup \{\mathrm{tuples}_k(S') \mid S' \in sh\}. \end{aligned}$$

In particular, if $S \in SG$ and $sh \in SH$, let

$$\begin{aligned} \mathrm{pairs}(S) &\stackrel{\mathrm{def}}{=} \mathrm{tuples}_2(S), \\ \mathrm{pairs}(sh) &\stackrel{\mathrm{def}}{=} \mathrm{tuples}_2(sh). \end{aligned}$$

The usual domains that represent groundness and pair-sharing information will be shown to be special cases of the following more general domain.

*Definition 4*
**(The *tuple-sharing* domains $TS_k$.)** For each $k \in \mathbb{N}$ such that $1 \leqslant k \leqslant n$, the function $\rho_{TS_k} : SH \to SH$ is defined as

$$\rho_{TS_k}(sh) \stackrel{\mathrm{def}}{=} \{S \in SG \mid \mathrm{tuples}_k(S) \subseteq \mathrm{tuples}_k(sh)\}$$

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and, as $\rho_{TS_k} \in \mathrm{uco}(SH)$, it induces the lattice

$$TS_k \stackrel{\mathrm{def}}{=} \rho_{TS_k}(SH).$$

Note that $\rho_{TS_k}\big(\mathrm{tuples}_k(sh)\big) = \rho_{TS_k}(sh)$ and that there is a one to one correspondence between $TS_k$ and $\wp\big(\mathrm{tuples}_k(VI)\big)$. The isomorphism is given by the functions $\mathrm{tuples}_k : TS_k \to \wp\big(\mathrm{tuples}_k(VI)\big)$ and $\rho_{TS_k} : \wp\big(\mathrm{tuples}_k(VI)\big) \to TS_k$. Thus the domain $TS_k$ is the smallest domain that can represent properties characterized by sets of variables of cardinality $k$. We now consider the tuple-sharing domains for the cases when $k = 1$, 2, and $n$.

*Definition 5*

**(The *groundness* domain *Con*.)** The upper closure operator $\rho_{Con} : SH \to SH$ and the corresponding domain *Con* are defined as

$$\rho_{Con} \stackrel{\mathrm{def}}{=} n\,\rho_{TS_1},$$
$$Con \stackrel{\mathrm{def}}{=} TS_1(SH) = \rho_{Con}(SH).$$

This domain, which represents groundness information, is isomorphic to a domain of conjunctions of Boolean variables. The isomorphism $\mathrm{tuples}_1$ maps each element of *Con* to the set of variables that are possibly non-ground. From the domain $\mathrm{tuples}_1(Con)$, by set complementation, we obtain the classical domain G (Jones & Søndergaard, 1987) for representing the set of variables that are definitely ground (so that we have $TS_1 \stackrel{\mathrm{def}}{=} Con \equiv \mathsf{G}$).

*Definition 6*

**(The *pair-sharing* domain *PS*.)** The upper closure operator $\rho_{PS} : SH \to SH$ and the corresponding domain *PS* are defined as

$$\rho_{PS} \stackrel{\mathrm{def}}{=} \rho_{TS_2},$$
$$PS \stackrel{\mathrm{def}}{=} TS_2(SH) = \rho_{PS}(SH).$$

This domain represents pair-sharing information and the isomorphism $\mathrm{tuples}_2$ maps each element of *PS* to the set of pairs of variables that may be bound to terms that share a common variable. The domain for representing variable independence can be obtained by set complementation.

Finally, in the case when $k = n$ we have a domain consisting of just two elements:

$$TS_n = \{SG, SG \setminus \{VI\}\}.$$

Note that the bottom of $TS_n$ differs from the top element *SG* only in that it lacks the sharing group *VI*. There is no intuitive reading for the information encoded by this element: it describes all but those substitutions $\sigma \in Subst$ such that $\bigcap\{vars(x\sigma) \mid x \in VI\} \neq \emptyset$.

Just as for *SH*, the domain $TS_k$ (where $1 \leqslant k \leqslant n$) is dual-atomistic and:

$$\mathrm{dAtoms}(TS_k) = \Big\{ \big(SG \setminus \{U \in SG \mid T \subseteq U\}\big) \;\Big|\; T \in \mathrm{tuples}_k(VI) \Big\}.$$

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Thus we have

$$\mathrm{dAtoms}(Con) = \Big\{ \big(SG \setminus \{U \in SG \mid x \in U\}\big) \;\Big|\; x \in VI \Big\},$$
$$\mathrm{dAtoms}(PS) = \Big\{ \big(SG \setminus \{U \in SG \mid x, y \in U\}\big) \;\Big|\; x, y \in VI, x \neq y \Big\}.$$

*Example 2*
Consider Example 1. Then the dual-atoms of *Con* are

$$r_1 = s_1 \cap s_4 \cap s_5 \cap s_7 = \{\quad y, z, \qquad\quad yz\},$$
$$r_2 = s_2 \cap s_4 \cap s_6 \cap s_7 = \{x, \quad z, \quad xz \quad\},$$
$$r_3 = s_3 \cap s_5 \cap s_6 \cap s_7 = \{x, y, \quad xy \qquad\};$$

the dual-atoms of *PS* are

$$m_1 = s_4 \cap s_7 = \{x, y, z, \quad xz, yz\},$$
$$m_2 = s_5 \cap s_7 = \{x, y, z, xy, \quad yz\},$$
$$m_3 = s_6 \cap s_7 = \{x, y, z, xy, xz \quad\}.$$

It can be seen from the dual-atoms that, for each $j = 1, \ldots, n$, where $j \neq k$, the precision of the information encoded by domains $TS_j$ and $TS_k$ is not comparable. Also, we note that, if $j < k$, then $\rho_{TS_j}(TS_k) = \{SG\}$ and $\rho_{TS_k}(TS_j) = TS_j$.

### 3.3 The tuple-sharing dependency domains

We now need to define domains that capture the propagation of groundness and pair-sharing; in particular, the dependency of these properties on the further instantiation of the variables. In the same way as with $TS_k$ for *Con* and *PS*, we first define a general subdomain $TSD_k$ of $SH$. This must be safe with respect to the tuple-sharing property represented by $TS_k$ when performing the usual abstract operations. This was the motivation behind the introduction in Bagnara *et al.* (1997, 2001) of the pair-sharing dependency domain *PSD*. We now generalize this for tuple-sharing.

*Definition 7*
**(The *tuple-sharing dependency* domains $TSD_k$.)** For each $k$ where $1 \leqslant k \leqslant n$, the function $\rho_{TSD_k} : SH \to SH$ is defined as

$$\rho_{TSD_k}(sh) \stackrel{\text{def}}{=} \Big\{ S \in SG \;\Big|\; \forall T \subseteq S : \#T < k \Longrightarrow S = \bigcup \{U \in sh \mid T \subseteq U \subseteq S\} \Big\},$$

and, as $\rho_{TSD_k} \in \mathrm{uco}(SH)$, it induces the *tuple-sharing dependency* lattice

$$TSD_k \stackrel{\text{def}}{=} \rho_{TSD_k}(SH).$$

It follows from the definitions that the domains $TSD_k$ form a strict chain.

*Proposition 1*
For $j, k \in \mathbb{N}$ with $1 \leqslant j < k \leqslant n$, we have $TSD_j \subset TSD_k$.

Moreover, $TSD_k$ is not less precise than $TS_k$.

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*Proposition 2*
For $k \in \mathbb{N}$ with $1 \leqslant k \leqslant n$, we have $TS_k \subseteq TSD_k$. Furthermore, if $n > 1$ then $TS_k \subset TSD_k$.

As an immediate consequence of Propositions 1 and 2 we have that that $TSD_k$ is not less precise than $TS_1 \sqcap \cdots \sqcap TS_k$.

*Corollary 1*
For $j,k \in \mathbb{N}$ with $1 \leqslant j \leqslant k \leqslant n$, we have $TS_j \subseteq TSD_k$.

It also follows from the definitions that, for the $TSD_k$ domain, the star-union operator can be replaced by the $k$-self-union operator.

*Proposition 3*
For $1 \leqslant k \leqslant n$, we have $\rho_{TSD_k}(sh^k) = sh^\star$.

We now instantiate the tuple-sharing dependency domains for the cases when $k = 1$, 2, and $n$.

*Definition 8*
**(The *ground dependency* domain *Def*.)** The domain *Def* is induced by the upper closure operator $\rho_{Def} : SH \to SH$. They are defined as

$$\rho_{Def} \stackrel{\text{def}}{=} \rho_{TSD_1},$$
$$Def \stackrel{\text{def}}{=} TSD_1 = \rho_{Def}(SH).$$

By Proposition 3, we have, for all $sh \in SH$, $\rho_{TSD_1}(sh) = sh^\star$ so that $TSD_1$ is a representation of the domain *Def* used for capturing groundness. It also provides evidence for the fact that the computation of the star-union is not needed for the elements in *Def*.

*Definition 9*
**(The *pair-sharing dependency* domain *PSD*.)** The upper closure operator $\rho_{PSD} : SH \to SH$ and the corresponding domain *PSD* are defined as

$$\rho_{PSD} \stackrel{\text{def}}{=} \rho_{TSD_2},$$
$$PSD \stackrel{\text{def}}{=} TSD_2 = \rho_{PSD}(SH).$$

Then, it follows from Bagnara *et al.* (1997, Theorem 7) that *PSD* corresponds to the domain $SH^\rho$ defined for capturing pair-sharing. By Proposition 3 we have, for all $sh \in SH$, that $\rho_{PSD}(sh^2) = sh^\star$, so that, for elements in *PSD*, the star-union operator $sh^\star$ can be replaced by the 2-self-union $sh^2 = \text{bin}(sh, sh)$ without any loss of precision. This was also proved in Bagnara *et al.* (1997, Theorem 11). Furthermore, Corollary 1 confirms the observation made in Bagnara *et al.* (1997) that *PSD* also captures groundness.

Finally, letting $k = n$, we observe that $TSD_n = SH$. Figure 1 summarizes the relations between the tuple-sharing and the tuple-sharing dependency domains.

As already discussed at the start of this section, the set of variables of interest *VI* is fixed and, to simplify the notation, omitted. In Bagnara *et al.* (1997, 2001)

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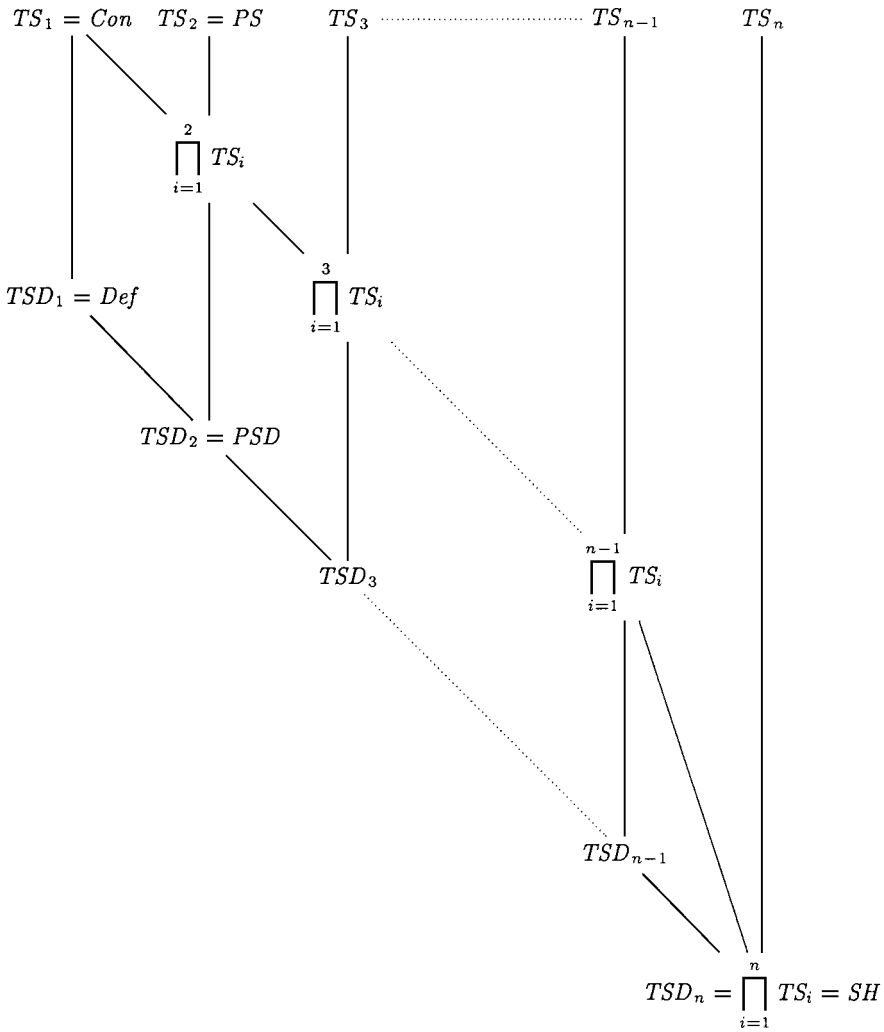



Fig. 1. The set-sharing domain $SH$ and some of its abstractions.

the domains $SS$ and $SS^{\rho}$ (corresponding to $SH$ and $PSD$, respectively) are instead obtained by explicitly adding to each domain element a new component, representing the set of variables of interest. It is shown that $SS^{\rho}$ is as good as $SS$ for both representing and propagating pair-sharing and it is also proved that any weaker domain does not satisfy these properties, so that $SS^{\rho}$ is the quotient (Cortesi *et al.*, 1994, 1998) of $SS$ with respect to the pair-sharing property $PS$.

We now generalize and strengthen the results in Bagnara *et al.* (1997, 2001) and show that, for each $k \in \{1, \ldots, n\}$, $TSD_k$ is the quotient of $SH$ with respect to the reduced product $TS_1 \sqcap \cdots \sqcap TS_k$. These results are proved at the end of this section.

*Theorem 3*

Let $sh_1, sh_2 \in SH$ and $1 \leqslant k \leqslant n$. If $\rho_{TSD_k}(sh_1) = \rho_{TSD_k}(sh_2)$ then, for each $\sigma \in Subst$,

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each $sh' \in SH$, and each $V \in \wp(VI)$,

$$\begin{aligned}\rho_{TSD_k}\big(\mathrm{amgu}(sh_1,\sigma)\big) &= \rho_{TSD_k}\big(\mathrm{amgu}(sh_2,\sigma)\big),\\ \rho_{TSD_k}(sh' \cup sh_1) &= \rho_{TSD_k}(sh' \cup sh_2),\\ \rho_{TSD_k}\big(\mathrm{proj}(sh_1,V)\big) &= \rho_{TSD_k}\big(\mathrm{proj}(sh_2,V)\big).\end{aligned}$$

*Theorem 4*

Let $1 \leqslant k \leqslant n$ for each $sh_1, sh_2 \in SH$, $\rho_{TSD_k}(sh_1) \neq \rho_{TSD_k}(sh_2)$ implies

$$\exists \sigma \in Subst, \exists j \in \{1,\ldots,k\} \,.\, \rho_{TS_j}\big(\mathrm{amgu}(sh_1,\sigma)\big) \neq \rho_{TS_j}\big(\mathrm{amgu}(sh_2,\sigma)\big).$$

### *3.4 Proofs of Theorems 3 and 4*

In what follows we use the fact that $\rho_{TSD_k}$ is an upper closure operator so that, for each $sh_1, sh_2 \in SH$,

$$sh_1 \subseteq \rho_{TSD_k}(sh_2) \iff \rho_{TSD_k}(sh_1) \subseteq \rho_{TSD_k}(sh_2). \tag{1}$$

In particular, since $(\cdot)^\star = \rho_{TSD_1}$, we have

$$sh_1 \subseteq sh_2^\star \iff sh_1^\star \subseteq sh_2^\star. \tag{2}$$

*Lemma 1*

For each $sh \in SH$ and each $V \in \wp(VI)$,

$$\rho_{TSD_k}(sh) \setminus \mathrm{rel}\big(V, \rho_{TSD_k}(sh)\big) = \rho_{TSD_k}\big(sh \setminus \mathrm{rel}(V,sh)\big).$$

*Proof*

By Definition 7,

$$\begin{aligned} S \in\ & \rho_{TSD_k}\big(sh \setminus \mathrm{rel}(V,sh)\big)\\ \iff\ & \forall T \subseteq S : \Big(\#\,T < k \Longrightarrow S = \bigcup\{\, U \in sh \setminus \mathrm{rel}(V,sh) \mid T \subseteq U \subseteq S \,\}\Big)\\ \iff\ & \forall T \subseteq S : \Big(\#\,T < k \Longrightarrow S = \bigcup\{\, U \in sh \mid T \subseteq U \subseteq S \,\}\Big)\\ & \wedge S \cap V = \emptyset\\ \iff\ & S \in \rho_{TSD_k}(sh) \setminus \mathrm{rel}\big(V, \rho_{TSD_k}(sh)\big). \quad \square\end{aligned}$$

*Lemma 2*

For each $sh_1, sh_2 \in SH$, each $V \in \wp(VI)$ and each $k \in \mathbb{N}$ with $1 < k \leqslant n$,

$$\rho_{TSD_k}(sh_1) \subseteq \rho_{TSD_k}(sh_2) \Longrightarrow \mathrm{rel}(V,sh_1)^\star \subseteq \mathrm{rel}(V,sh_2)^\star.$$

*Proof*

We prove that

$$sh_1 \subseteq \rho_{TSD_k}(sh_2) \Longrightarrow \mathrm{rel}(V,sh_1) \subseteq \mathrm{rel}(V,sh_2)^\star.$$

The result then follows from equations (1) and (2).

Suppose $S \in \mathrm{rel}(V,sh_1)$. Then, $S \in sh_1$ and $V \cap S \neq \emptyset$. By the hypothesis,

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$S \in \rho_{TSD_k}(sh_2)$. Let $x \in V \cap S$. Then, by Definition 7, we have

$$\begin{aligned} S &= \bigcup \{U \in sh_2 \mid \{x\} \subseteq U \subseteq S\} \\ &= \bigcup \{U \in \mathrm{rel}(V, sh_2) \mid \{x\} \subseteq U \subseteq S\}. \end{aligned}$$

Thus $S \in \mathrm{rel}(V, sh_2)^\star$. □

*Lemma 3*
For each $sh_1, sh_2 \in SH$, each $\sigma \in Subst$ and each $k \in \mathbb{N}$ with $1 \leqslant k \leqslant n$,

$$\rho_{TSD_k}(sh_1) = \rho_{TSD_k}(sh_2) \Longrightarrow \rho_{TSD_k}\Big(\mathrm{amgu}\big(sh_1, \sigma\big)\Big) = \rho_{TSD_k}\Big(\mathrm{amgu}\big(sh_2, \sigma\big)\Big).$$

*Proof*
If $\sigma = \emptyset$, the statement is obvious from the definition of amgu. In the other cases, the proof is by induction on the size of $\sigma$. The inductive step, when $\sigma$ has more than one binding, is straightforward. For the base case, when $\sigma = \{x \mapsto t\}$, we have to show that

$$sh_1 \subseteq \rho_{TSD_k}(sh_2) \Longrightarrow \mathrm{amgu}\big(sh_1, \{x \mapsto t\}\big) \subseteq \rho_{TSD_k}\Big(\mathrm{amgu}\big(sh_2, \{x \mapsto t\}\big)\Big).$$

The result then follows from equation (1).

Let $v_x \stackrel{\text{def}}{=} \{x\}$, $v_t \stackrel{\text{def}}{=} vars(t)$, and $v_{xt} \stackrel{\text{def}}{=} v_x \cup v_t$. Suppose

$$S \in \mathrm{amgu}\big(sh_1, \{x \mapsto t\}\big).$$

Then, by definition of amgu,

$$S \in \big(sh_1 \setminus \mathrm{rel}(v_x \cup v_t, sh_1)\big) \cup \mathrm{bin}\big(\mathrm{rel}(v_x, sh_1)^\star, \mathrm{rel}(v_t, sh_1)^\star\big).$$

There are two cases:

1. $S \in sh_1 \setminus \mathrm{rel}(v_x \cup v_t, sh_1)$. Then, by hypothesis, $S \in \rho_{TSD_k}(sh_2)$. Hence we have $S \in \rho_{TSD_k}(sh_2) \setminus \mathrm{rel}\big(v_x \cup v_t, \rho_{TSD_k}(sh_2)\big)$. Thus, by Lemma 1,
$$S \in \rho_{TSD_k}\big(sh_2 \setminus \mathrm{rel}(v_x \cup v_t, sh_2)\big).$$
2. $S \in \mathrm{bin}\big(\mathrm{rel}(v_x, sh_1)^\star, \mathrm{rel}(v_t, sh_1)^\star\big)$. Then we must have $S = T \cup R$ where $T \in \mathrm{rel}(v_x, sh_1)^\star$ and $R \in \mathrm{rel}(v_t, sh_1)^\star$.

The proof here splits into two branches, 2a and 2b, depending on whether $k > 1$ or $k = 1$.

(2a) We first assume that $k > 1$. Then, by Lemma 2 we have that $T \in \mathrm{rel}(v_x, sh_2)^\star$ and $R \in \mathrm{rel}(v_t, sh_2)^\star$. Hence,

$$S \in \mathrm{bin}\big(\mathrm{rel}(v_x, sh_2)^\star, \mathrm{rel}(v_t, sh_2)^\star\big).$$

Combining case 1 and case 2a we obtain

$$S \in \rho_{TSD_k}\big(sh_2 \setminus \mathrm{rel}(v_x \cup v_t, sh_2)\big) \cup \mathrm{bin}\big(\mathrm{rel}(v_x, sh_2)^\star, \mathrm{rel}(v_t, sh_2)^\star\big).$$

Hence as $\rho_{TSD_k}$ is extensive and monotonic

$$S \in \rho_{TSD_k}\Big(\big(sh_2 \setminus \mathrm{rel}(v_x \cup v_t, sh_2)\big) \cup \mathrm{bin}\big(\mathrm{rel}(v_x, sh_2)^\star, \mathrm{rel}(v_t, sh_2)^\star\big)\Big),$$

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and hence, when $k > 1$, $S \in \rho_{TSD_k}\Big(\mathrm{amgu}\big(sh_2, \{x \mapsto t\}\big)\Big)$.

(2b) Secondly suppose that $k = 1$. In this case, we have, by Proposition 3:

$$\rho_{TSD_1}(sh_2) = sh_2^\star$$

and that

$$\rho_{TSD_1}\Big(\mathrm{amgu}\big(sh_2, \{x \mapsto t\}\big)\Big) = \mathrm{amgu}\big(sh_2, \{x \mapsto t\}\big)^\star.$$

Thus, by the hypothesis,

$$\begin{aligned} S &\in \mathrm{bin}\big(\mathrm{rel}(v_x, sh_2^\star)^\star, \mathrm{rel}(v_t, sh_2^\star)^\star\big), \\ &= \mathrm{bin}\big(\mathrm{rel}(v_x, sh_2^\star), \mathrm{rel}(v_t, sh_2^\star)\big). \end{aligned}$$

Therefore, we can write

$$S = T_{_} \cup T_x \cup R_{_} \cup R_t$$

where

$$\begin{aligned} T_{_} \cup T_x &\in \mathrm{rel}(v_x, sh_2^\star), \\ R_{_} \cup R_t &\in \mathrm{rel}(v_t, sh_2^\star), \\ T_{_}, R_{_} &\in \big(sh_2 \setminus \mathrm{rel}(v_{xt}, sh_2)\big)^\star, \\ T_x &\in \mathrm{rel}(v_x, sh_2)^\star \setminus \emptyset, \\ R_t &\in \mathrm{rel}(v_t, sh_2)^\star \setminus \emptyset. \end{aligned}$$

Thus

$$\begin{aligned} S &\in \Big(\big(sh_2 \setminus \mathrm{rel}(v_{xt}, sh_2)\big) \cup \mathrm{bin}\big(\mathrm{rel}(v_x, sh_2)^\star, \mathrm{rel}(v_t, sh_2)^\star\big)\Big)^\star \\ &= \mathrm{amgu}\big(sh_2, \{x \mapsto t\}\big)^\star. \end{aligned}$$

Combining case 1 and case 2b for $k = 1$, the result follows immediately by the monotonicity and extensivity of $(\cdot)^\star$. □

*Lemma 4*
For each $sh_1, sh_2 \in SH$,

$$\rho_{TSD_k}(sh_1 \cup sh_2) = \rho_{TSD_k}\big(\rho_{TSD_k}(sh_1) \cup \rho_{TSD_k}(sh_2)\big).$$

*Proof*
This is a classical property of upper closure operators (Gierz *et al.*, 1980). □

*Lemma 5*
For each $sh_1, sh_2 \in SH$ and each $V \subseteq VI$,

$$\rho_{TSD_k}(sh_1) = \rho_{TSD_k}(sh_2) \Longrightarrow \rho_{TSD_k}\big(\mathrm{proj}(sh_1, V)\big) = \rho_{TSD_k}\big(\mathrm{proj}(sh_2, V)\big).$$

*Proof*
We show that

$$sh_1 \subseteq \rho_{TSD_k}(sh_2) \Longrightarrow \mathrm{proj}(sh_1, V) \subseteq \rho_{TSD_k}\big(\mathrm{proj}(sh_2, V)\big).$$

The result then follows from equation (1).

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Suppose $sh_1 \subseteq \rho_{TSD_k}(sh_2)$ and $S \in \mathrm{proj}(sh_1, V)$. Then, as proj is monotonic, we have $S \in \mathrm{proj}\big(\rho_{TSD_k}(sh_2), V\big)$. We distinguish two cases.

1. There exists $x \in V$ such that $S = \{x\}$. Then $S \in \mathrm{proj}(sh_2, V)$ and hence, by Definition 7, $S \in \rho_{TSD_k}\big(\mathrm{proj}(sh_2, V)\big)$.
2. Otherwise, by definition of proj and Definition 7, there exists $S' \in \rho_{TSD_k}(sh_2)$ such that $S = S' \cap V$ and
$$\forall T \subseteq S' : \Big(\#\, T < k \Longrightarrow S = \bigcup\{U \in sh_2 \mid T \subseteq U \subseteq S'\} \cap V\Big).$$
Hence
$$\forall T \subseteq S : \Big(\#\, T < k \Longrightarrow S = \bigcup\{U \in \mathrm{proj}(sh_2, V) \mid T \subseteq U \subseteq S\}\Big),$$
and thus $S \in \rho_{TSD_k}\big(\mathrm{proj}(sh_2, V)\big)$.

□

*Proof of Theorem 3*
Statements 1, 2 and 3 follow from Lemmas 3, 4 and 5, respectively. □

The following lemma is also proved in Bagnara *et al.* (1997, 2001), but we include it here for completeness.

*Lemma 6*
Let $\sigma \stackrel{\text{def}}{=} \{x_1 \mapsto t_1, \ldots, x_n \mapsto t_n\}$, where, for each $i = 1, \ldots, n$, $t_i$ is a ground term. Then, for all $sh \in SH$ we have
$$\mathrm{amgu}(sh, \sigma) = sh \setminus \mathrm{rel}\big(\{x_1, \ldots, x_n\}, sh\big).$$

*Proof*
If $n = 0$, so that $\sigma = \emptyset$, the statement can be easily verified after having observed that $\mathrm{rel}(\emptyset, sh) = \emptyset$. Otherwise, if $n > 0$, we proceed by induction on $n$. For the base case, let $n = 1$. Then
$$\begin{aligned}\mathrm{amgu}(sh, x_1 \mapsto t_1) &= sh \setminus \mathrm{rel}\big(\{x_1\}, sh\big) \cup \mathrm{bin}\Big(\mathrm{rel}\big(\{x_1\}, sh\big)^\star, \mathrm{rel}\big(\emptyset, sh\big)^\star\Big)\\ &= sh \setminus \mathrm{rel}\big(\{x_1\}, sh\big).\end{aligned}$$
For the inductive step, let $n > 1$ and let
$$\sigma' \stackrel{\text{def}}{=} \{x_1 \mapsto t_1, \ldots, x_{n-1} \mapsto t_{n-1}\}.$$
By definition of amgu we have
$$\begin{aligned}\mathrm{amgu}(sh, \sigma) &= \mathrm{amgu}\big(sh, \{x_n \mapsto t_n\} \cup \sigma'\big)\\ &= \mathrm{amgu}\Big(\mathrm{amgu}\big(sh, \{x_n \mapsto t_n\}\big), \sigma'\Big)\\ &= \mathrm{amgu}\Big(sh \setminus \mathrm{rel}\big(\{x_n\}, sh\big), \sigma'\Big)\\ &= \Big(sh \setminus \mathrm{rel}\big(\{x_n\}, sh\big)\Big) \setminus \mathrm{rel}\Big(\{x_1, \ldots, x_{n-1}\}, sh \setminus \mathrm{rel}\big(\{x_n\}, sh\big)\Big)\\ &= sh \setminus \Big(\mathrm{rel}\big(\{x_n\}, sh\big) \cup \mathrm{rel}\Big(\{x_1, \ldots, x_{n-1}\}, sh \setminus \mathrm{rel}\big(\{x_n\}, sh\big)\Big)\Big)\\ &= sh \setminus \mathrm{rel}\big(\{x_1, \ldots, x_n\}, sh\big). \quad \square\end{aligned}$$

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*Proof of Theorem 4*
We assume that $S \in \rho_{TSD_k}(sh_1) \setminus \rho_{TSD_k}(sh_2)$. (If such an $S$ does not exist we simply swap $sh_1$ and $sh_2$.)

Let $C$ denote a ground term and let

$$\sigma \stackrel{\text{def}}{=} \{ x \mapsto C \mid x \in VI \setminus S \}.$$

Then, by Lemma 6, for $i = 1, 2$, we define $\text{amgu}(sh_i, \sigma) \stackrel{\text{def}}{=} sh_i^S$ where

$$sh_1^S \stackrel{\text{def}}{=} \{T \subseteq S \mid T \in sh_1\},$$
$$sh_2^S \stackrel{\text{def}}{=} \{T \subset S \mid T \in sh_2\}.$$

Now, if $\# S = j$ and $j \leqslant k$, then we have $S \in sh_1 \setminus sh_2$. Hence $S \in sh_1^S \setminus sh_2^S$ and we can easily observe that $S \in \rho_{TS_j}(sh_1^S)$ but $S \notin \rho_{TS_j}(sh_2^S)$.

On the other hand, if $\# S = j$ and $j > k$, then by Definition 7 there exists $T$ with $\# T < k$ such that

$$S = \bigcup \{U \in sh_1^S \mid T \subseteq U\}$$

but

$$S \supset \bigcup \{U \in sh_2^S \mid T \subseteq U\} \stackrel{\text{def}}{=} S'.$$

Let $x \in S \setminus S'$. We have $h \stackrel{\text{def}}{=} \#\big(T \cup \{x\}\big) \leqslant k$ and thus we can observe that $T \cup \{x\} \in \rho_{TS_h}(sh_1^S)$ but $T \cup \{x\} \notin \rho_{TS_h}(sh_2^S)$. □

## 4 The meet-irreducible elements

In Section 5, we will use the method of Filé & Ranzato (1996) to decompose the dependency domains $TSD_k$. In preparation for this, in this section, we identify the meet-irreducible elements for the domains and state some general results.

We have already observed that $TS_k$ and $TSD_n = SH$ are dual-atomistic. However, $TSD_k$, for $k < n$, is not dual-atomistic and we need to identify the meet-irreducible elements. In fact, the set of dual-atoms for $TSD_k$ is

$$\text{dAtoms}(TSD_k) = \{SG \setminus \{S\} \mid S \in SG, \# S \leqslant k\}.$$

Note that $\# \text{dAtoms}(TSD_k) = \sum_{j=1}^{k} \binom{n}{j}$. Specializing this for $k = 1$ and $k = 2$, respectively, we have

$$\text{dAtoms}(Def) = \{SG \setminus \{\{x\}\} \mid x \in VI\},$$
$$\text{dAtoms}(PSD) = \{SG \setminus \{S\} \mid S \in \text{pairs}(VI)\} \cup \text{dAtoms}(Def),$$

and we have $\# \text{dAtoms}(Def) = n$ and $\# \text{dAtoms}(PSD) = n(n+1)/2$. We present as an example of this the dual-atoms for $Def$ and $PSD$ when $n = 3$.

*Example 3*
Consider Example 1. Then the 3 dual-atoms for $Def$ are $s_1$, $s_2$, $s_3$ and the 6 dual-atoms for $PSD$ are $s_1, \ldots, s_6$. Note that these are not all the meet-irreducible elements since sets that do not contain the sharing group $xyz$ such as $\{x\}$ and

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$\bot = \rho_{Def}(\bot) = \emptyset$ cannot be obtained by the meet (which is set intersection) of a set of dual-atoms. Thus, unlike *Con* and *PS*, neither *Def* nor *PSD* are dual-atomistic.

Consider next the set $M_k$ of the meet-irreducible elements of $TSD_k$ that are neither the top element $SG$ nor dual-atoms. $M_k$ has an element for each sharing group $S \in SG$ such that $\#S > k$ and each tuple $T \subset S$ with $\#T = k$. Such an element is obtained from $SG$ by removing all the sharing groups $U$ such that $T \subseteq U \subseteq S$. Formally, for $1 \leqslant k \leqslant n$,

$$M_k \stackrel{\text{def}}{=} \{SG \setminus \{U \in SG \mid T \subseteq U \subseteq S\} \mid T, S \in SG, T \subset S, \#T = k\}.$$

Note that, as there are $\binom{n}{k}$ possible choices for $T$ and $2^{n-k} - 1$ possible choices for $S$, we have $\#M_k = \binom{n}{k}(2^{n-k} - 1)$ and $\#\,\mathrm{MI}(TSD_k) = \sum_{j=0}^{k-1}\binom{n}{j} + \binom{n}{k}2^{n-k}$.

We now show that we have identified precisely all the meet-irreducible elements of $TSD_k$.

*Theorem 5*

If $k \in \mathbb{N}$ with $1 \leqslant k \leqslant n$, then

$$\mathrm{MI}(TSD_k) = \{SG\} \cup \mathrm{dAtoms}(TSD_k) \cup M_k.$$

The proof of this theorem is included at the end of this section. Here, we illustrate the result for the case when $n = 3$.

*Example 4*

Consider again Example 3. First, consider the domain *Def*. The meet-irreducible elements which are not dual-atoms, besides $SG$, are the following (see Figure 2):

$$\begin{aligned}
q_1 &= \{\ \ y, z,\ \ \ xz, yz, xyz\} \subset s_1, \\
q_2 &= \{\ \ y, z, xy,\ \ yz, xyz\} \subset s_1, \qquad & r_1 &= \{\ \ y, z,\ \ \ yz\} \subset q_1 \cap q_2, \\
q_3 &= \{x,\ \ z,\ \ \ xz, yz, xyz\} \subset s_2, \\
q_4 &= \{x,\ \ z, xy, xz,\ \ xyz\} \subset s_2, \qquad & r_2 &= \{x,\ \ z,\ \ xz\ \ \} \subset q_3 \cap q_4, \\
q_5 &= \{x, y,\ \ xy,\ \ yz, xyz\} \subset s_3, \\
q_6 &= \{x, y,\ \ xy, xz,\ \ xyz\} \subset s_3, \qquad & r_3 &= \{x, y,\ \ xy\ \ \ \} \subset q_5 \cap q_6.
\end{aligned}$$

Next, consider the domain *PSD*. The only meet-irreducible elements that are not dual-atoms, beside $SG$, are the following (see Figure 3):

$$\begin{aligned}
m_1 &= \{x, y, z,\ \ \ xz, yz\ \ \ \} \subset s_4 \\
m_2 &= \{x, y, z, xy,\ \ \ yz\ \ \ \} \subset s_5 \\
m_3 &= \{x, y, z, xy, xz\ \ \ \ \ \} \subset s_6.
\end{aligned}$$

Each of these lack a pair and none contains the sharing group $xyz$.

Looking at Examples 2 and 4, it can be seen that all the dual-atoms of the domains *Con* and *PS* are meet-irreducible elements of the domains *Def* and *PSD*, respectively. Indeed, the following general result shows that the dual-atoms of the domain $TS_k$ are meet-irreducible elements for the domain $TSD_k$.

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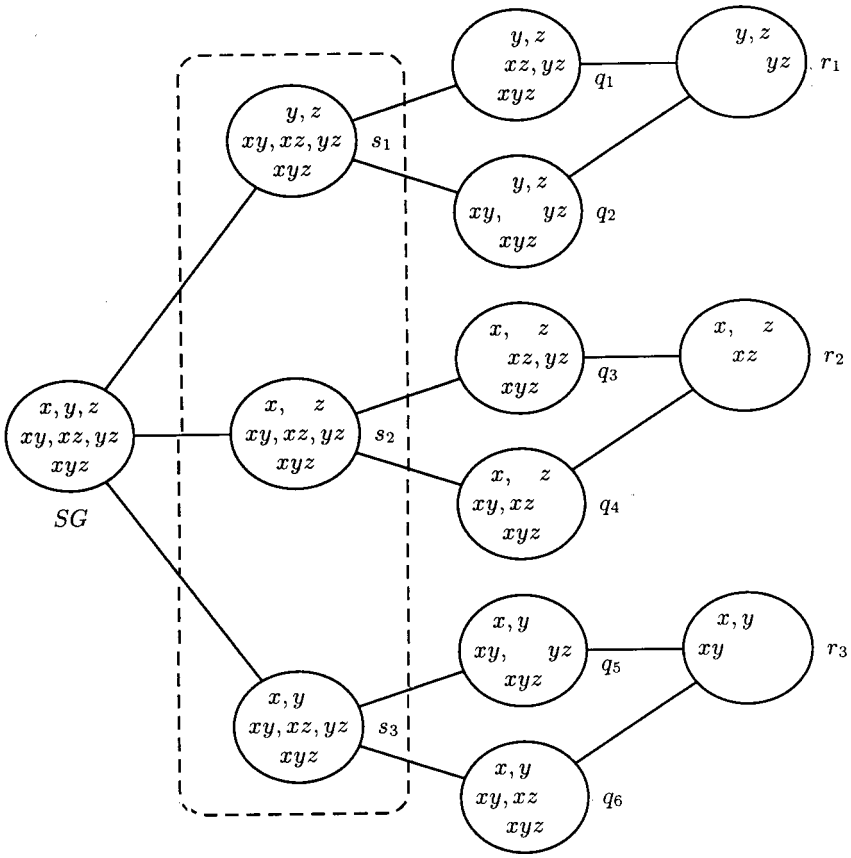



Fig. 2. The meet-irreducible elements of *Def* for $n = 3$, with dual-atoms emphasized.

*Corollary 2*
Let $k \in \mathbb{N}$ with $1 \leqslant k \leqslant n$. Then

$$\mathrm{dAtoms}(TS_k) = \{sh \in \mathrm{MI}(TSD_k) \mid VI \notin sh\}.$$

For the decomposition, we need to identify which meet-irreducible elements of $TSD_k$ are in $TS_j$. Using Corollaries 1 and 2 we have the following result.

*Corollary 3*
If $j,k \in \mathbb{N}$ with $1 \leqslant j < k \leqslant n$, then $\mathrm{MI}(TSD_k) \cap TS_j = \{SG\}$.

By combining Proposition 1 with Theorem 5 we can identify the meet-irreducible elements of $TSD_k$ that are in $TSD_j$, where $j < k$.

*Corollary 4*
If $j,k \in \mathbb{N}$ with $1 \leqslant j < k \leqslant n$, then

$$\mathrm{MI}(TSD_k) \cap TSD_j = \mathrm{dAtoms}(TSD_j).$$

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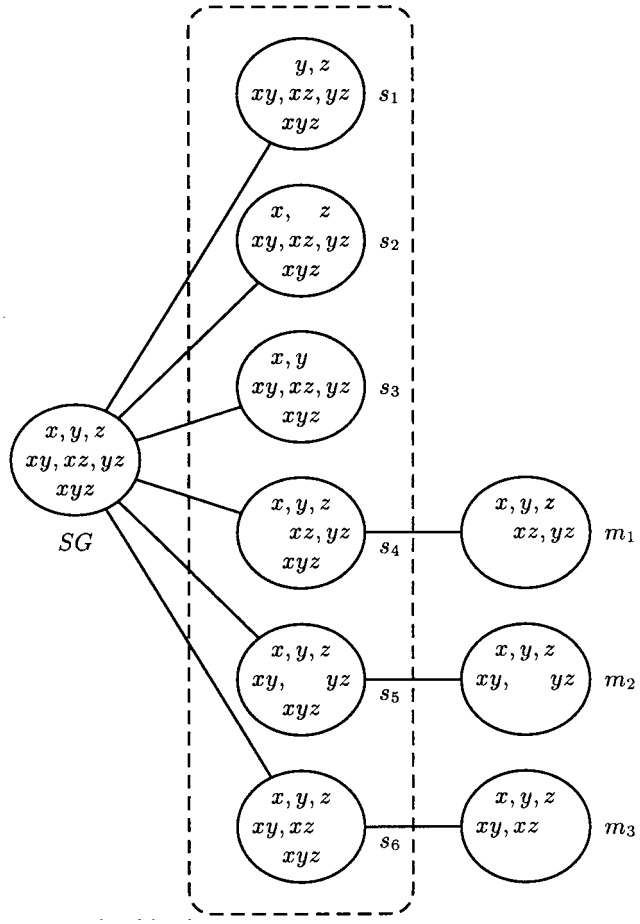



Fig. 3. The meet-irreducible elements of *PSD* for $n = 3$, with dual-atoms emphasized.

### *4.1 Proof of Theorem 5*

*Proof of Theorem 5.*
We prove the two inclusions separately.

1. $\mathrm{MI}(TSD_k) \supseteq \{SG\} \cup \mathrm{dAtoms}(TSD_k) \cup M_k$.
   Let $m$ be in the right-hand side. If $m \in \{SG\} \cup \mathrm{dAtoms}(TSD_k)$ there is nothing to prove. Therefore we assume $m \in M_k$. We need to prove that if $sh_1, sh_2 \in TSD_k$ and

   $$m = sh_1 \wedge sh_2 \stackrel{\text{def}}{=} sh_1 \cap sh_2$$

   then $m = sh_1$ or $m = sh_2$. Obviously, we have $m \subseteq sh_1$ and $m \subseteq sh_2$. Moreover, by definition of $M_k$, there exist $T, S \in SG$ where $\#T = k$ and $T \subset S$ such that

   $$m = SG \setminus \{\, U \in SG \mid T \subseteq U \subseteq S \,\}.$$

   Since $S \notin m$, we have $S \notin sh_1$ or $S \notin sh_2$. Let us consider the first case (the

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other is symmetric). Then, applying the definition of $TSD_k$, there is a $T' \subset S$ with $\# T' < k$ such that

$$\bigcup\{ U' \in sh_1 \mid T' \subseteq U' \subseteq S \} \neq S.$$

Since $\# T' < \# T$, there exists $x$ such that $x \in T \setminus T'$. Thus $T' \subset S \setminus \{x\}$ and $S \setminus \{x\} \in m$. Hence, as $m \subseteq sh_1$, we have $S \setminus \{x\} \in sh_1$. Consider an arbitrary $U \in SG$ where $T \subseteq U \subseteq S$. Then $x \in U$. Thus, since $S = \big(S \setminus \{x\}\big) \cup U$ and $S \notin sh_1$, $U \notin sh_1$. Thus, as this is true for all such $U$, $sh_1 \subseteq m$.

2. $\mathrm{MI}(TSD_k) \subseteq \{SG\} \cup \mathrm{dAtoms}(TSD_k) \cup M_k$.
Let $sh \in TSD_k$. We need to show that $sh$ is the meet of elements in the right-hand side. If $sh = SG$ then there is nothing to prove. Suppose $sh \neq SG$. For each $S \in SG$ such that $S \notin sh$, we will show there is an element $m_S$ in the right-hand side such that $S \notin m_S$ and $sh \subseteq m_S$. Then $sh = \bigcap\{ m_S \mid S \notin sh \}$. There are two cases.

(2a) $\# S \leqslant k$; Let $m_S = SG \setminus \{S\}$. Then $m_S \in \mathrm{dAtoms}(TSD_k)$ and $sh \subseteq m_S$.

(2b) $\# S > k$; in this case, applying the definition of $TSD_k$, there must exist a set $T' \subset S$ with $\# T' < k$ such that

$$\bigcup\{ U' \in sh \mid T' \subset U' \subseteq S \} \subset S.$$

However, since $T' \subset S$, we have $S = \bigcup\{ T' \cup \{x\} \mid x \in S \setminus T' \}$. Thus, for some $x \in S \setminus T'$, if $U$ is such that $T' \cup \{x\} \subseteq U \subseteq S$ then $U \notin sh$. Choose $T \in SG$ so that $T' \cup \{x\} \subseteq T$ and $\# T = k$ and let $m_S = SG \setminus \{ U \in SG \mid T \subseteq U \subseteq S \}$. Then $m_S \in M_k$, $S \notin m_S$, and $sh \subseteq m_S$.

□

## 5 Decomposition of the domains

### *5.1 Removing the tuple-sharing domains*

We first consider the decomposition of $TSD_k$ with respect to $TS_j$. It follows from Theorem 1 and Corollaries 1 and 3 that, for $1 \leqslant j < k \leqslant n$, we have

$$\begin{aligned} TSD_k \sim TS_j &= \mathrm{Moore}\big(\mathrm{MI}(TSD_k) \setminus \rho_{TS_j}(TSD_k)\big) \\ &= \mathrm{Moore}\big(\mathrm{MI}(TSD_k) \setminus TS_j\big) \\ &= TSD_k. \end{aligned} \tag{3}$$

Since $SH = TSD_n$, we have, using equation (3) and setting $k = n$, that, if $j < n$,

$$SH \sim TS_j = SH. \tag{4}$$

Thus, in general, $TS_j$ is too abstract to be removed from $SH$ by means of complementation. (Note that here it is required $j < n$, because we have $SH \sim TS_n \neq SH$.) In particular, letting $j = 1, 2$ (assuming $n > 2$) in equation (4), we have

$$SH \sim PS = SH \sim Con = SH, \tag{5}$$

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showing that *Con* and *PS* are too abstract to be removed from *SH* by means of complementation. Also, by equation (3), letting $j = 1$ and $k = 2$ it follows that the complement of *Con* in *PSD* is *PSD*.

Now consider decomposing $TSD_k$ using $TS_k$. It follows from Theorem 1, Proposition 2 and Corollary 2 that, for $1 \leqslant k \leqslant n$, we have

$$\begin{aligned} TSD_k \sim TS_k &= \mathrm{Moore}\big(\mathrm{MI}(TSD_k) \setminus \rho_{TS_k}(TSD_k)\big) \\ &= \mathrm{Moore}\big(\mathrm{MI}(TSD_k) \setminus TS_k\big) \\ &= \{sh \in TSD_k \mid VI \in sh\}. \end{aligned} \tag{6}$$

Thus we have

$$TSD_k \sim (TSD_k \sim TS_k) = TS_k. \tag{7}$$

We have therefore extracted *all* the domain $TS_k$ from $TSD_k$. So by letting $k = 1, 2$ in equation (6), we have found the complements of *Con* in *Def* and *PS* in *PSD*:

$$\begin{aligned} Def \sim Con &= \{sh \in Def \mid VI \in sh\}, \\ PSD \sim PS &= \{sh \in PSD \mid VI \in sh\}. \end{aligned}$$

Thus, if we denote the domains induced by these complements as $Def^{\oplus}$ and $PSD^{\oplus}$, respectively, we have the following result.

*Theorem 6*

$$\begin{aligned} Def \sim Con &= Def^{\oplus}, & Def \sim Def^{\oplus} &= Con, \\ PSD \sim PS &= PSD^{\oplus}, & PSD \sim PSD^{\oplus} &= PS. \end{aligned}$$

Moreover, *Con* and $Def^{\oplus}$ form a minimal decomposition for *Def* and, similarly, *PS* and $PSD^{\oplus}$ form a minimal decomposition for *PSD*.

### 5.2 Removing the dependency domains

First we note that, by Theorem 5, Proposition 1, and Corollary 4, the complement of $TSD_j$ in $TSD_k$, where $1 \leqslant j < k \leqslant n$, is given as follows:

$$\begin{aligned} TSD_k \sim TSD_j &= \mathrm{Moore}\big(\mathrm{MI}(TSD_k) \setminus \rho_{TSD_j}(TSD_k)\big) \\ &= \mathrm{Moore}\big(\mathrm{MI}(TSD_k) \setminus TSD_j\big) \\ &= \{sh \in TSD_k \mid \forall S \in SG : \#S \leqslant j \Longrightarrow S \in sh\}. \end{aligned} \tag{8}$$

It therefore follows from equation (8) and setting $k = n$ that the complement of $\rho_{TSD_j}$ in *SH* for $j < n$ is:

$$\begin{aligned} SH \sim TSD_j &= \{sh \in SH \mid \forall S \in SG : \#S \leqslant j \Longrightarrow S \in sh\} \\ &\stackrel{\mathrm{def}}{=} SH^{+}_{j}. \end{aligned} \tag{9}$$

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In particular, in equation (9) when $j = 1$, we have the following result for $Def$, also proved in Filé and Ranzato (1996, Lemma 5.4):

$$\begin{aligned} SH \sim Def &= \{sh \in SH \mid \forall x \in VI : \{x\} \in sh\} \\ &\stackrel{\text{def}}{=} SH^{+}_{Def}. \end{aligned}$$

Also, in Eq. (9) when $j = 2$, we have the following result for $PSD$:

$$\begin{aligned} SH \sim PSD &= \{sh \in SH \mid \forall S \in SG : \#S \leqslant 2 \Longrightarrow S \in sh\} \\ &\stackrel{\text{def}}{=} SH^{+}_{PSD}. \end{aligned}$$

We next construct the complement of $PSD$ with respect to $Def$. By equation (8),

$$\begin{aligned} PSD \sim Def &= \{sh \in PSD \mid \forall x \in VI : \{x\} \in sh\} \\ &\stackrel{\text{def}}{=} PSD^{+}. \end{aligned}$$

Then the complement factor $Def^{-} \stackrel{\text{def}}{=} PSD \sim PSD^{+}$ is exactly the same thing as $SH \sim SH^{+}_{Def}$ so that $PSD$ and $SH$ behave similarly for $Def$.

### *5.3 Completing the decomposition*

Just as for $SH$, the complement of $SH^{+}_{Def}$ using $PS$ (or, more generally, $TS_j$ where $1 < j < n$) is $SH^{+}_{Def}$. By Corollary 2 and Theorem 1, as $PS$ is dual-atomistic, the complement of $PS$ in $PSD^{+}$ is given as follows.

*Theorem 7*

$$\begin{aligned} PSD^{\ddagger} &\stackrel{\text{def}}{=} PSD^{+} \sim PS \\ &= \{sh \in PSD \mid VI \in sh, \forall x \in VI : \{x\} \in sh\}, \\ PSD^{+} \sim PSD^{\ddagger} &= PS. \end{aligned}$$

So, we have extracted *all* the domain $PS$ from $PSD^{+}$ and we have the following result (see Figure 4).

*Corollary 5*
$Def^{-}$, $PS$, and $PSD^{\ddagger}$ form a minimal decomposition for $PSD$.

## 6 Discussion

By studying the sharing domain $SH$ in a more general framework, we have been able to show that the domain $PSD$ has a natural place in a scheme of domains based on $SH$. Since the well-known domain $Def$ for groundness analysis is an instance of this scheme, we have been able to highlight the close relationship between $Def$ and $PSD$ and the many properties they share. In particular, it was somehow unexpected that these domains could both be obtained as instances of a single parametric construction. As another contribution, we have generalized and strengthened the results in Cortesi *et al.* (1994, 1998) and Bagnara *et al.* (1997, 2001) stating that

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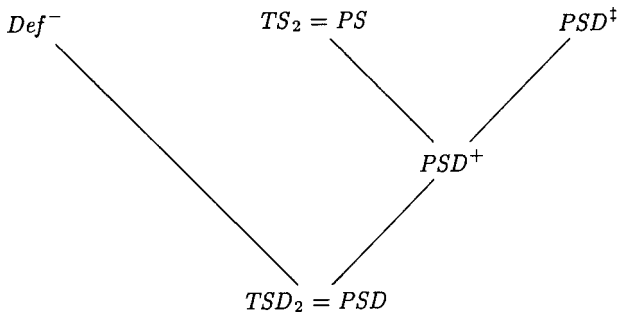



Fig. 4. A non-trivial decomposition of $PSD$.

- $Def$ is the quotient of $SH$ with respect to the groundness domain $G \equiv Con$; and
- $PSD$ is the quotient of $SH$ with respect to the reduced product $Con \sqcap PS$ of groundness and pair-sharing.

In the view of recent results on abstract domain completeness (Giacobazzi & Ranzato, 1997), these points can be restated by saying that $Def$ and $PSD$ are the *least fully-complete extensions* (lfce's) of $Con$ and $Con \sqcap PS$ with respect to $SH$, respectively.

From a theoretical point of view, the quotient of an abstract domain with respect to a property of interest and the least fully-complete extension of this same property with respect to the given abstract domain are not equivalent. While the lfce is defined for any semantics given by means of continuous operators over complete lattices, it is known (Cortesi *et al.*, 1994, 1998) that the quotient may not exist. However, it is also known (Giacobazzi, Ranzato & Scozzari, 1998b) that when the quotient exists it is exactly the same as the lfce, so that the latter has also been called *generalized quotient*. In particular, for all the domains considered in this paper, these two approaches to the completeness problem in abstract interpretation are equivalent.

In Bagnara *et al.* (1997, 2001), we wrote that $PSD \sim PS \neq PSD$. This paper now clarifies that statement. We have provided a minimal decomposition for $PSD$ whose components include $Def^-$ and $PS$. Moreover, we have shown that $Def$ and $PSD$ are *not* dual-atomistic and we have completely specified their meet-irreducible elements. Our starting point was the work of Filé and Ranzato. Filé & Ranzato (1996) noted, as we have, that $SH^+_{Def} \sim PS = SH^+_{Def}$ so that nothing of the domain $PS$ could be extracted from $SH^+_{Def}$. They observed that $\rho_{PS}$ maps all dual-atoms that contain the sharing group $VI$ to the top element $SG$ and thus lose all pair-sharing information. To avoid this, they replaced the classical pair-sharing domain $PS$ with the domain $PS'$ where, for all $sh \in SH^+_{Def}$,

$$\rho_{PS'}(sh) = \rho_{PS}(sh) \setminus \big(\{VI\} \setminus sh\big),$$

and noted that $SH^+_{Def} \sim PS' = \{sh \in SH^+_{Def} \mid VI \in sh\}$. To understand the nature of this new domain $PS'$, we first observe that,

$$PS' = PS \sqcap TS_n.$$

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This is because $TS_n = \mathrm{MI}(TS_n) = \{SG \setminus \{VI\}, SG\}$. In addition,

$$SH^+_{Def} \sim TS_n = \{sh \in SH^+_{Def} \mid VI \in sh\},$$

which is precisely the same as $SH^+_{Def} \sim PS'$. Thus, since $SH^+_{Def} \sim PS = SH^+_{Def}$, it is not surprising that it is precisely the *added* component $TS_n$ that is removed when we compute the complement for $SH^+_{Def}$ with respect to $PS'$.

We would like to point out that, in our opinion, the problems outlined above are not the consequence of the particular domains considered. Rather, they are mainly related to the methodology for decomposing a domain. As shown here, complementation *alone* is not sufficient to obtain *truly minimal* decompositions of domains. The reason being that complementation only depends on the domain's data (i.e. the domain elements and the partial order relation modeling their intrinsic precision), while it is completely independent from the domain operators that manipulate that data. In particular, if the concrete domain contains elements that are redundant with respect to its operators (because the observable behavior of these elements is exactly the same in all possible program contexts) then any factorization of the domain obtained by complementation will encode this redundancy. However, the theoretical solution to this problem is well known (Cortesi, Filé & Winsborough, 1994, Cortesi, Filé & Winsborough, 1998, Giacobazzi and Ranzato 1997, Giacobazzi *et al*., 1998b) and it is straightforward to improve the methodology so as to obtain truly minimal decompositions: *first* remove all redundancies from the domain (this can be done by computing the quotient of the domain with respect to the observable behavior) and only *then* decompose it by complementation. This is precisely what is done here.

We conclude our discussion about complementation with a few remarks. It is our opinion that, from a theoretical point of view, complementation is an excellent concept to work with: by allowing the splitting of complex domains into simpler components, avoiding redundancies between them, it really enhances our understanding of the domains themselves.

However, as things stand at present, complementation has never been exploited from a practical point of view. This may be because it is easier to implement a single complex domain than to implement several simpler domains and integrate them together. Note that complementation requires the implementation of a full integration between components (i.e. the reduced product together with its corresponding best approximations of the concrete semantic operators), otherwise precision would be lost and the theoretical results would not apply.

Moreover, complementation appears to have little relevance when trying to design or evaluate better implementations of a known abstract domain. In particular, this reasoning applies to the use of complementation as a tool for obtaining space saving representations for domains. As a notable example, the GER representation for *Pos* (Bagnara & Schachte, 1999) is a well-known domain decomposition that does enable significant memory and time savings with no precision loss. This is not (and could not be) based on complementation. Observe that the complement of $G$ with respect to *Pos* is *Pos* itself. This is because of the isomorphisms $Pos \equiv SH$ (Codish and Søndergaard 1998) and $G \equiv Con \stackrel{\text{def}}{=} TS_1$ so that, by equation (5),

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$Pos \sim G = Pos$. It is not difficult to observe that the same phenomenon happens if one considers the groundness equivalence component $E$, i.e. $Pos \sim E = Pos$. Intuitively, each element of the domain $E$ defines a partition of the variable of interest $VI$ into groundness equivalence classes. In fact, it can be shown that two variables $x, y \in VI$ are ground-equivalent in the abstract element $sh \in SH \equiv Pos$ if and only if $\mathrm{rel}(\{x\}, sh) = \mathrm{rel}(\{y\}, sh)$. In particular, this implies both $\{x\} \notin sh$ and $\{y\} \notin sh$. Thus, it can be easily observed that in all the dual-atoms of $Pos$ no variable is ground-equivalent to another variable (because each dual-atom lacks just a *single* sharing group).

A new domain for pair-sharing analysis has been defined in Scozzari (2000) as

$$\mathsf{Sh}^{\mathsf{PSh}} = PSD^{+} \sqcap A,$$

where the $A$ component is a strict abstraction of the well-known groundness domain $Pos$. It can be seen from the definition that $\mathsf{Sh}^{\mathsf{PSh}}$ is a close relative of $PSD$. This new domain is obtained, just as in the case for $PSD$, by a construction that starts from the set-sharing domain $SH \equiv \mathsf{Sh}$ and aims at deriving the pair-sharing information encoded by $PS \equiv \mathsf{PSh}$. However, instead of applying the generalized quotient operator used to define $PSD$, the domain $\mathsf{Sh}^{\mathsf{PSh}}$ is obtained by applying a new domain-theoretic operator that is based on the concept of *optimal semantics* (Giacobazzi, Ranzato & Scozzari, 1998a).

When comparing $\mathsf{Sh}^{\mathsf{PSh}}$ and $PSD$, the key point to note is that $\mathsf{Sh}^{\mathsf{PSh}}$ is neither an abstraction nor a concretization of the starting domain $SH$. On the one hand $\mathsf{Sh}^{\mathsf{PSh}}$ is strictly more precise for computing pair-sharing, since it contains formulas of $Pos$ that are not in the domain $SH$. On the other hand $SH$ and $PSD$ are strictly more precise for computing groundness, since $\mathsf{Sh}^{\mathsf{PSh}}$ does not contain all of $Def$: in particular, it does not contain any of the elements in $Con$.

While these differences are correctly stated in Scozzari (2000), the informal discussion goes further. For instance, it is argued in Scozzari (2000, Section 6.1) that

> "in [(Bagnara, Hill & Zaffanella, 2001)] the domain $PSD$ is compared to its proper abstractions only, which is a rather restrictive hypothesis … "

This hypothesis is not one that was made in Bagnara *et al.* (2001), but is a distinctive feature of the generalized quotient approach itself. Moreover, such an observation is not really appropriate because, when devising the $PSD$ domain, the goal was to *simplify* the starting domain $SH$ without losing precision on the observable $PS$. This is the objective of the generalized quotient operator and, in such a context, the 'rather restrictive hypothesis' is not restrictive at all.

The choice of the generalized quotient can also provide several advantages that have been fully exploited in Bagnara *et al.* (2001). Since an implementation for $SH$ was available, the application of this operator resulted in an *executable* specification of the simpler domain $PSD$. By just optimizing this executable specification it was possible to arrive at a much more efficient implementation: exponential time and space savings have been achieved by removing the redundant sharing groups from the computed elements and by replacing the star-union operator with the 2-self-union operator. Moreover, the executable specification inherited all the correctness

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results readily available for that implementation of $SH$, so that the only new result that had to be proved was the correctness of the optimizations.

These advantages do not hold for the domain $\mathsf{Sh}^{\mathsf{PSh}}$. In fact, the definition of a feasible representation for its elements and, *a fortiori*, the definition of an executable specification of the corresponding abstract operators seem to be open issues.[2] Most importantly, the required correctness results cannot be inherited from those of $SH$. All the above reasons indicate that the generalized quotient was a sensible choice when looking for a domain simpler than $SH$ while preserving precision on $PS$.

Things are different if the goal is *to improve the precision of a given analysis* with respect to the observable, as was the case in Scozzari (2000). In this context the generalized quotient would be the wrong choice, since by definition it cannot help, whereas the operator defined in Scozzari (2000) could be useful.

## 7 Conclusion

We have addressed the problem of deriving a non-trivial decomposition for abstract domains tracking groundness and sharing information for logic languages by means of complementation. To this end, we have defined a general schema of domains approximating the set-sharing domain of Jacobs and Langen, and we have generalized and strengthened known completeness and minimality results. From a methodological point of view, our investigation has shown that, in order to obtain truly minimal decompositions of abstract interpretation domains, complementation should be applied to a reference domain already enjoying a minimality result with respect to the observable property.

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[2] In Scozzari (2000), the only representation given for the elements of $\mathsf{Sh}^{\mathsf{PSh}}$ is constituted by infinite sets of substitutions.

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